



The Introduction To Artificial Intelligence

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The Introduction to Artificial Intelligence

- Part I Brief Introduction to AI & Different AI tribes
- Part II Knowledge Representation & Reasoning
- Part III AI GAMES and Searching
- Part IV Model Evaluation and Selection
- Part V Machine Learning
-  Part VI Neural Networks

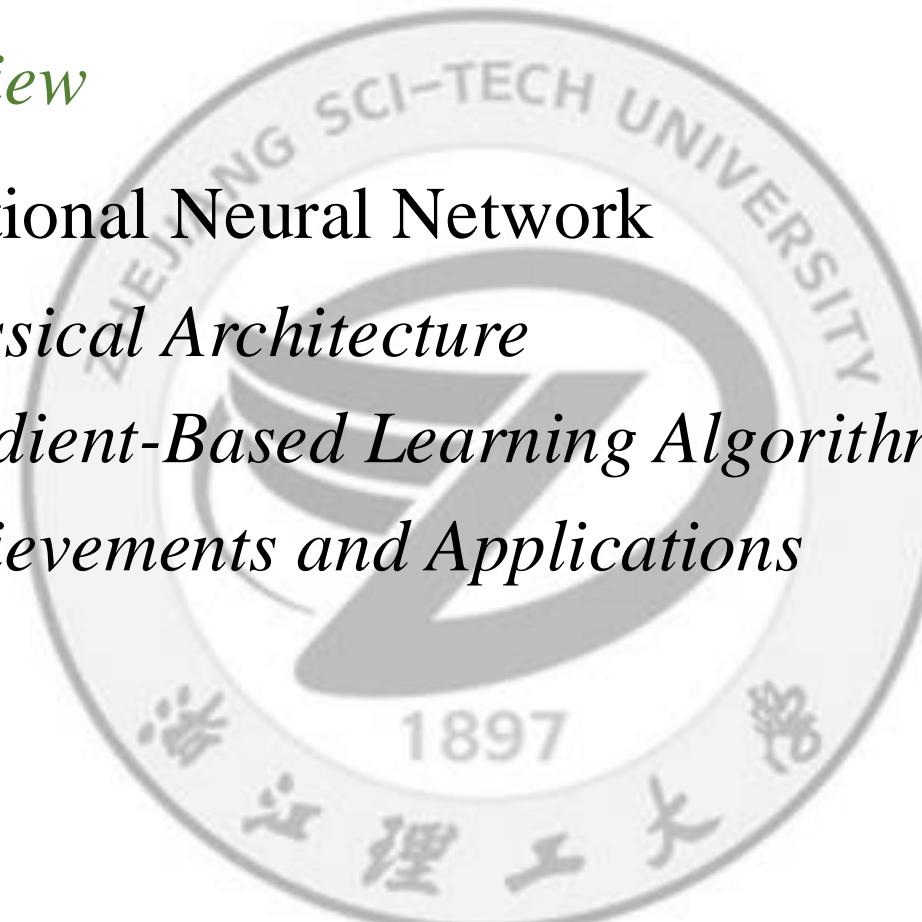
Neural Networks

- *Brief review*
- Convolutional Neural Network

Classical Architecture

Gradient-Based Learning Algorithm

Achievements and Applications



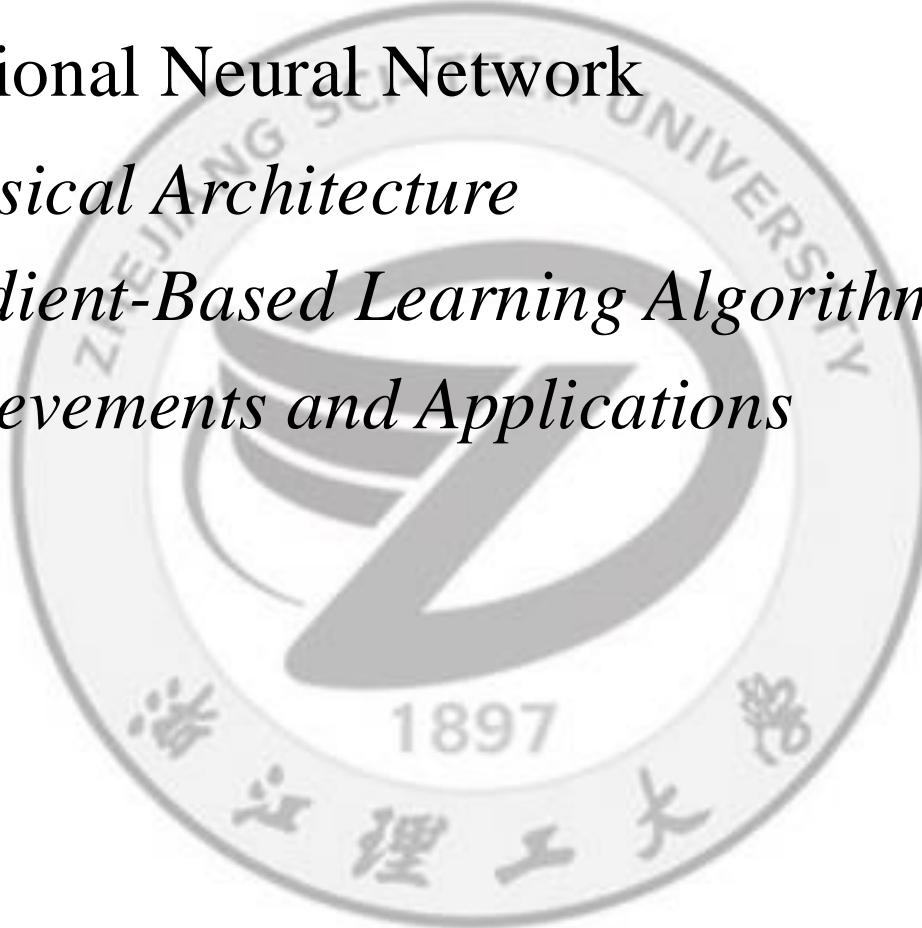
Neural Networks

- Convolutional Neural Network

Classical Architecture

Gradient-Based Learning Algorithm

Achievements and Applications



Convolutional Neural Network

□ Introduction

ImageNet & Large Scale Visual Recognition Challenge



ImageNet:
14 million images;
20,000 categories

Top-5 error

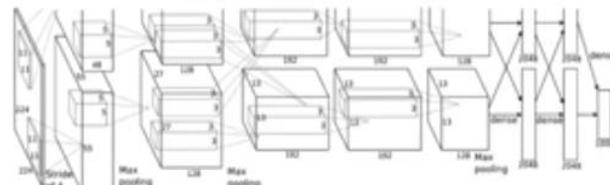
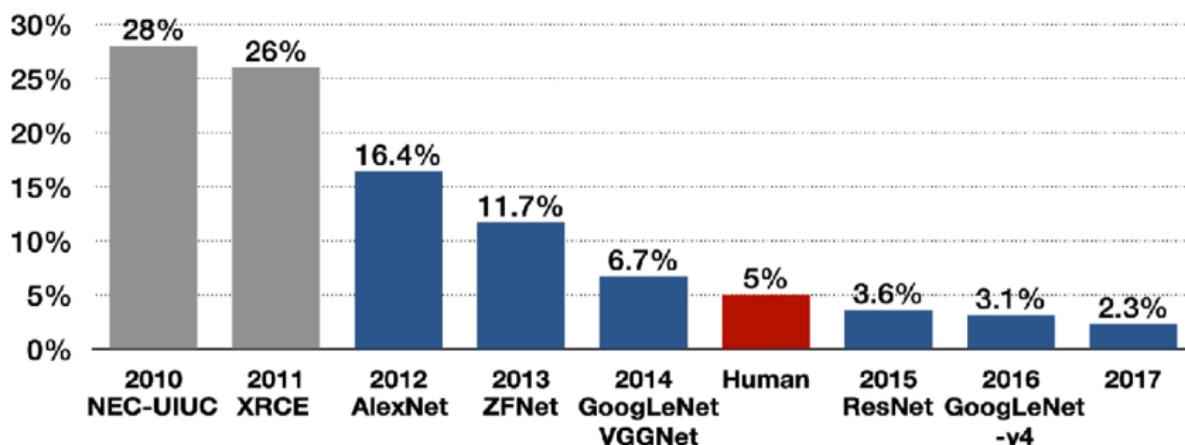
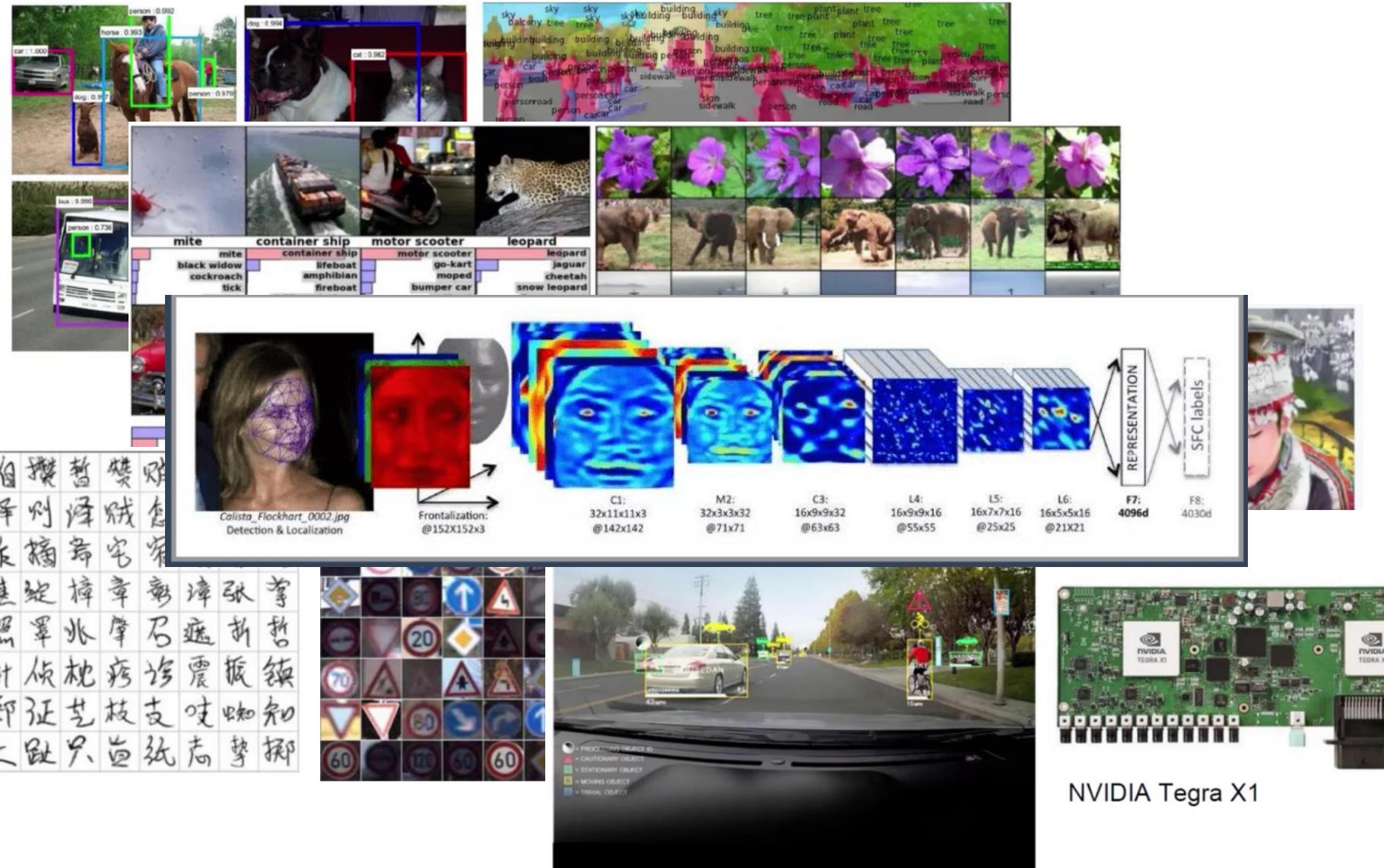


Figure 2: An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264–4096–4096–1000.

Convolutional Neural Network



Convolutional Neural Network

□ Introduction

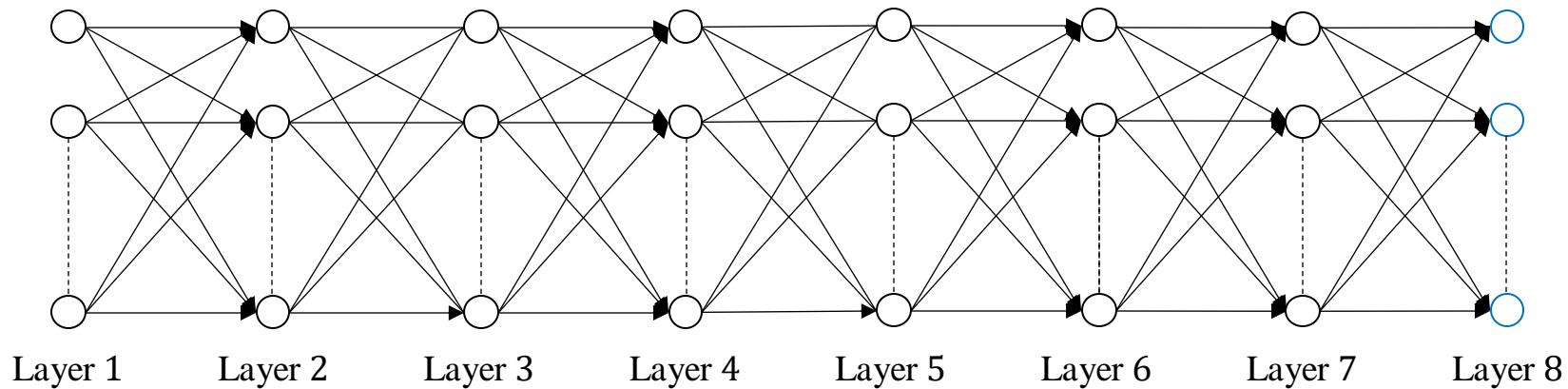
How to handle the very large colorful image in the size of 224x224x3, where the 3 denotes the R,G,B channels.



28 × 28



224x224x3



Convolutional Neural Network

□ Introduction

- Suppose the dimension of hidden layer is 100. The number of parameters in the first layer is 15,052,800, unacceptable !
- How does the brain process the image?



Convolutional Neural Network

□ Introduction

How does a computer understand a picture?



512*512 pixels



RGB three channels

```
In [6]: lena.shape  
Out[6]: (512, 512, 3)
```

```
In [14]: lena  
Out[14]: array([[125, 137, 226],  
[125, 137, 226],  
[133, 137, 223],  
...,  
[122, 148, 230],  
[110, 130, 221],  
[ 90,  99, 200]],
```

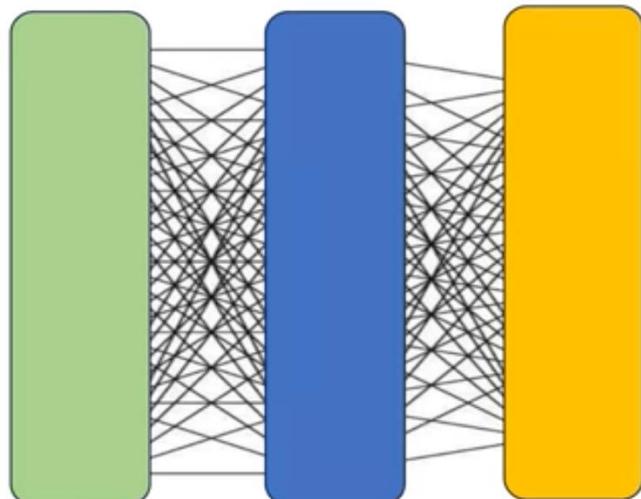
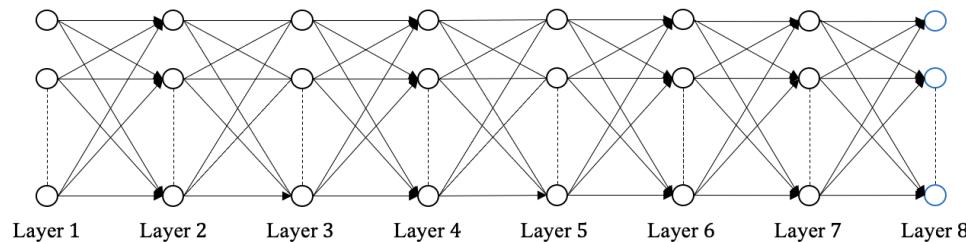
1	0	0	0	0	0	1
0	1	0	0	0	0	1
1	0	1	0	0	0	1
1	1	0	1	0	1	1
0	1	1	1	1	1	0
1	0	1	0	0	1	0
1	1	0	0	1	1	1

512*512*3

张量Tensor

Convolutional Neural Network

□ Introduction



输入层

$224 \times 224 \times 3$
 $= 150528$

隐藏层

1000

输出层

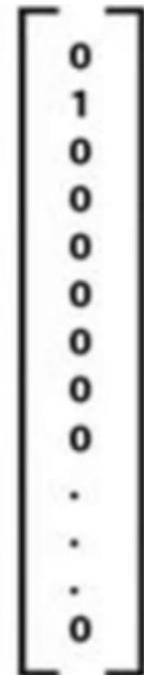
1000



边数（要训练的权重）是多少？

151528000

151M参数



The amount of data that needs to be processed is too large!

Convolutional Neural Network

□ Introduction



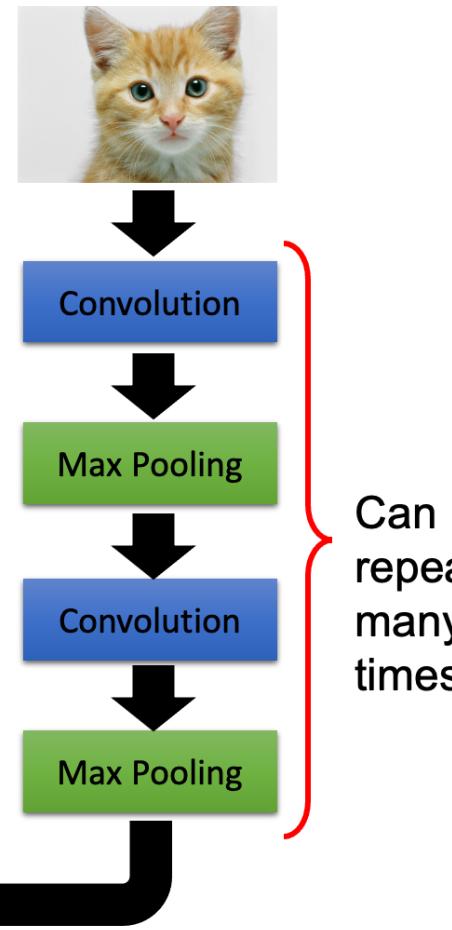
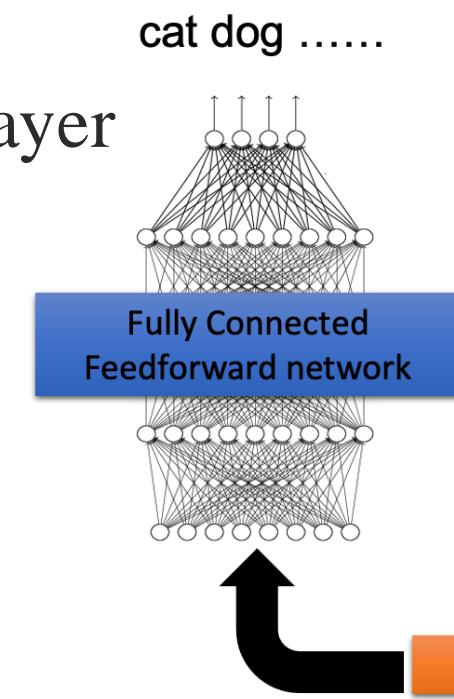
- Motivation: neural networks perhaps too big (generic)
 - Full connectivity at each step might be too much
 - Disconnecting some connections performance is not impaired or even better
- Intuition: how people extract content from an image
- Features we care about are often only a small part of the image
 - Lots of redundant information
- Presence may exist in the form of panning, zooming, rotating
- Zooming of the image (within reason) does not affect our judgment

Convolutional Neural Network

□ Introduction

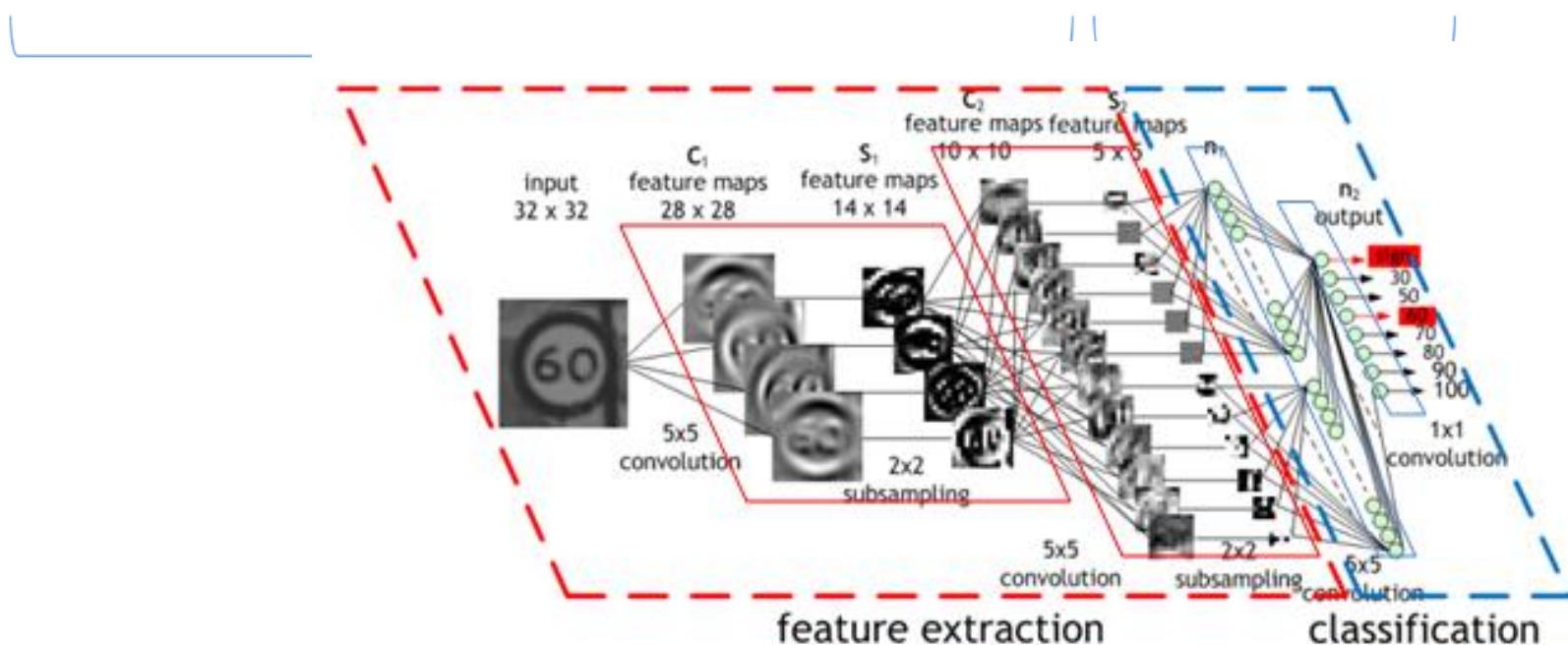
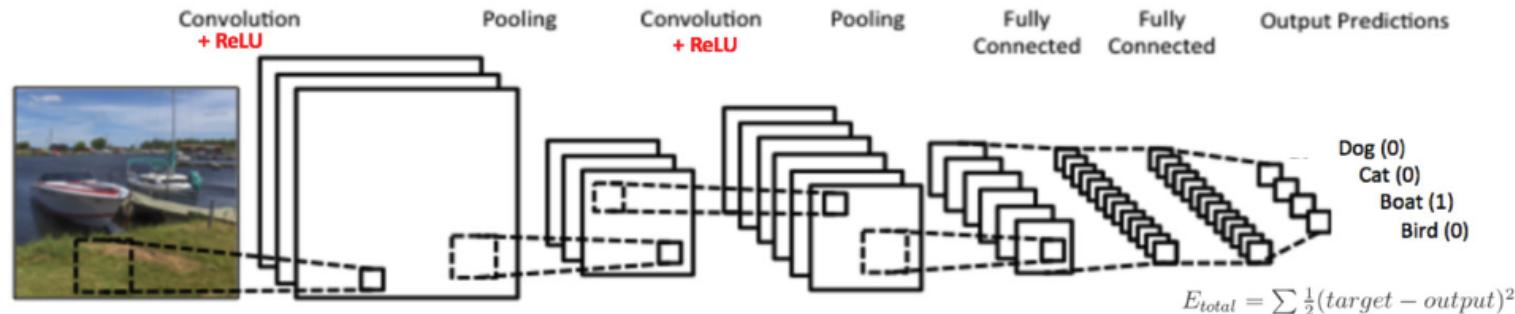
A typical CNN has 4 layers

- Input layer
- Convolution layer
- Pooling layer
- Fully connected layer



Convolutional Neural Network

□ Introduction



Convolutional Neural Network

□ Classical Architecture

➤ Three Main Concepts in CNNs

- Receptive Field

- Convolution (Simple Cell)

- Pooling (Complex Cell)

Convolutional Neural Network

□ Classical Architecture of CNN

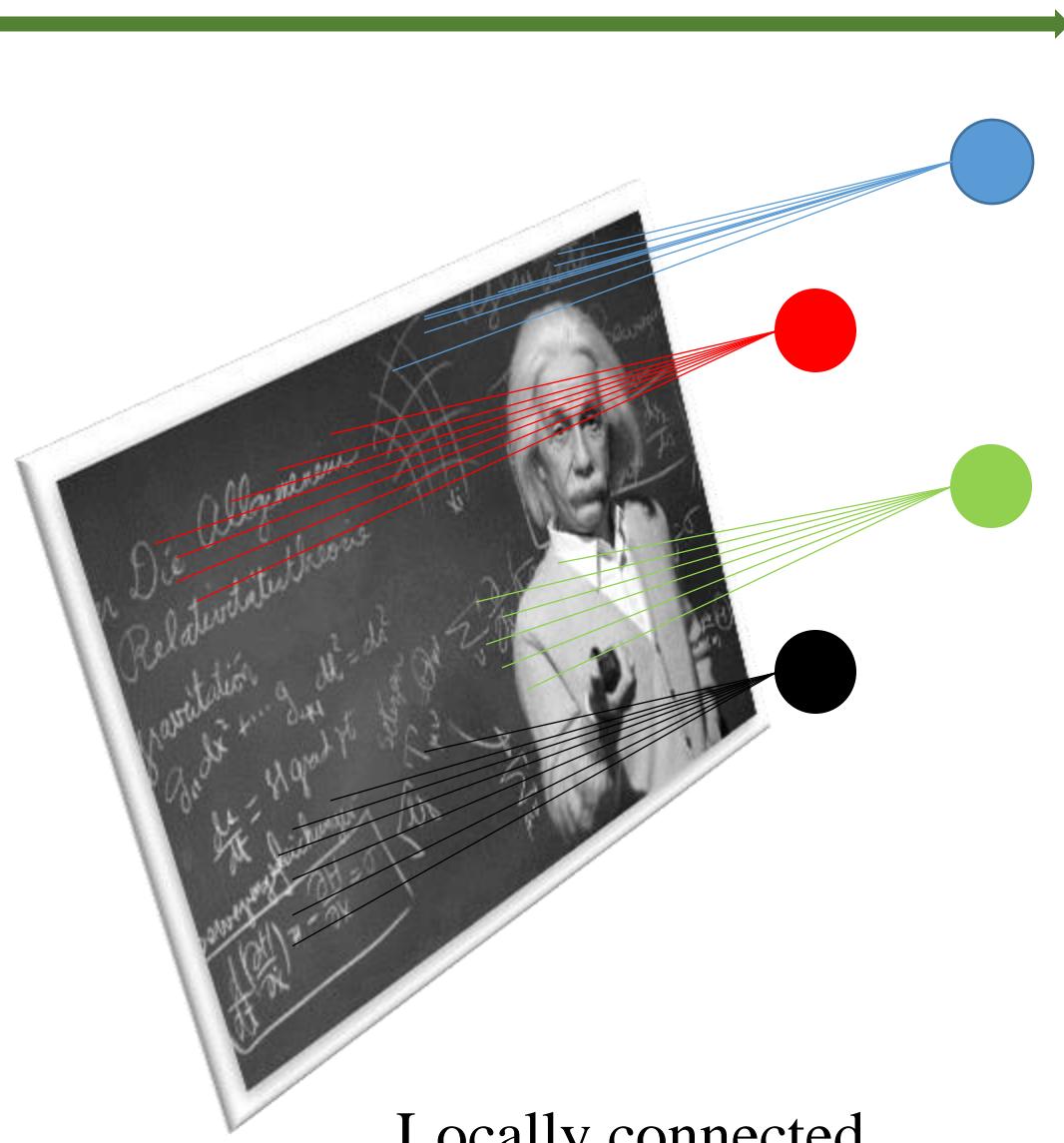


64 * 64 image



Convolutional Neural Network

- Classical Architecture
 - Receptive Field



Convolutional Neural Network

□ Classical Architecture of CNN

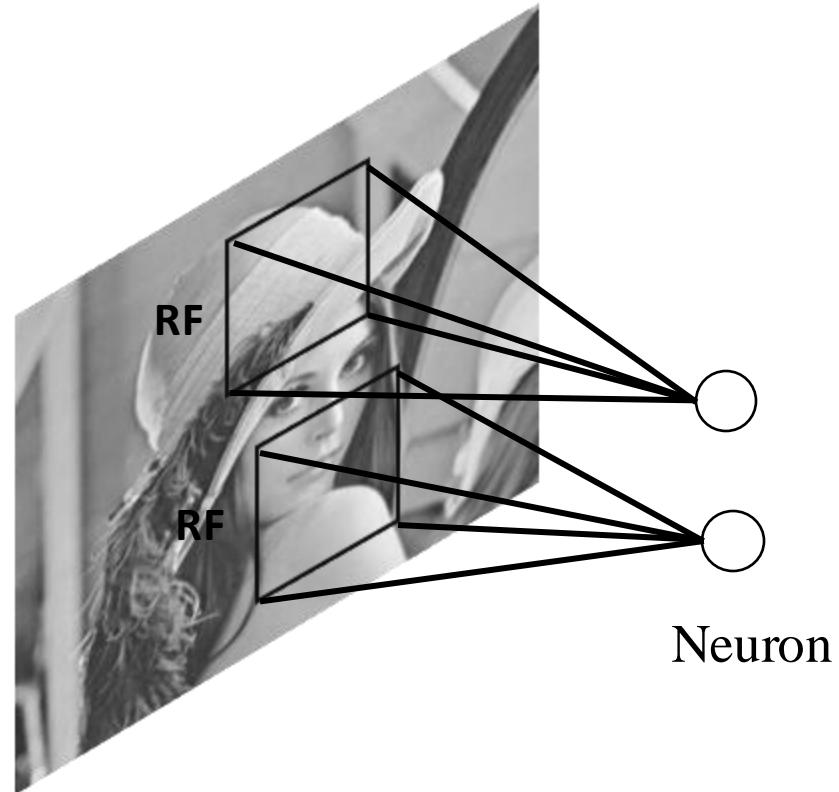
➤ Receptive Field

- Receptive Field

- Receptive Field of a neuron is a *continuous sub-region* of the input space

- Locally Connected

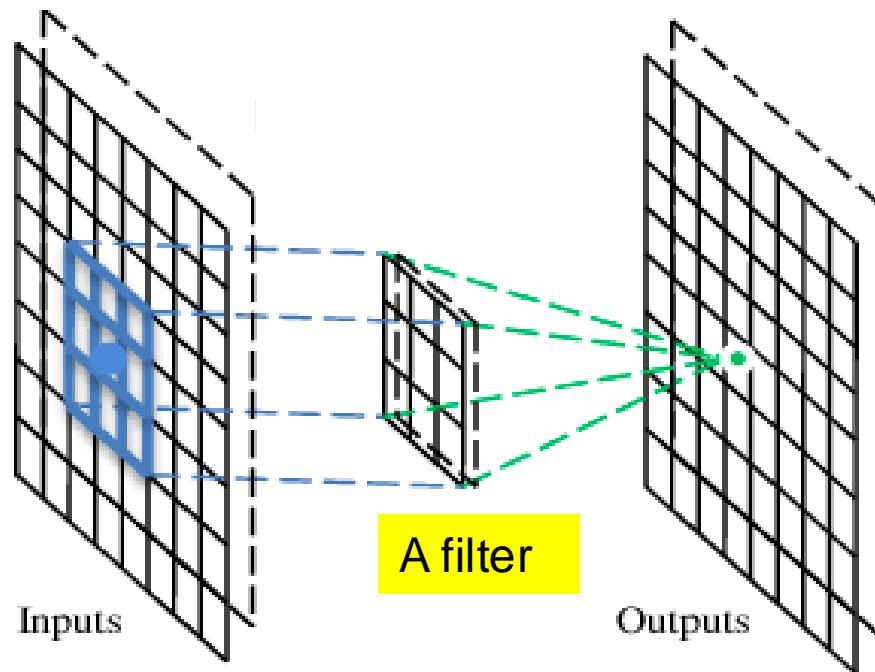
- Neuron is just connected to its own Receptive Field



Convolutional Neural Network

□ Classical Architecture of CNN

A CNN is a neural network with some convolutional layers (and some other layers). A convolutional layer has a number of filters that does convolutional operation.



Convolutional Neural Network

□ Classical Architecture

➤ Three Main Concepts in CNNs

- Receptive Field

- Convolution (Simple Cell)

- Pooling (Complex Cell)

Convolutional Neural Network

□ Classical Architecture of CNN

1	0	1
0	1	0
1	0	1

Filter / Kernel

1 x1	1 x0	1 x1	0	0
0 x0	1 x1	1 x0	1	0
0 x1	0 x0	1 x1	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

Convolved Feature

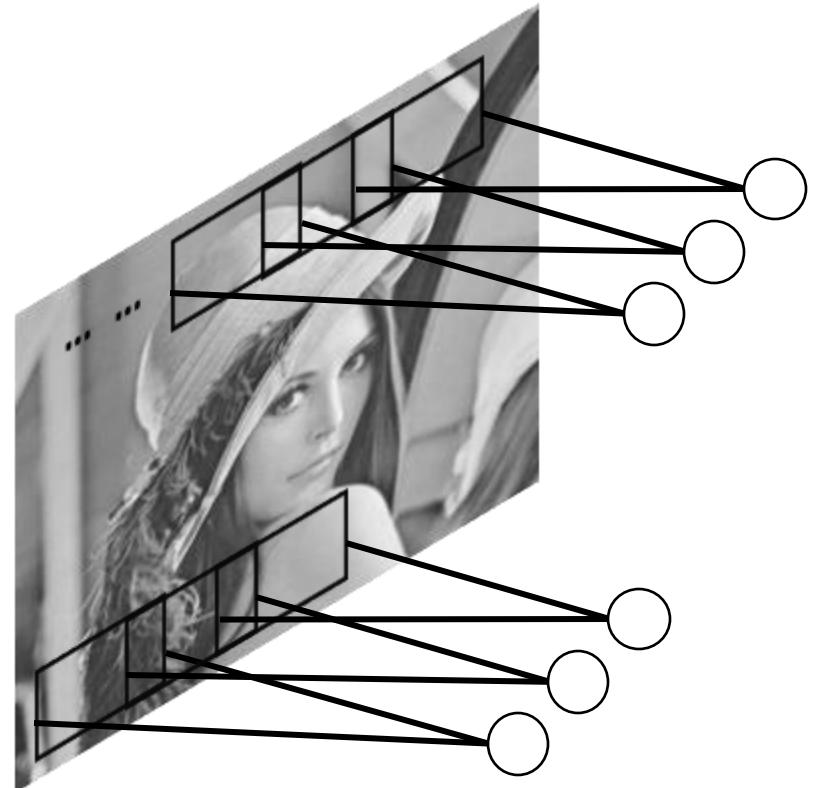
The sum of the dot product of the image pixel value and kernel pixel value gives the output matrix

Convolutional Neural Network

□ Classical Architecture

➤ Convolution (Simple Cell)

- Shared Weight
- All units share the same set of weights
- Why Shared Weight
- Features that are useful on one part of the image and probably useful elsewhere
- Shared Weight can reduce the number of parameters



Convolutional Neural Network

□ Classical Architecture - Convolution

0	<table border="1"><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr></table>	1	0	1	1	0	1	1	0	1
1	0	1								
1	0	1								
1	0	1								

1	<table border="1"><tr><td>1</td><td>1</td><td>1</td></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>1</td><td>1</td><td>1</td></tr></table>	1	1	1	0	0	0	1	1	1
1	1	1								
0	0	0								
1	1	1								

Convolutional Neural Network

□ Classical Architecture - Convolution

0	<table border="1"><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr><tr><td>1</td><td>0</td><td>1</td></tr></table>	1	0	1	1	0	1	1	0	1
1	0	1								
1	0	1								
1	0	1								

<table border="1"><tr><td>0</td><td>0.5</td><td>0</td></tr><tr><td>0</td><td>1</td><td>0</td></tr><tr><td>0</td><td>0.5</td><td>0</td></tr></table>	0	0.5	0	0	1	0	0	0.5	0
0	0.5	0							
0	1	0							
0	0.5	0							

1	<table border="1"><tr><td>1</td><td>1</td><td>1</td></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>1</td><td>1</td><td>1</td></tr></table>	1	1	1	0	0	0	1	1	1
1	1	1								
0	0	0								
1	1	1								

Convolutional Neural Network

□ Classical Architecture - Convolution

$$\begin{array}{c} \text{Input} \\ \begin{matrix} & \begin{matrix} 1 & 0 & 1 \\ 1 & 0 & 1 \\ 1 & 0 & 1 \end{matrix} \\ 0 & \end{matrix} \\ \times \\ \begin{matrix} & \begin{matrix} 0 & 0.5 & 0 \\ 0 & 1 & 0 \\ 0 & 0.5 & 0 \end{matrix} \\ & \end{matrix} \end{array} = \begin{array}{c} \text{Output} \\ \begin{matrix} & \begin{matrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{matrix} \\ 0 & \end{matrix} \\ \sum \\ \begin{matrix} & \begin{matrix} 0 & 0.5 & 0 \\ 0 & 0 & 0 \\ 0 & 0.5 & 0 \end{matrix} \\ 1 & \end{matrix} \end{array}$$

Element wise product

The diagram illustrates a convolution operation. The input is a 3x3 matrix with values 1, 0, 1 in yellow cells and 0 in green cells. The kernel is a 3x3 matrix with values 0, 0.5, 0 in white cells and 1 in yellow cells. The result is a 3x3 matrix with all values 0. A blue arrow points from the input's green cell at position (1,1) to the kernel's value 1, labeled "Element wise product".

Convolutional Neural Network

□ Classical Architecture of CNN

These are the network parameters to be learned.

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

1	-1	-1
-1	1	-1
-1	-1	1

Kernel/Filter

Each filter detects a small pattern (3 x 3).

Convolutional Neural Network

□ Classical Architecture of CNN

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

Dot
product



3

-1

1	-1	-1
-1	1	-1
-1	-1	1

kernel

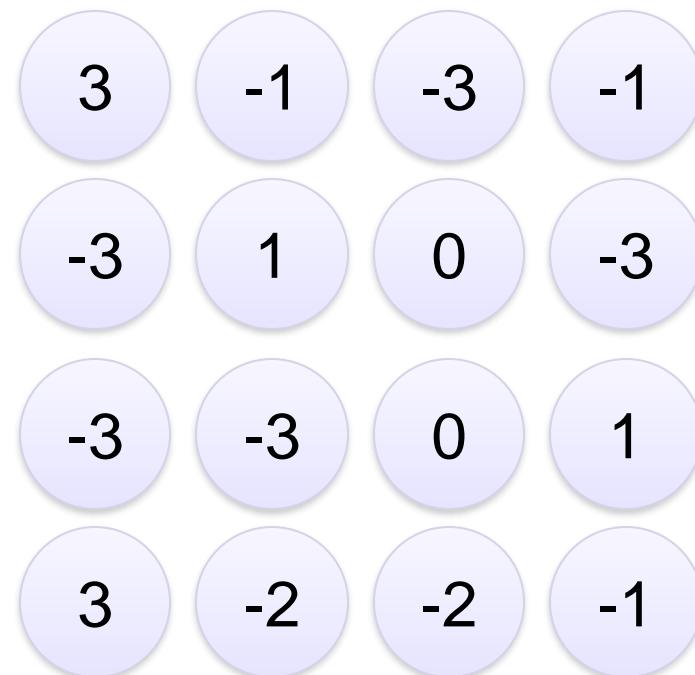
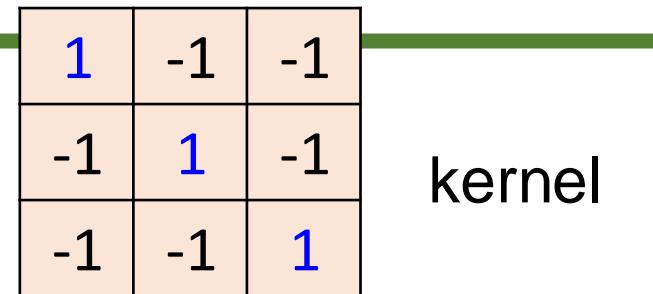
Convolutional Neural Network

□ Classical Architecture

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image



Convolutional Neural Network

□ Classical Architecture of CNN

If stride=2

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

kernel

3

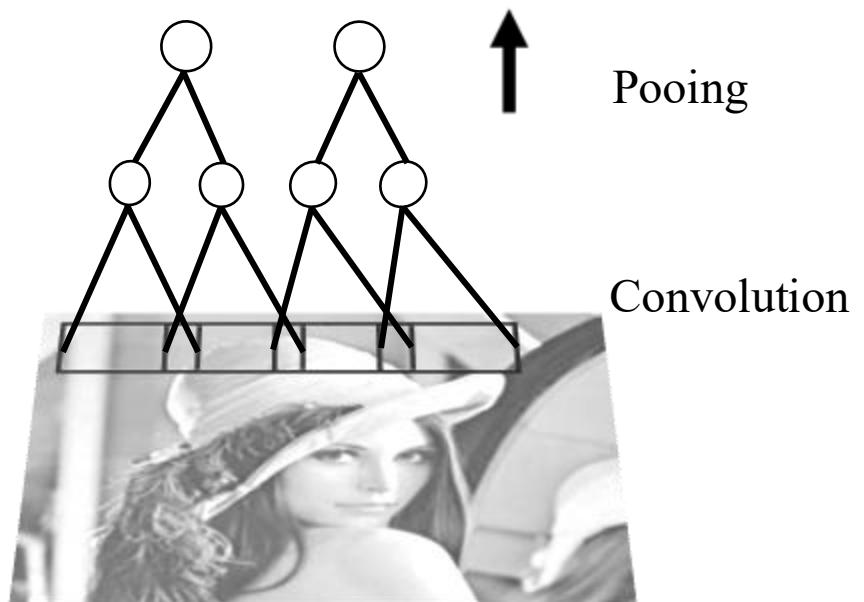
-3

Convolutional Neural Network

□ Classical Architecture - Example

Input $X = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}$

Filter $w = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$



Convolutional Neural Network

□ Classical Architecture - Example

$$\begin{cases} z_{11}^1 = 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 \\ \quad = 5 \\ a_{11}^1 = f(z_{11}^1) = 5 \end{cases}$$

1 _{x1}	1 _{x0}	1 _{x1}	0	0	1
0 _{x0}	1 _{x1}	1 _{x0}	1	0	0
1 _{x1}	0 _{x0}	1 _{x1}	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

a_{11}^1	a_{12}^1	a_{13}^1	a_{14}^1
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

?

Convolutional Neural Network

□ Classical Architecture - Example

$$\begin{cases} z_{12}^1 = 1 \times 1 + 1 \times 0 + 0 \times 1 + 1 \times 0 + 1 \times 1 + 1 \times 0 + 0 \times 1 + 1 \times 0 + 1 \times 1 \\ \quad = 3 \\ a_{12}^1 = f(z_{12}^1) = 3 \end{cases}$$

1	1 _{x1}	1 _{x0}	0 _{x1}	0	1
0	1 _{x0}	1 _{x1}	1 _{x0}	0	0
1	0 _{x1}	1 _{x0}	1 _{x1}	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	a_{12}^1	a_{13}^1	a_{14}^1
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

1	1	1_{x1}	0_{x0}	0_{x1}	1
0	1	1_{x0}	1_{x1}	0_{x0}	0
1	0	1_{x1}	1_{x0}	1_{x1}	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

1	1	1	0_{x1}	0_{x0}	1_{x1}
0	1	1	1_{x0}	0_{x1}	0_{x0}
1	0	1_{x1}	1_{x0}	1_{x1}	1_{x1}
0	0	1	1	1	0
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	a_{13}^1	a_{14}^1
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

$$\begin{cases} z_{21}^1 = 0 \times 1 + 1 \times 0 + 1 \times 1 + 1 \times 0 + 0 \times 1 + 1 \times 0 + 0 \times 1 + 0 \times 0 + 1 \times 1 \\ \quad = 2 \\ a_{21}^1 = f(z_{21}^1) = 2 \end{cases}$$

1	1	1	0	0	1
0 _{x1}	1 _{x0}	1 _{x1}	1	0	0
1 _{x0}	0 _{x1}	1 _{x0}	1	1	1
0 _{x1}	0 _{x0}	1 _{x1}	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

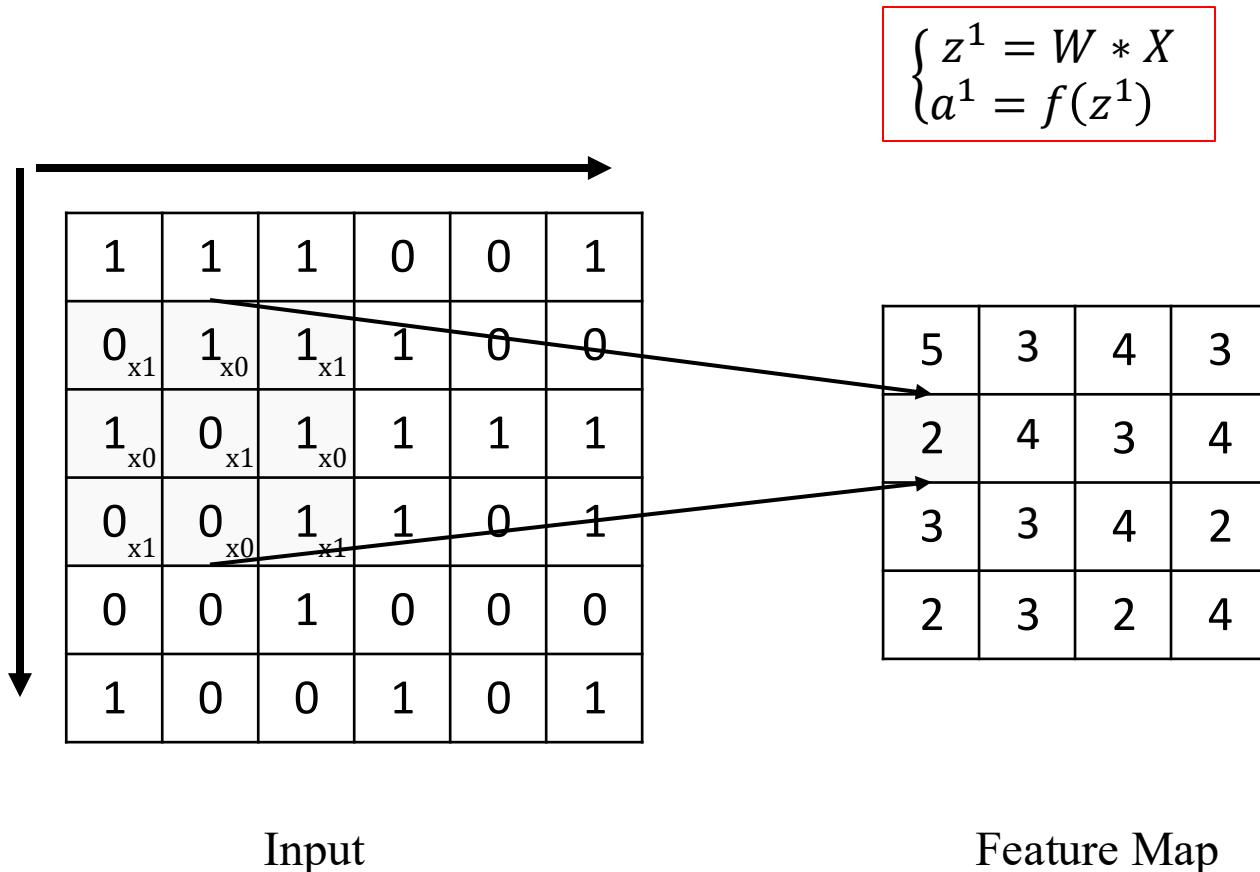
Input

5	3	4	3
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

Convolutional Neural Network

□ Classical Architecture - Example



Convolutional Neural Network

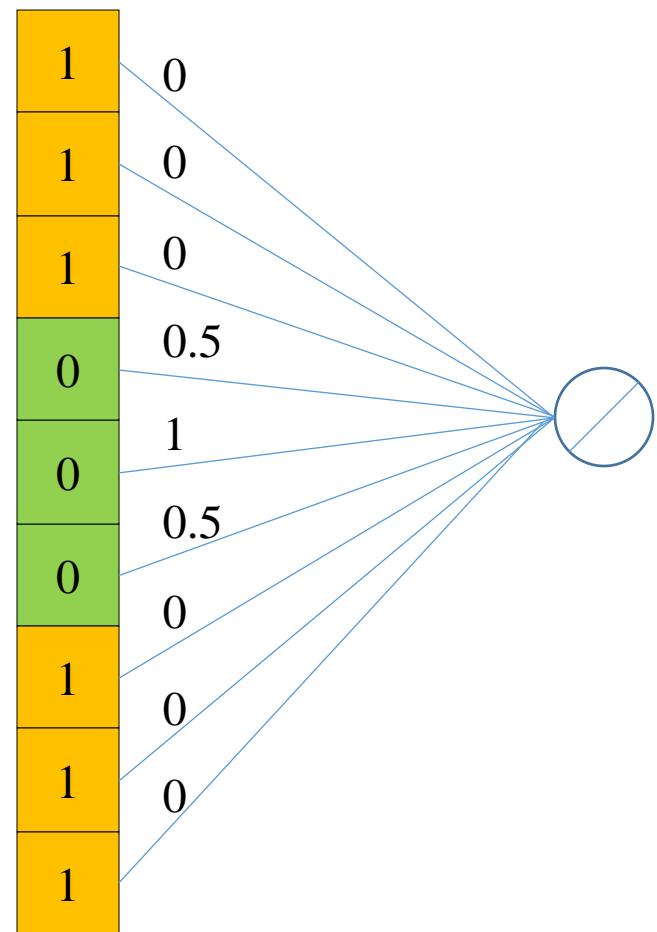
□ Classical Architecture - Convolution

1	0	1
1	0	1
1	0	1

Input image

0	0.5	0
0	1	0
0	0.5	0

Kernel



Convolutional Neural Network

□ Classical Architecture - Convolution

1	0	0	1
1	1	0	1
1	1	0	1
1	0	0	0

Input image

0	0.5	0
0	1	0
0	0.5	0

Kernel

Convolutional Neural Network

□ Classical Architecture - Convolution

Convolution

1	0	0
1	1	0
1	1	0
1	0	0

1	0	0	1
1	1	0	1
1	1	0	1
1	0	0	0

1	0	0	1
1	1	0	1
1	1	0	1
1	0	0	0

1	0	0	1
1	1	0	1
1	1	0	1
0	0	0	0

×

0	0.5	0
0	1	0
0	0.5	0

×

0	0.5	0
0	1	0
0	0.5	0

×

0	0.5	0
0	1	0
0	0.5	0

×

0	0.5	0
0	1	0
0	0.5	0

1.5

0

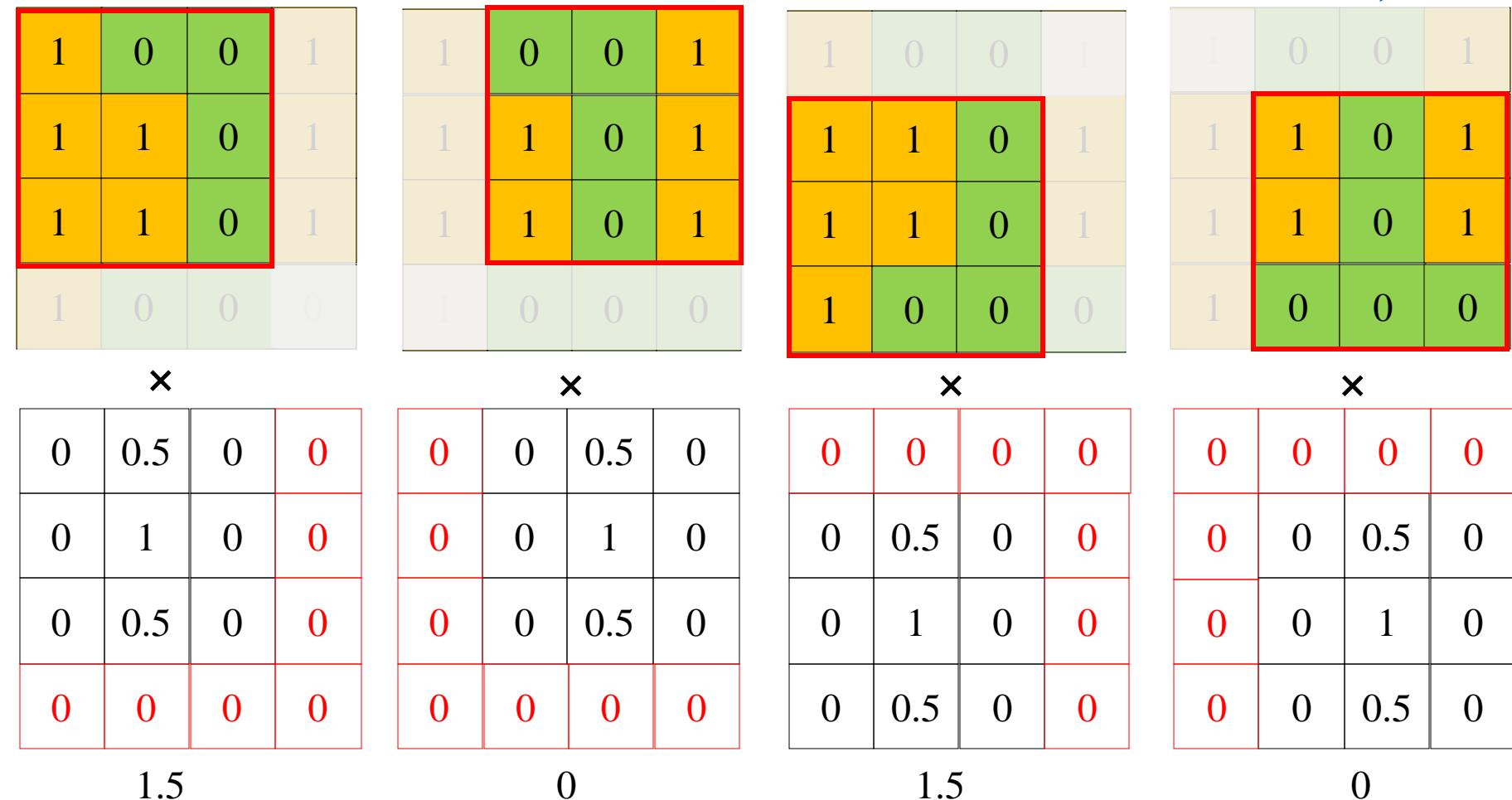
1.5

0

Convolutional Neural Network

□ Classical Architecture - Convolution

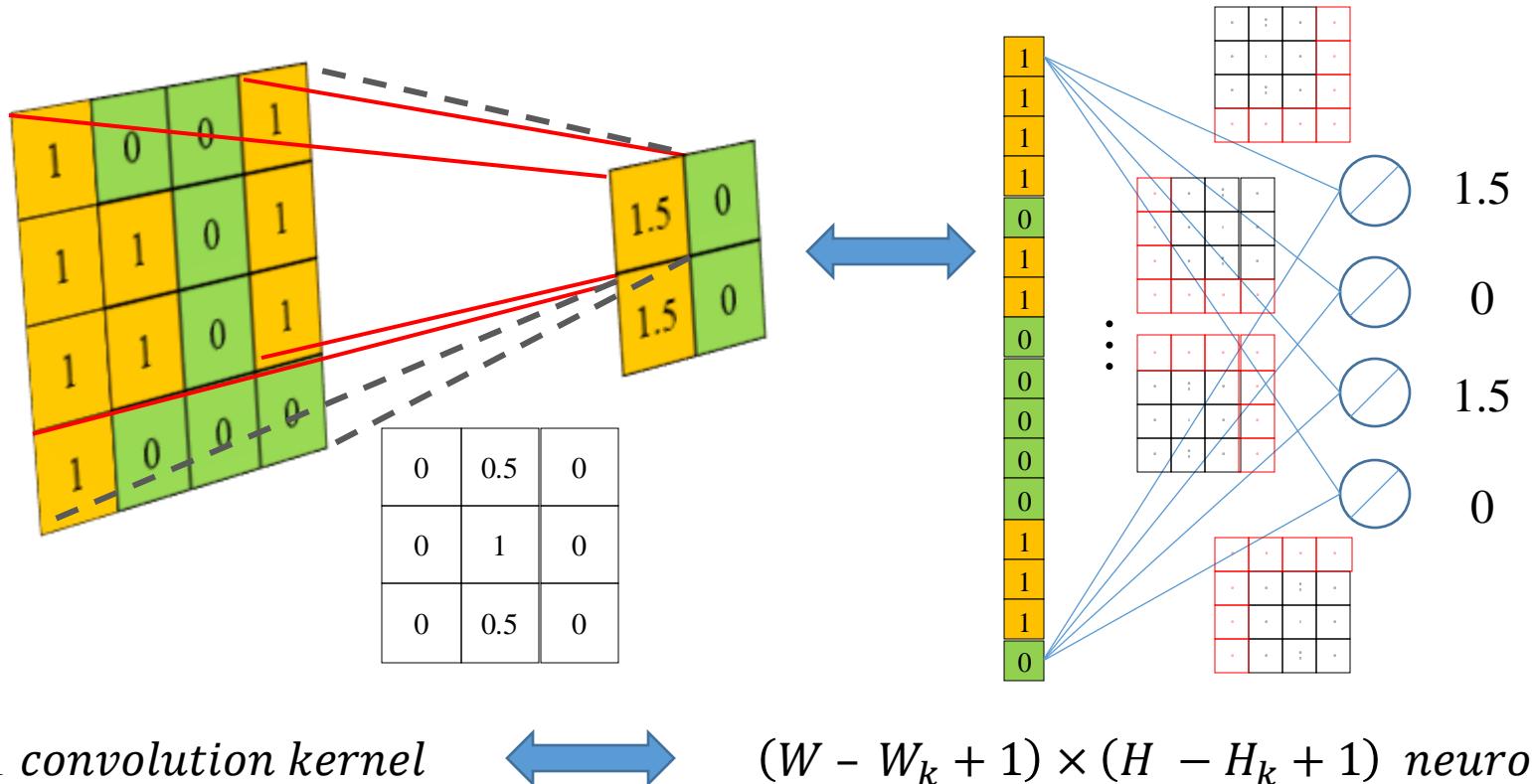
Convolution



Convolutional Neural Network

□ Classical Architecture - Convolution

Each convolutional kernel is equivalent to several spatially constrained FC neurons



Convolutional Neural Network

□ Classical Architecture - Convolution

Convolutional Kernels are special masks(filters) that respond to specific patterns in the input image.

0	0.5	0
0	1	0
0	0.5	0

0.5	0	0
0	1	0
0	0	0.5

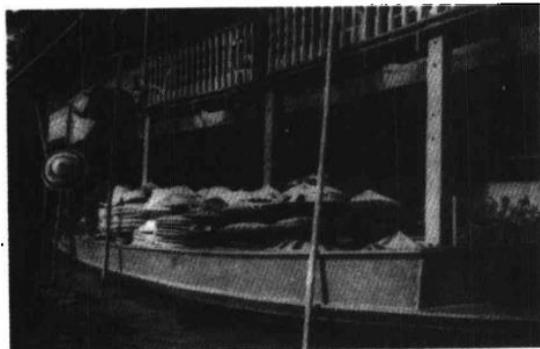
*	0	*
*	0	*
*	0	*

0	*	*
*	0	*
*	*	0

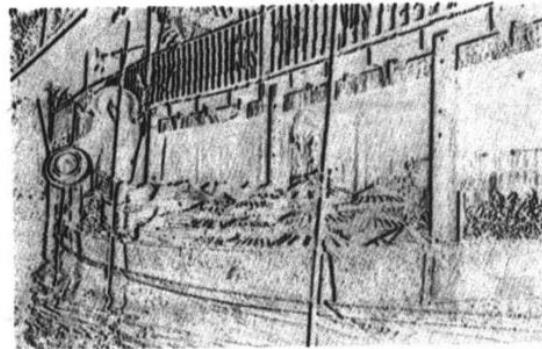
Convolutional Neural Network

□ Classical Architecture - Example

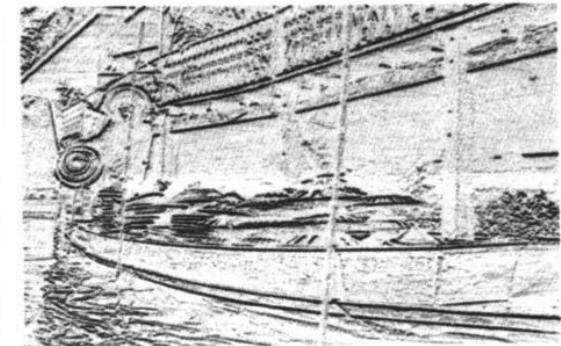
- Multiple Convolutions with Different Filters
 - Different filters detect different features



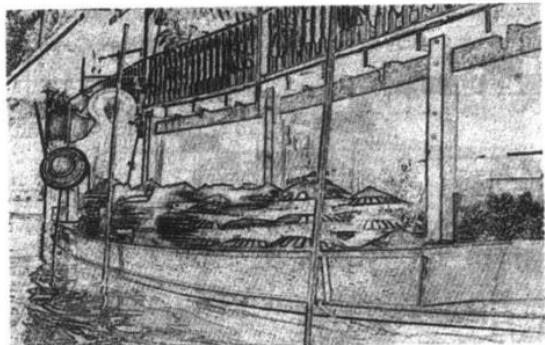
Raw Image



Vertical Edge Detect by w_1



Horizontal Edge Detect by w_2



Horizontal & Vertical

$$w1 = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$



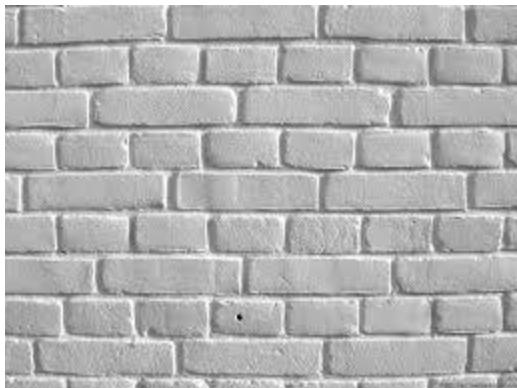
$$w2 = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$



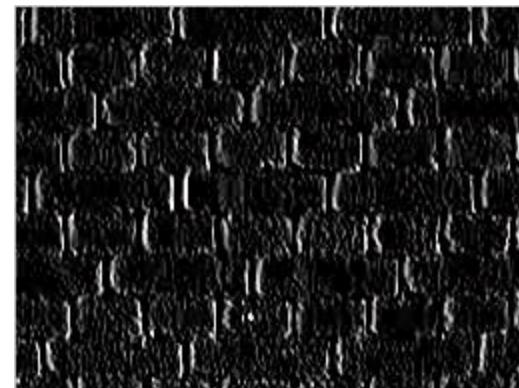
Convolutional Neural Network

□ Classical Architecture - Example

- Multiple Convolutions with Different Filters
 - Different filters detect different features



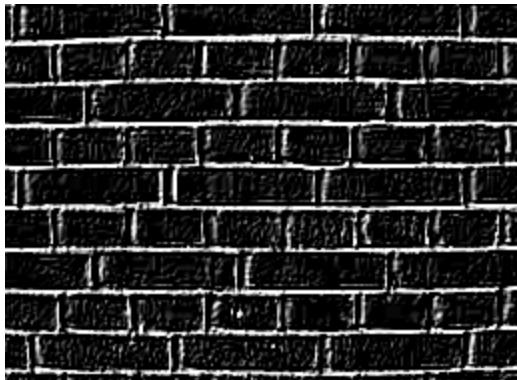
Raw Image



Vertical Edge Detect by w1



Horizontal Edge Detect by w2



Horizontal & Vertical

$$w1 = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$



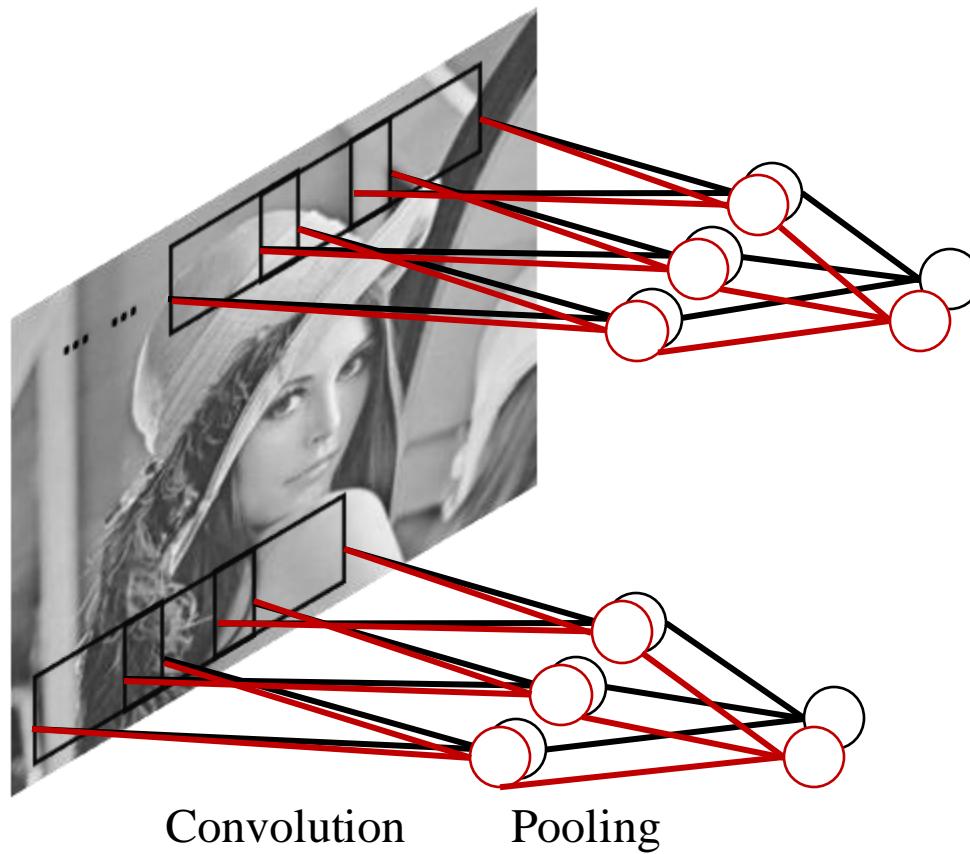
$$w2 = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$



Convolutional Neural Network

□ Classical Architecture

- Multiple Convolutions with Different Filters
 - Detect multiple features at each receptive field



Convolutional Neural Network

□ Classical Architecture

- Multiple Convolutions with Different Filters

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

filter 1

-1	1	-1
-1	1	-1
-1	1	-1

filter 2

: :

Each filter detects a small pattern (3 x 3).

Convolutional Neural Network

□ Classical Architecture

- Multiple Convolutions with Different Filters

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

filter 1

-1	1	-1
-1	1	-1
-1	1	-1

filter 2

: :

Each filter detects a small pattern (3 x 3).

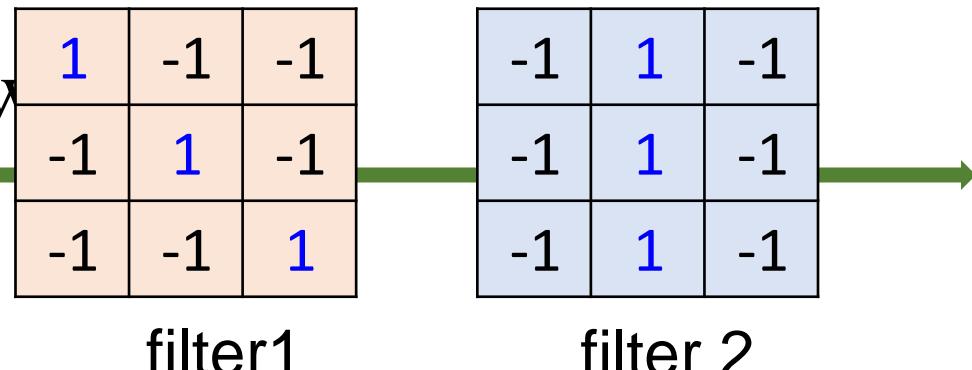
Convolutional Neural Network

□ Classical Architecture

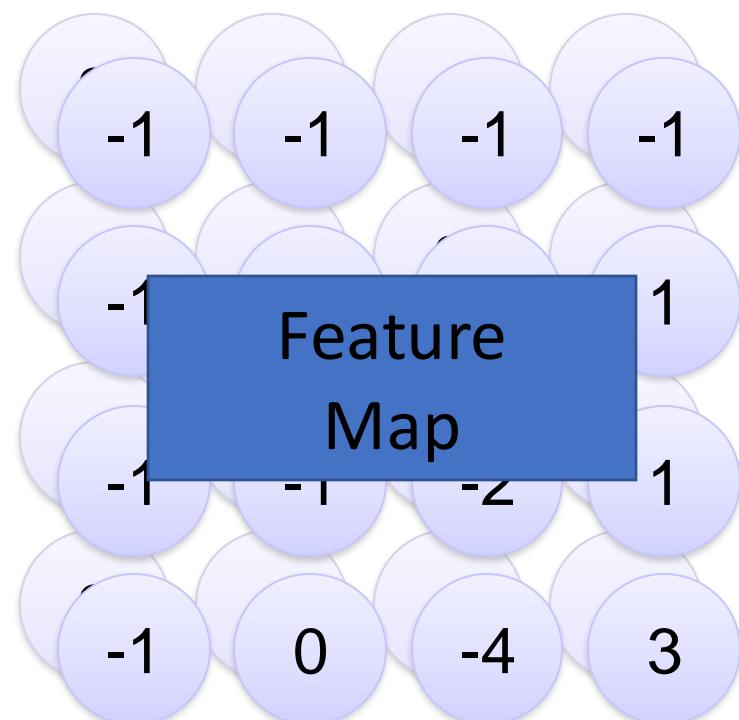
stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image



Repeat this for each filter

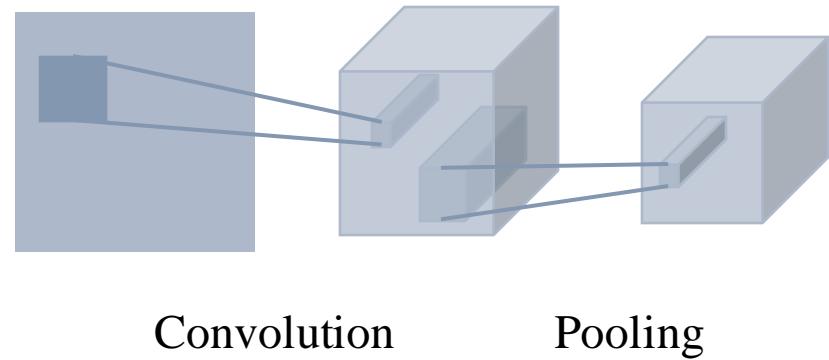
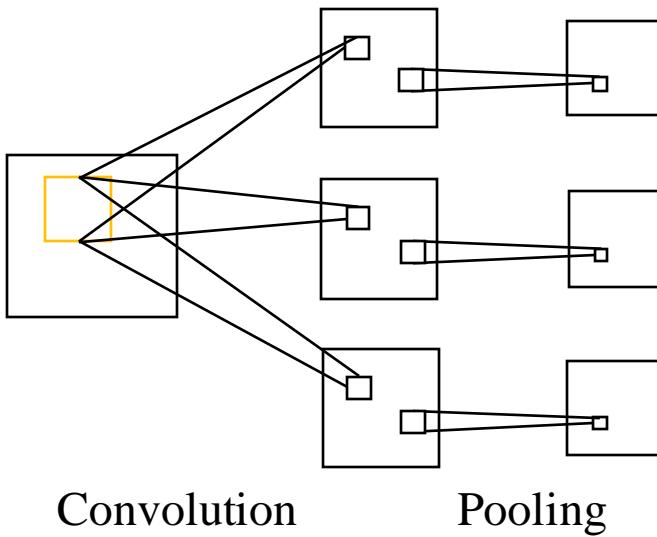


Two 4 x 4 images
Forming 4 x 4 x 2matrix

Convolutional Neural Network

□ Classical Architecture

- Multiple Convolutions with Different Filters
 - There results a 3D array, where each slice is a feature map.
 - Then pooling each feature map individually



Convolution

Pooling

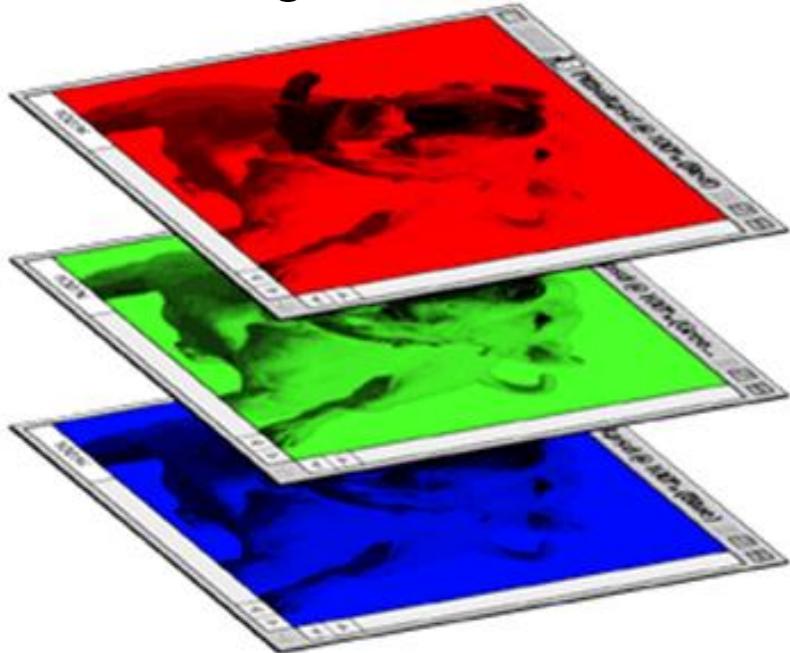
Convolutional Neural Network

□ Classical Architecture

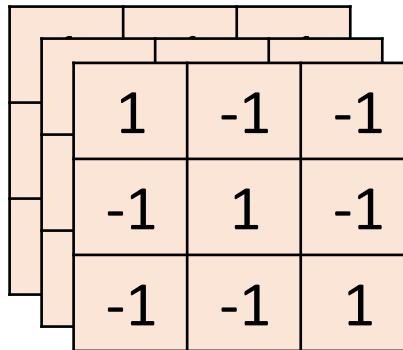
- Color image: RGB 3 channels

(use 1 filter with 3 kernels/channels)

Color image



=

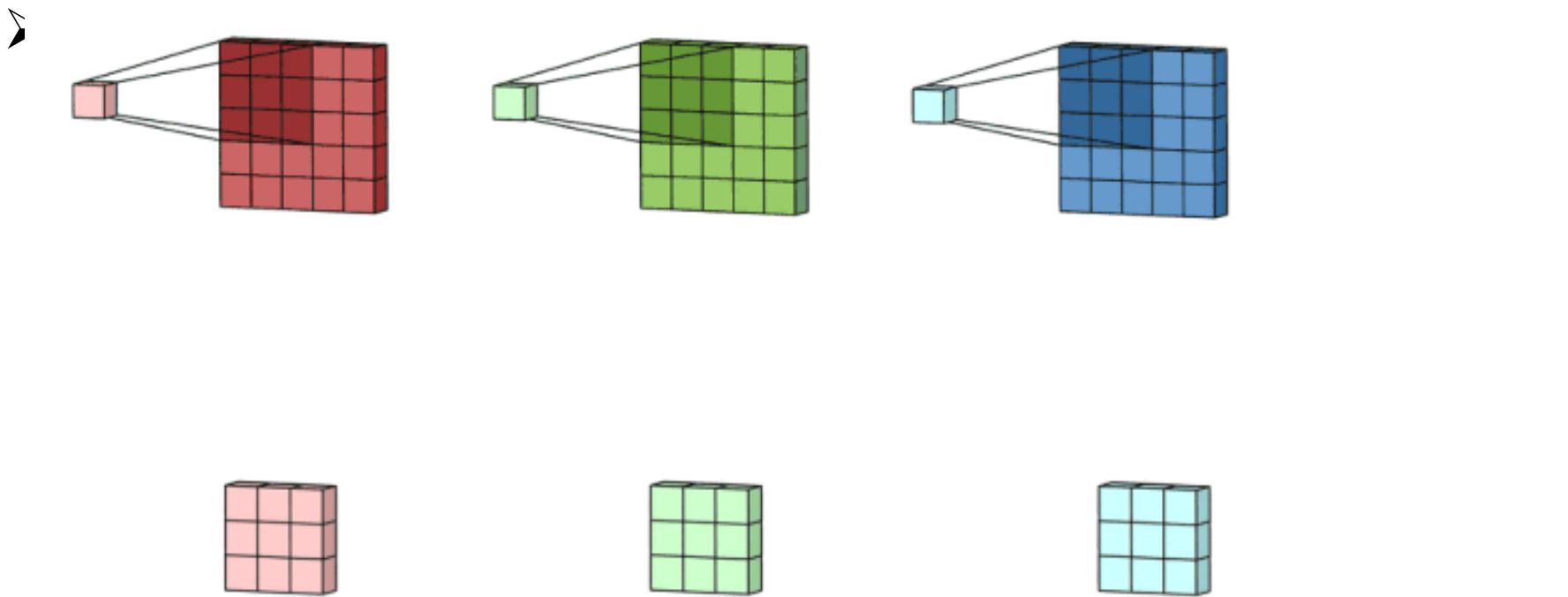


Filter

1	0	0	0	0	1
1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

Convolutional Neural Network

□ Classical Architecture



Convolutional Neural Network

□ Classical Architecture

0	0	0	0	0	0	...
0	156	155	156	158	158	...
0	153	154	157	159	159	...
0	149	151	155	158	159	...
0	146	146	149	153	158	...
0	145	143	143	148	158	...
...

Input Channel #1 (Red)

0	0	0	0	0	0	...
0	167	166	167	169	169	...
0	164	165	168	170	170	...
0	160	162	166	169	170	...
0	156	156	159	163	168	...
0	155	153	153	158	168	...
...

Input Channel #2 (Green)

0	0	0	0	0	0	...
0	163	162	163	165	165	...
0	160	161	164	166	166	...
0	156	158	162	165	166	...
0	155	155	158	162	167	...
0	154	152	152	157	167	...
...

Input Channel #3 (Blue)

-1	-1	1
0	1	-1
0	1	1

Kernel Channel #1



308

+

1	0	0
1	-1	-1
1	0	-1

Kernel Channel #2



-498

0	1	1
0	1	0
1	-1	1

Kernel Channel #3



164

+

Output

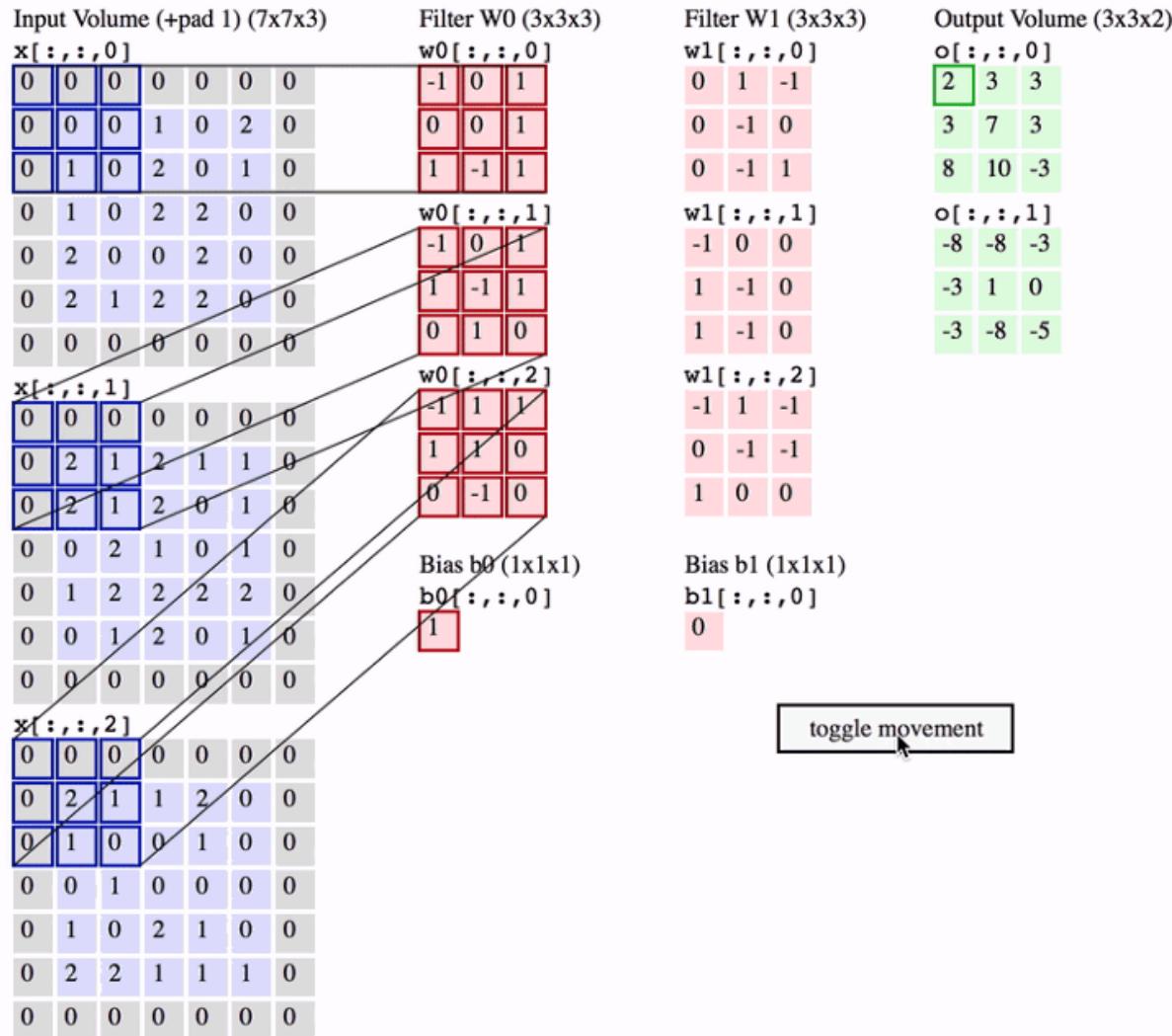
-25

Bias = 1
↑

Convolutional Neural Network

□ Classical Architecture

(use 2 filters with 3 kernels/channels respectively)



Convolutional Neural Network

□ Classical Architecture

➤ Three Main Concepts in CNNs

- Receptive Field

- Convolution (Simple Cell)

- Pooling (Complex Cell)

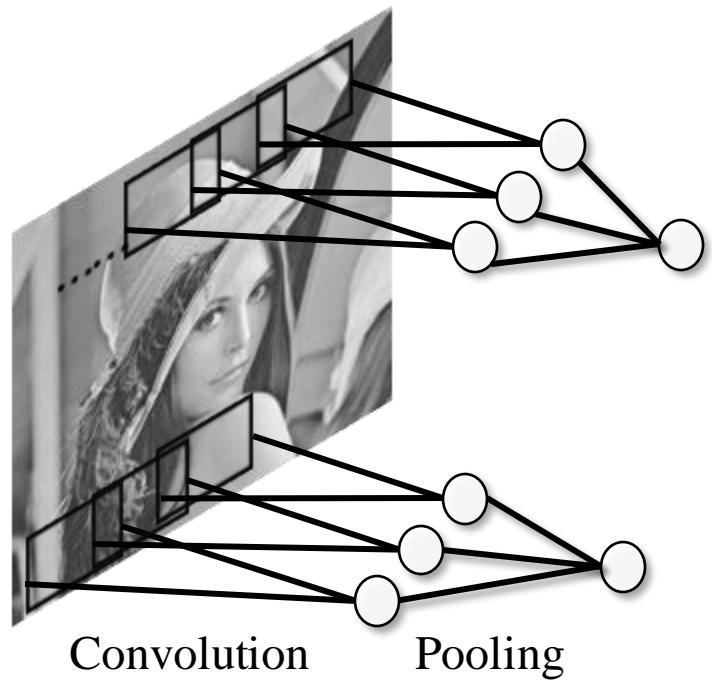
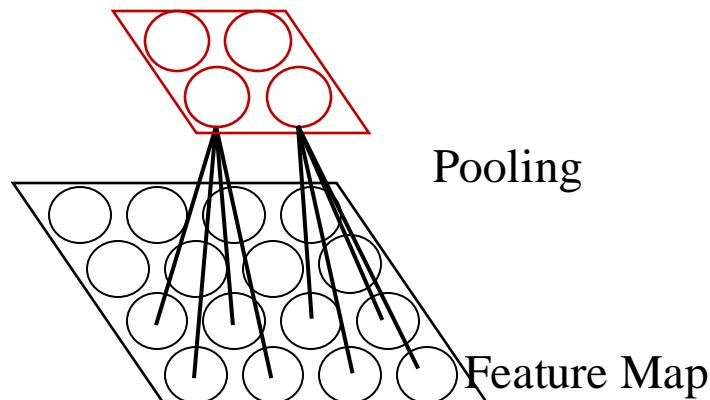
Convolutional Neural Network

□ Classical Architecture

➤ Pooling (Complex Cell)

● Pooling

- Pooling is an aggregation operation applied to receptive field on feature map
- Sometimes are max/mean pooling



Convolutional Neural Network

- Classical Architecture
 - Pooling (Complex Cell)

4	8	9	8
5	7	7	6
1	2	7	8
3	5	5	5



8	9
5	8

Maximum Pooling

6	7.5
2.75	6.25

Average Pooling

Convolutional Neural Network

□ Classical Architecture

- Why Pooling
 - Subsampling pixels will not change the object

bird



bird



Subsampling

We can subsample the pixels to make image smaller



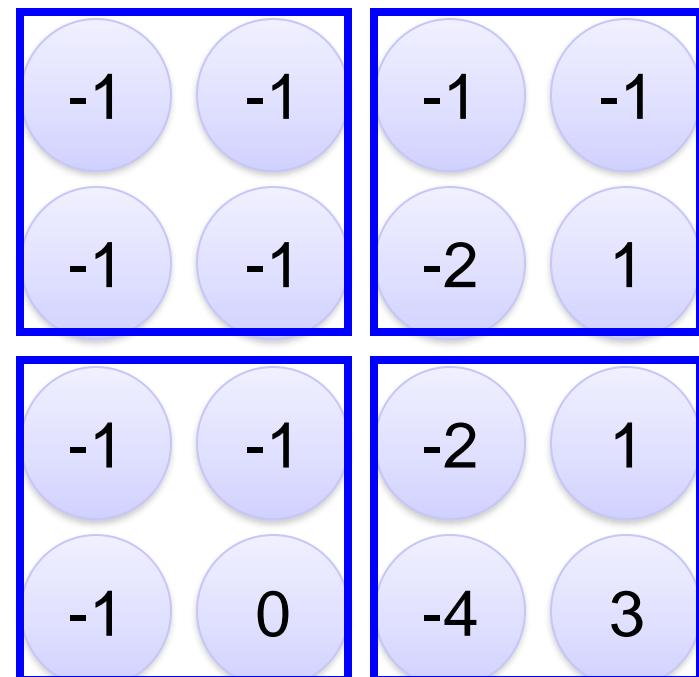
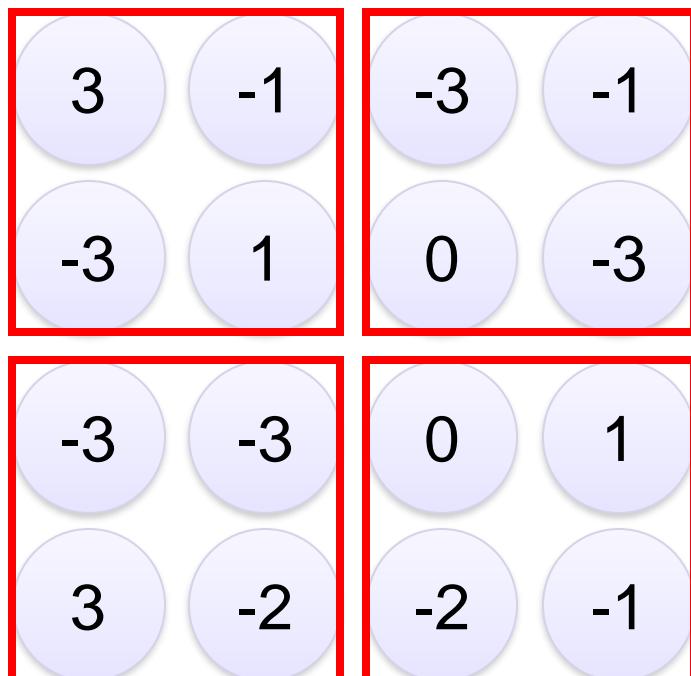
fewer parameters to characterize the image

Convolutional Neural Network

□ Classical Architecture

➤ Max Pooling

Pooling window 2x2



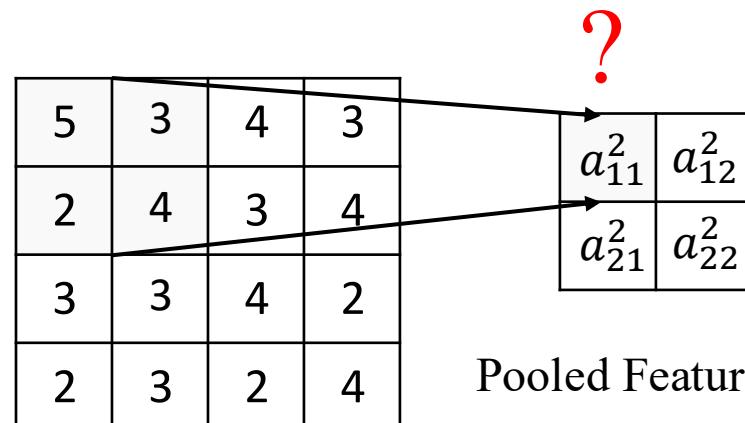
Convolutional Neural Network

□ Classical Architecture - Example

Max Pooling

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input



Feature Map

Pooled Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

Max Pooling

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

Pooled Feature Map

5	a_{12}^2
a_{21}^2	a_{22}^2

Convolutional Neural Network

□ Classical Architecture - Example

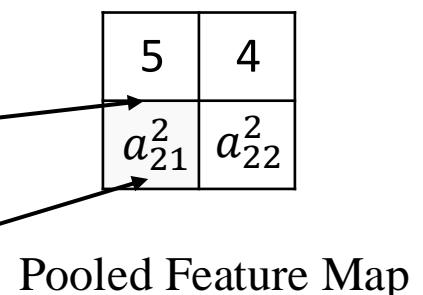
Max Pooling

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map



Pooled Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

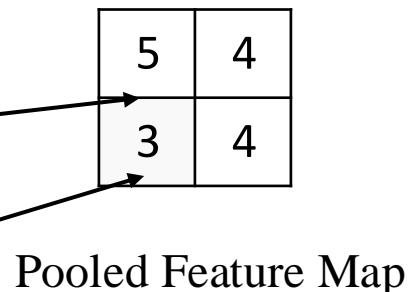
Max Pooling

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map



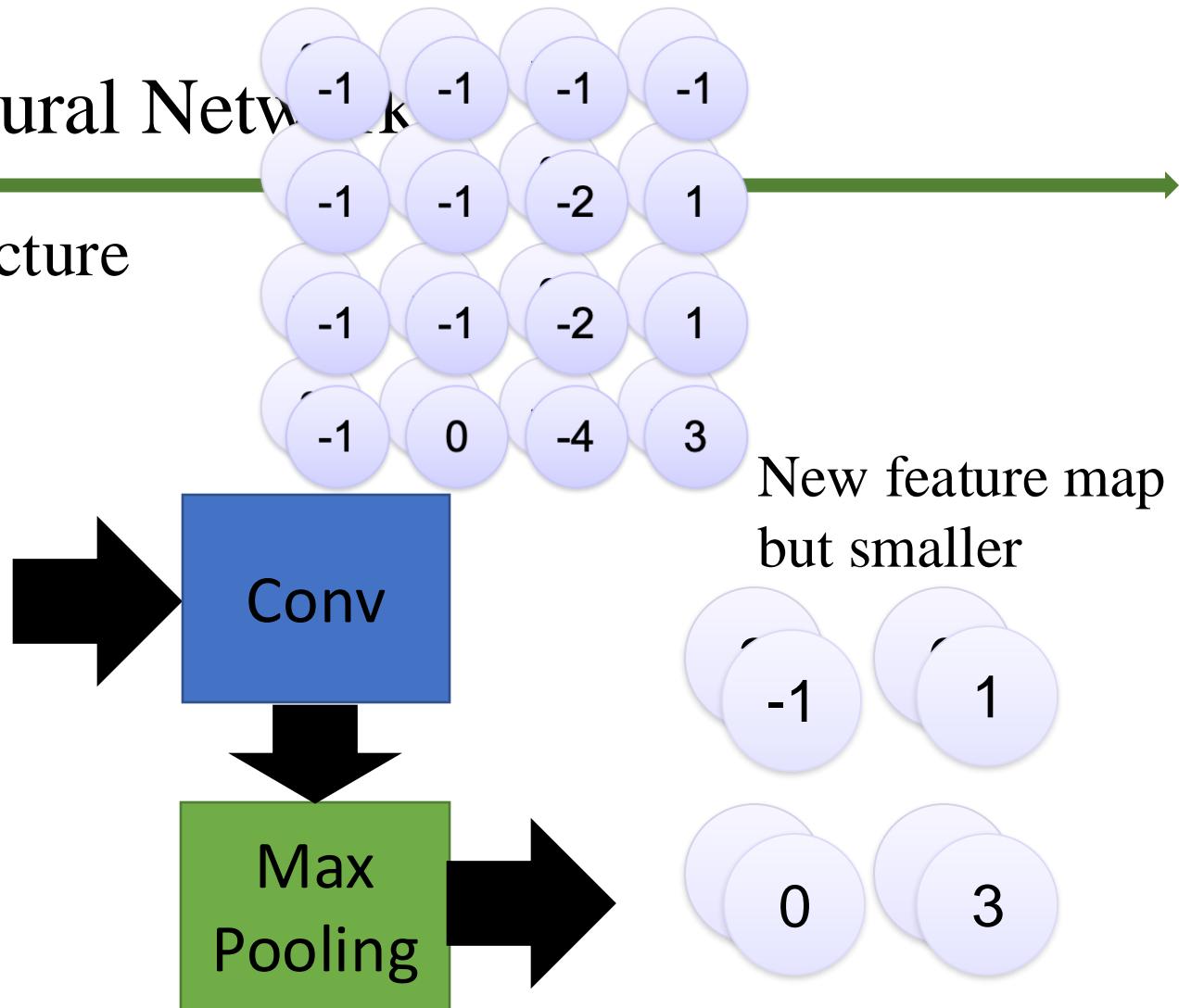
Pooled Feature Map

Convolutional Neural Network

- Classical Architecture
 - Pooling

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

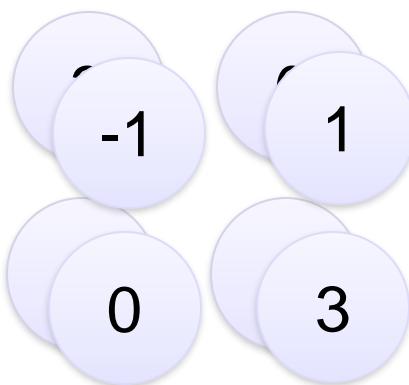


2 x 2 feature map

n filters,
output n channels

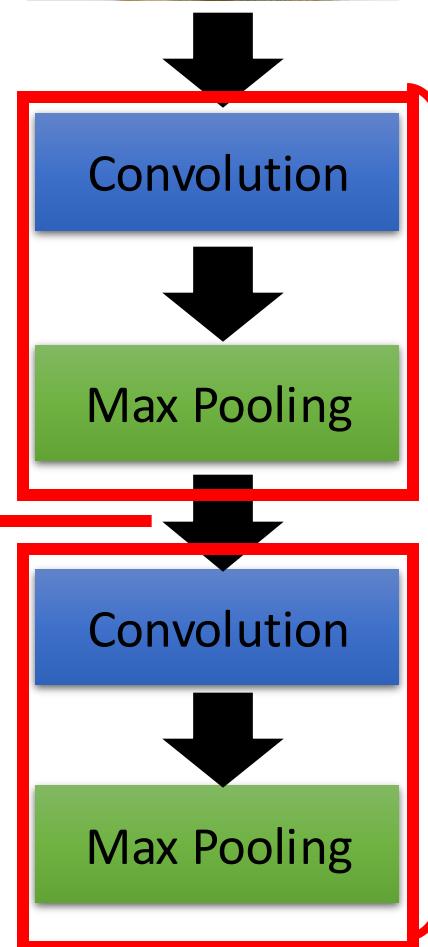
Convolutional Neural Network

- Classical Architecture
 - Pooling



A new feature map

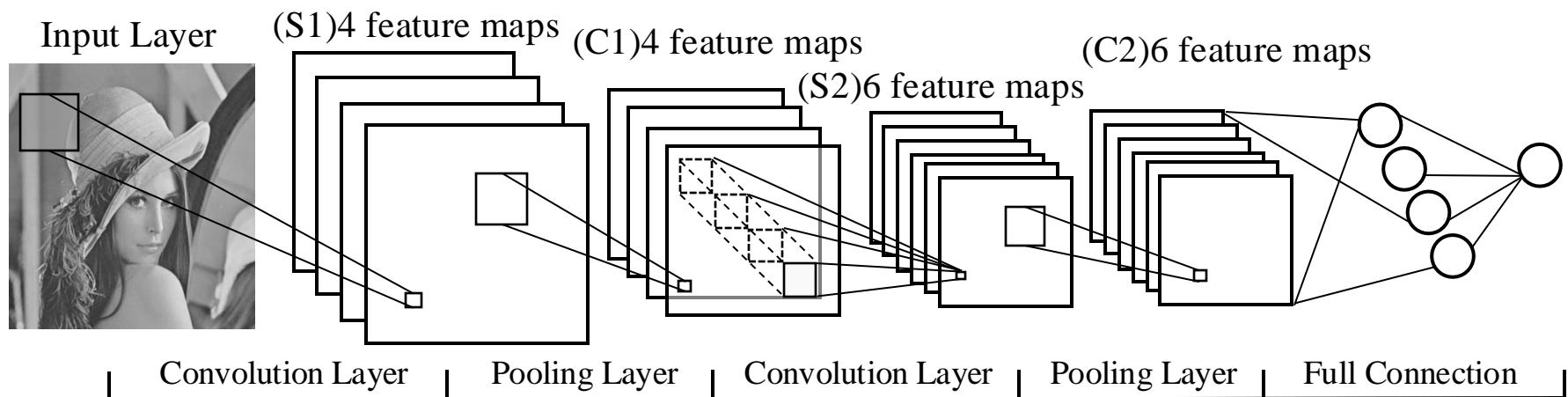
Smaller than the original image



Convolutional Neural Network

□ Classical Architecture

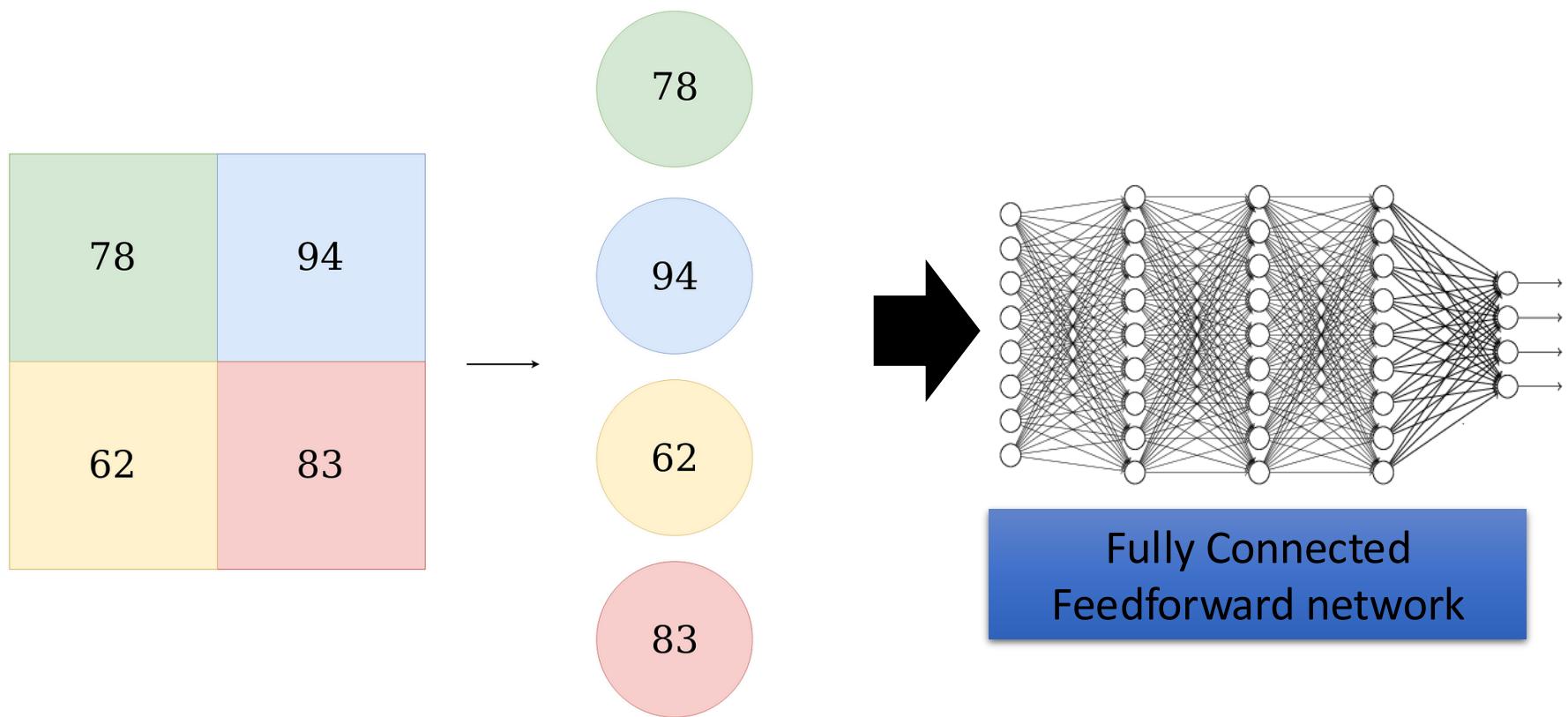
- Added by Full Connection Layers



Convolutional Neural Network

□ Classical Architecture

- Flattening - Full Connection Layers



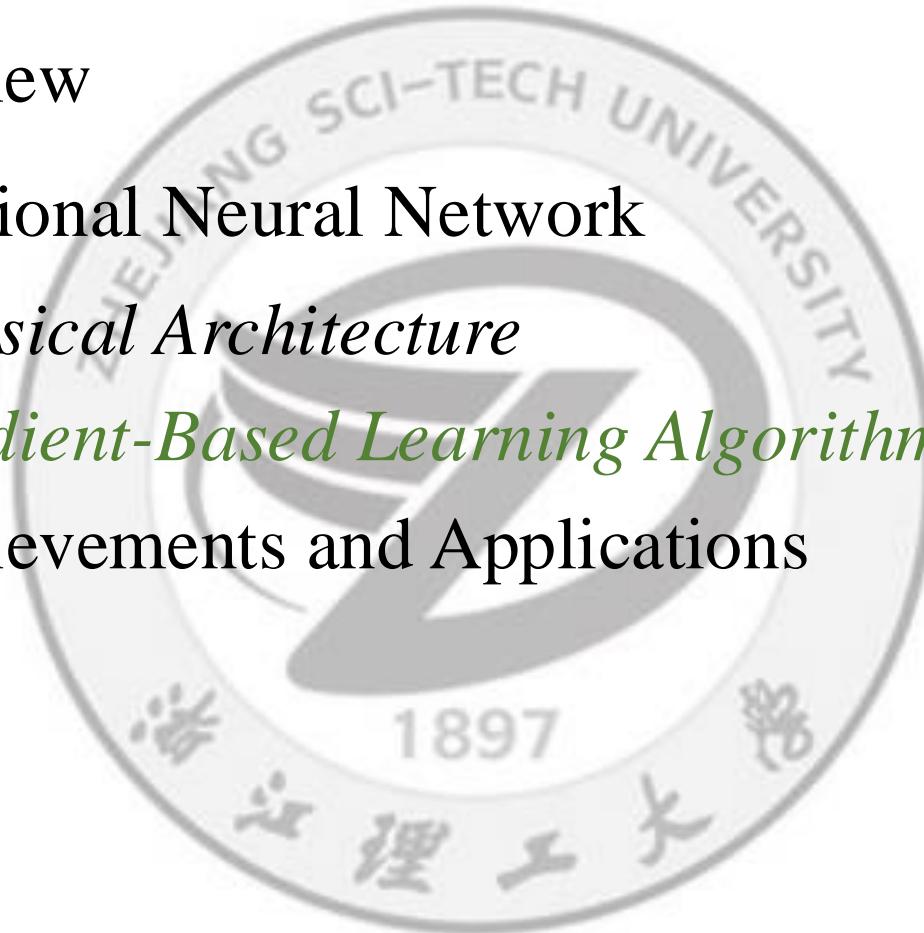
Neural Networks

- Brief review
- Convolutional Neural Network

Classical Architecture

Gradient-Based Learning Algorithm

Achievements and Applications



Backpropagation

□ Conclusion: BP for FNN

Forward computing: $y = f(\sum_{i=1}^n w_i x_i)$

Define cost function: $J = J(w^1, \dots, w^{L-1})$

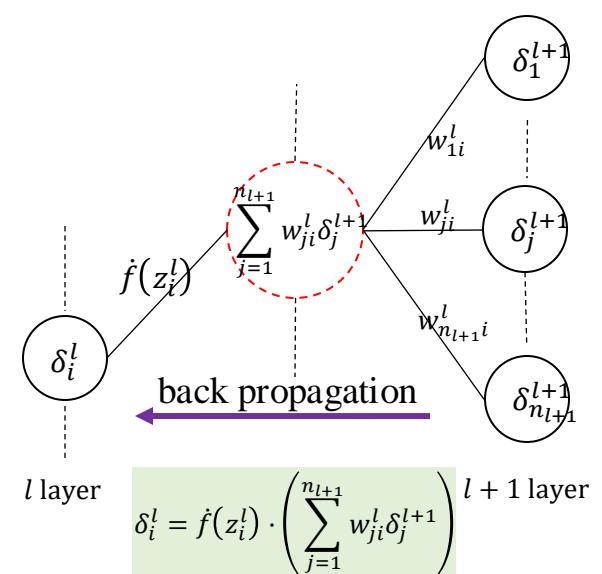
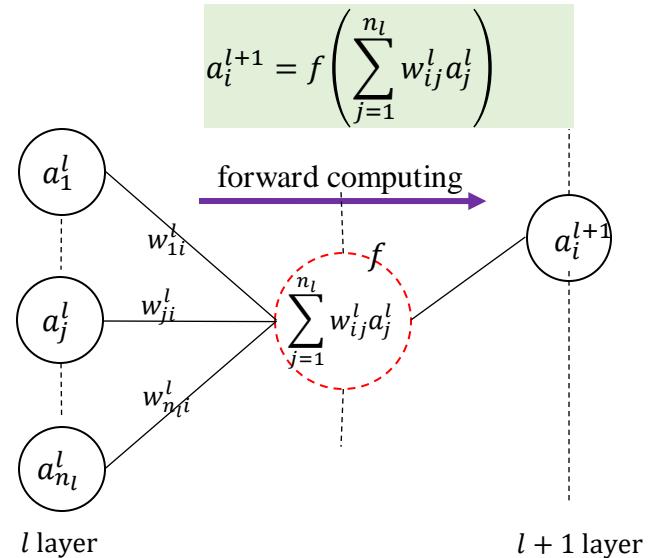
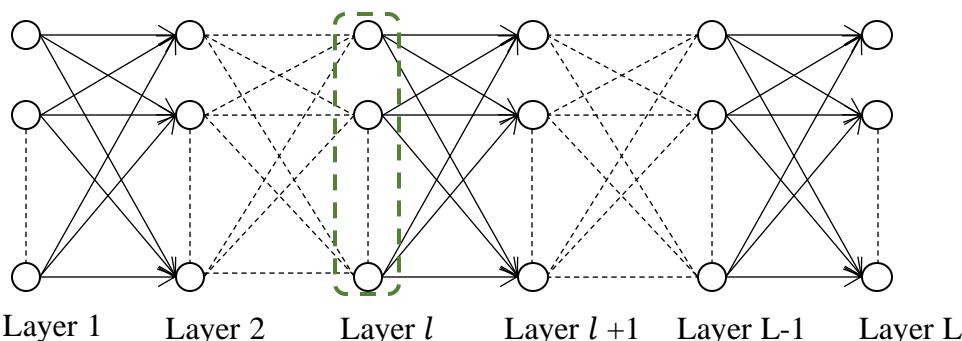
Updating rule: $w_{ji}^l \leftarrow w_{ji}^l - \alpha \cdot \frac{\partial J}{\partial w_{ji}^l}$

Define δ : $\delta_i^l = \frac{\partial J}{\partial z_i^l}$

Find the relation: $\frac{\partial J}{\partial w_{ji}^l} = \delta_j^{l+1} \cdot a_i^l$

Back propagation: $\delta_i^L = \frac{\partial J}{\partial z_i^L} = (a_i^L - y_i^L) \cdot \dot{f}(z_i^L)$

$$\delta_i^l = \dot{f}(z_i^l) \cdot \left(\sum_{j=1}^{n_{l+1}} \delta_j^{l+1} \cdot w_{ji}^l \right)$$



Convolutional Neural Network

□ Classical Architecture – Conv Example

$$\begin{cases} z_{11}^1 = 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 \\ \quad = 5 \\ a_{11}^1 = f(z_{11}^1) = 5 \end{cases}$$

1 _{x1}	1 _{x0}	1 _{x1}	0	0	1
0 _{x0}	1 _{x1}	1 _{x0}	1	0	0
1 _{x1}	0 _{x0}	1 _{x1}	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

a_{11}^1	a_{12}^1	a_{13}^1	a_{14}^1
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

?

Convolutional Neural Network

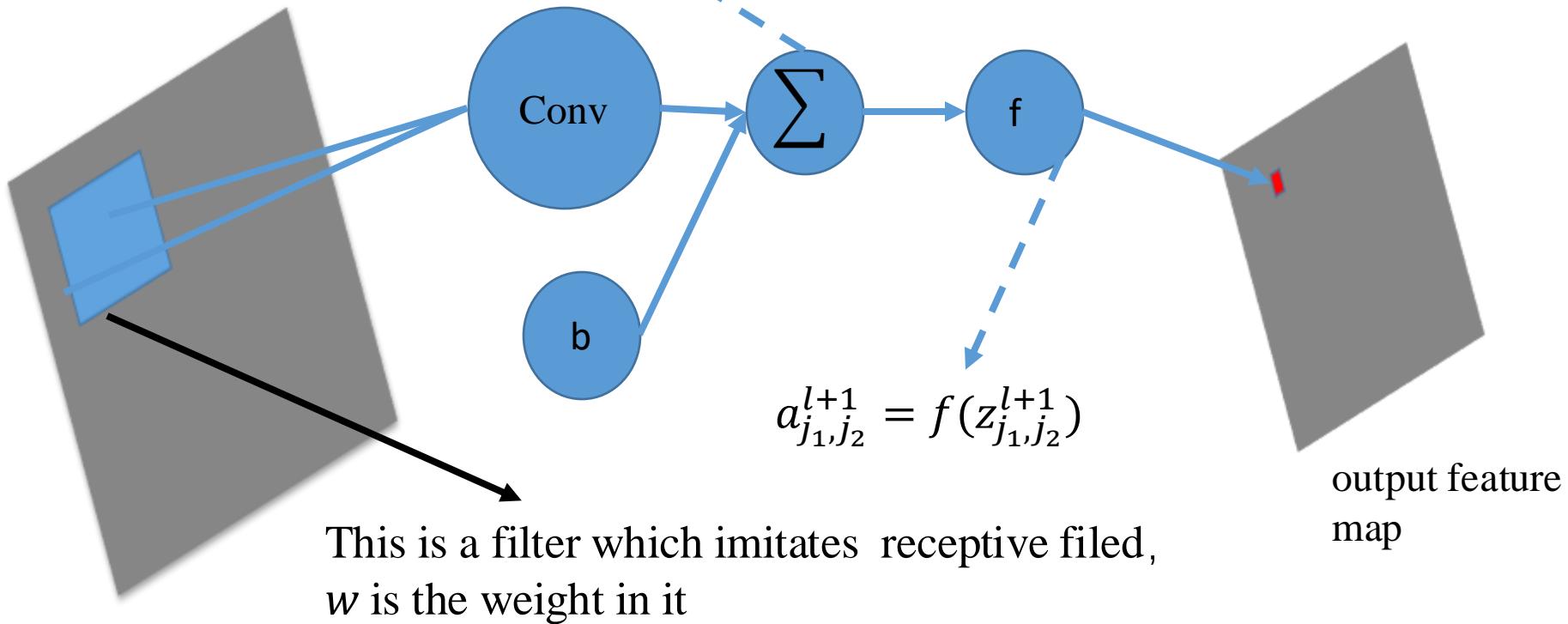
□ Gradient-Based Learning Algorithm

➤ Feedforward – Convolution (Conv)

$$z_{j_1,j_2}^{l+1} = \sum_{k_2=1}^{n_{k_2}} \sum_{k_1=1}^{n_{k_1}} a_{j_1+k_1-1, j_2+k_2-1}^l * w_{k_1, k_2}^l + b$$

Vector form

$$\begin{cases} z^{l+1} = W^l * a^l + b \\ a^{l+1} = f(z^{l+1}) \end{cases}$$



Convolutional Neural Network

□ Classical Architecture - Example

Max Pooling

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

Pooled Feature Map

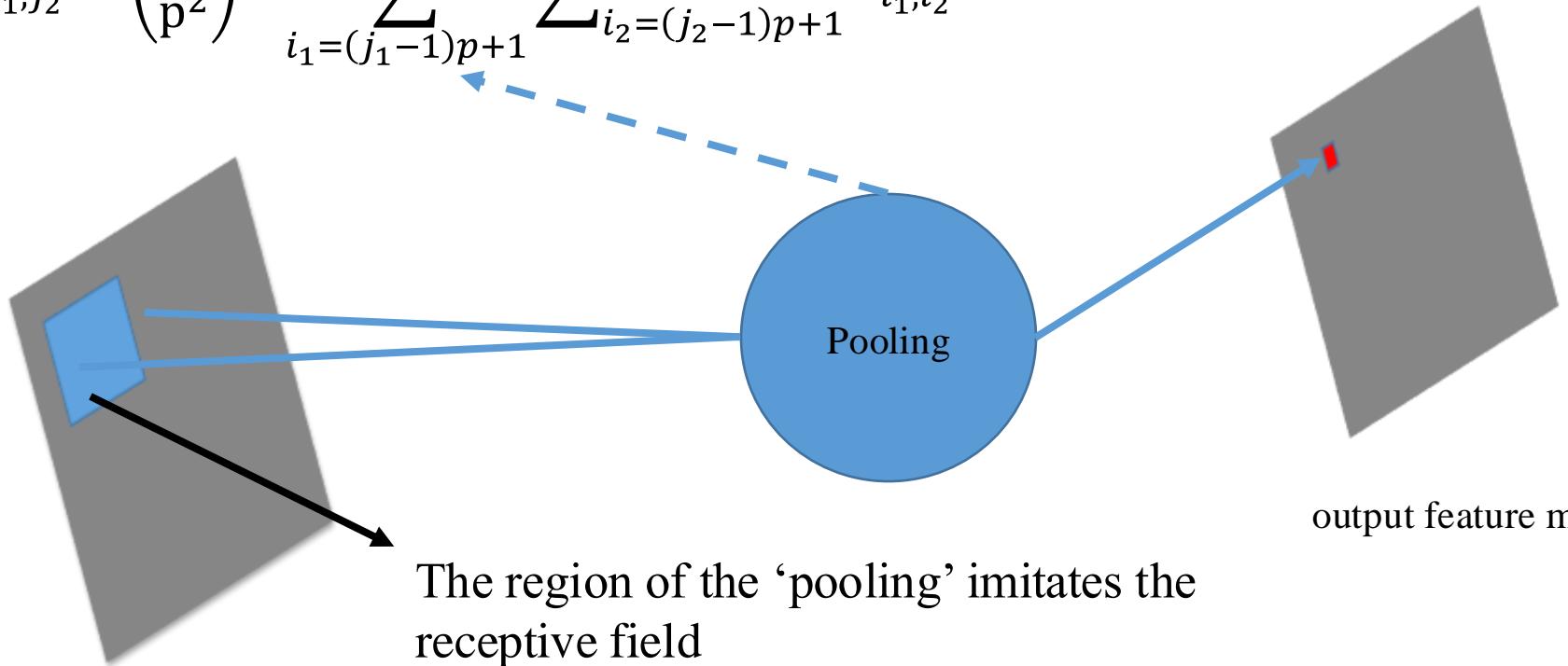
5	a_{12}^2
a_{21}^2	a_{22}^2

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Feedforward --Mean Pooling

$$z_{j_1,j_2}^{l+1} = \left(\frac{1}{p^2}\right) * \sum_{i_1=(j_1-1)p+1}^{j_1p} \sum_{i_2=(j_2-1)p+1}^{j_2p} a_{i_1,i_2}^l$$



Vector form

$$\begin{cases} z^{l+1} = \text{downSample}(a^l) \\ a^{l+1} = z^{l+1} \end{cases}$$

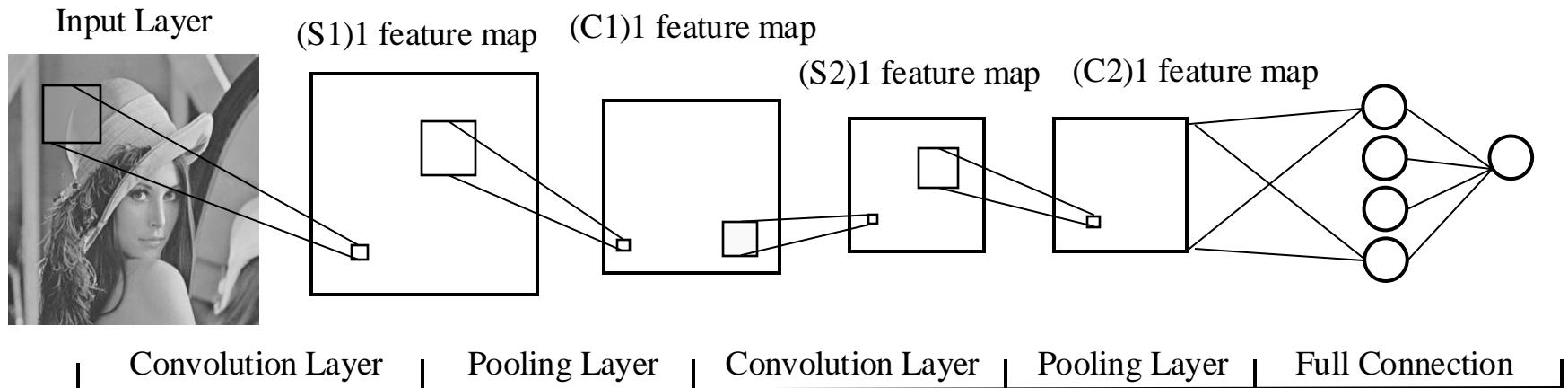
Input feature map

output feature map

Convolutional Neural Network

- Gradient-Based Learning Algorithm
 - Feedforward – vector form
 - Convolution Layer ● Pooling Layer ● Full Connection Layer

$$\begin{array}{l} \text{➤ } \begin{cases} z^{l+1} = W^l * a^l \\ a^{l+1} = f(z^{l+1}) \end{cases} \quad \text{➤ } \begin{cases} z^{l+1} = \text{downSample}(a^l) \\ a^{l+1} = z^{l+1} \end{cases} \quad \text{➤ } \begin{cases} z^{l+1} = W^l a^l \\ a^{l+1} = f(z^{l+1}) \end{cases} \end{array}$$



Convolutional Neural Network

□ Gradient-Based Learning Algorithm

- Backpropagation

Through BP :

$$\delta^l = \frac{\partial J}{\partial z^l} \quad (J \text{ is cost function of the convolution neural network})$$

$$\delta^l = \frac{\partial J}{\partial z^{l+1}} \frac{\partial z^{l+1}}{\partial z^l} = \delta^{l+1} w^l \frac{\partial a^l}{\partial z^l} = \delta^{l+1} w^l f'(z^l)$$

Which elements in the $(L + 1)_{th}$ layer are related to the element z in the L_{th} layer ?

Convolutional Neural Network

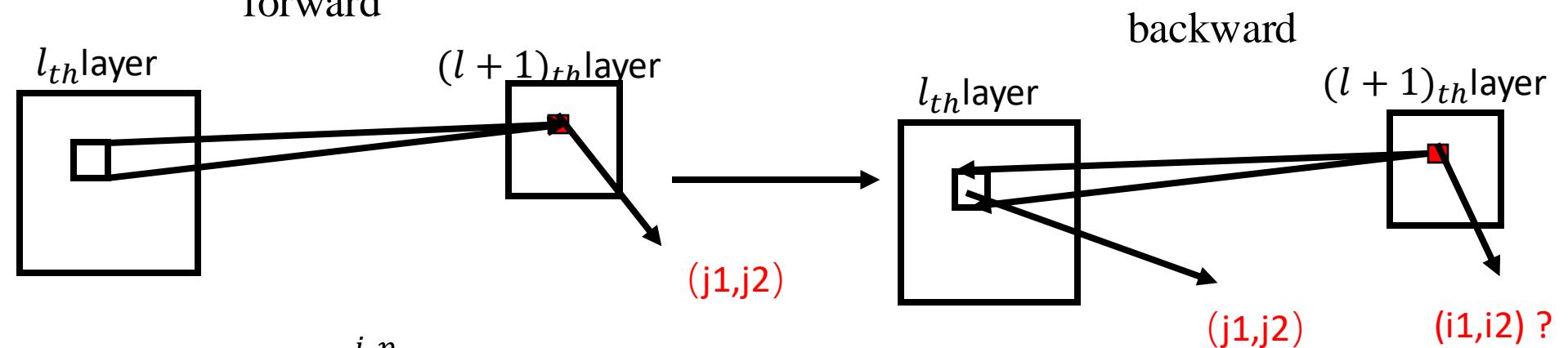
- Gradient-Based Learning Algorithm
 - Backpropagation
 - NL -th layer is output layer
 - $\delta^{NL} = \frac{\partial J}{\partial z^{NL}}$, where J is the cost function
 - l -th layer is a Full Connection Layer
 - $$\begin{cases} \delta^l = ((W^l)^T \delta^{l+1}) \cdot f'(z^l) \\ \frac{\partial J}{\partial W^l} = \delta^{l+1} (a^l)^T \end{cases}$$

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

● l -th layer is a **Convolution Layer**, l -th layer is pooling forward



$$z_{j_1, j_2}^{l+1} = \left(\frac{1}{p^2}\right) * \sum_{i_1=(j_1-1)p+1}^{j_1 p} \sum_{i_2=(j_2-1)p+1}^{j_2 p} a_{i_1, i_2}^l$$

$i_1 = j_1 / p + 1, i_2 = j_2 / p + 1$; ('/' denotes 'divided with no remainder')

$$a_{j_1, j_2}^{l+1} = z_{j_1, j_2}^{l+1}$$



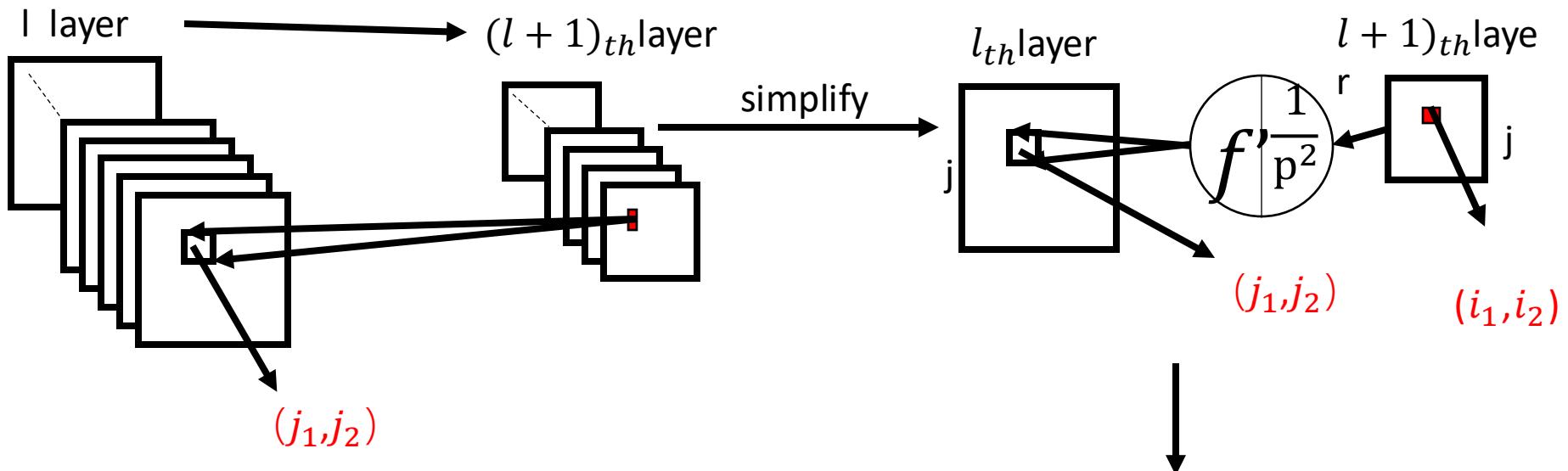
$$\delta_{j_1, j_2}^l = \frac{\partial J}{\partial z_{i_1, i_2}^{l+1}} \frac{\partial z_{i_1, i_2}^{l+1}}{\partial z_{j_1, j_2}^l} = \delta_{i_1, i_2}^{l+1} * \frac{1}{p^2} * f'(z_{j_1, j_2}^l)$$

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

● l -th layer is a **Convolution Layer**, l -th layer is pooling



$$\delta_{j_1, j_2, j}^l = \frac{\partial J}{\partial z_{i_1, i_2, j}^{l+1}} \frac{\partial z_{i_1, i_2, j}^{l+1}}{\partial z_{j_1, j_2, j}^l} = \delta_{i_1, i_2, j}^{l+1} * \frac{1}{p^2} * f'(z_{j_1, j_2, j}^l)$$
$$\delta_j^l = 1/(p^2) * \text{up}(\delta_j^{l+1}) * f'(z_j^l) \text{ (matrix form)}$$

Convolutional Neural Network

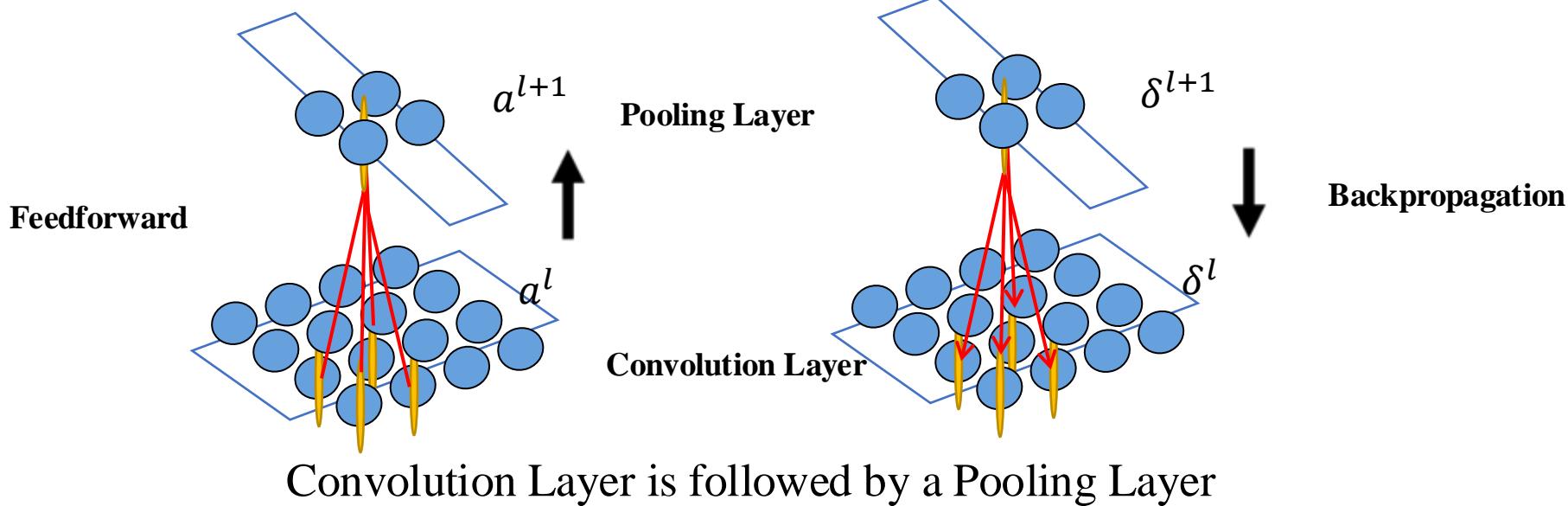
□ Gradient-Based Learning Algorithm

➤ Backpropagation

● l -th layer is a **Convolution Layer**

$$\triangleright \delta^l = upSample(\delta^{l+1}) \cdot f'(z^l)$$

$$\begin{cases} z^{l+1} = downSample(a^l) \\ a^{l+1} = z^{l+1} \end{cases}$$



Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

- l -th layer is a **Pooling Layer**, $l+1$ -th layer is a Conv layer

1	2	3		
4	5	6		
7	8	9		

move filter
right

The yellow region denotes the filter. The number in it denotes the relative position of the weights.

Now let us review the process of forward .

— →

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

- l -th layer is a **Pooling Layer**, $l+1$ -th layer is a Conv layer

Hence: $\delta_{j_1, j_2}^l = \sum_{k_1=1}^{n_{k_1}} \sum_{k_2=1}^{n_{k_2}}$

$$\frac{\partial J}{\partial z_{j_1-k_1+1, j_2-k_2+1}^{l+1}}$$

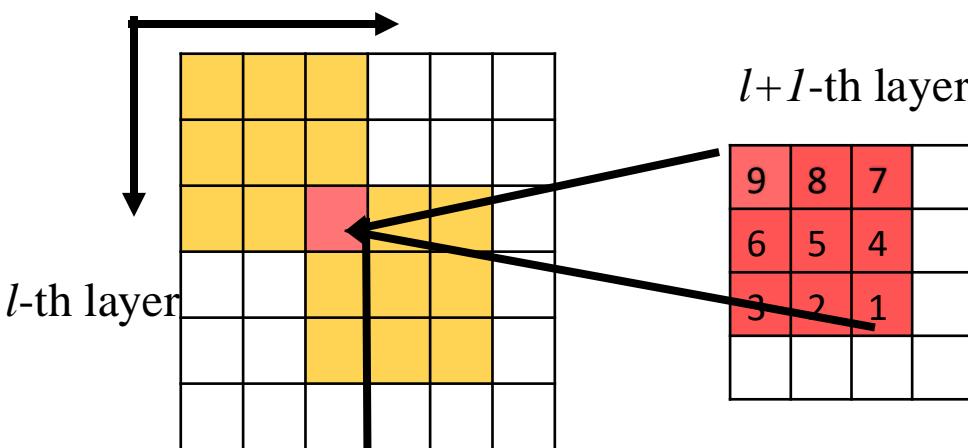
$$\frac{\partial z_{j_1-k_1+1, j_2-k_2+1}^{l+1}}{\partial z_{j_1, j_2}^l}$$

$$\delta_{j_1, j_2}^l = \sum_{k_1=1}^{n_{k_1}} \sum_{k_2=1}^{n_{k_2}}$$

$$\delta_{j_1-k_1+1, j_2-k_2+1}^{l+1}$$

$$W_{k_1, k_2}^l * f'(z_{j_1, j_2}^l)$$

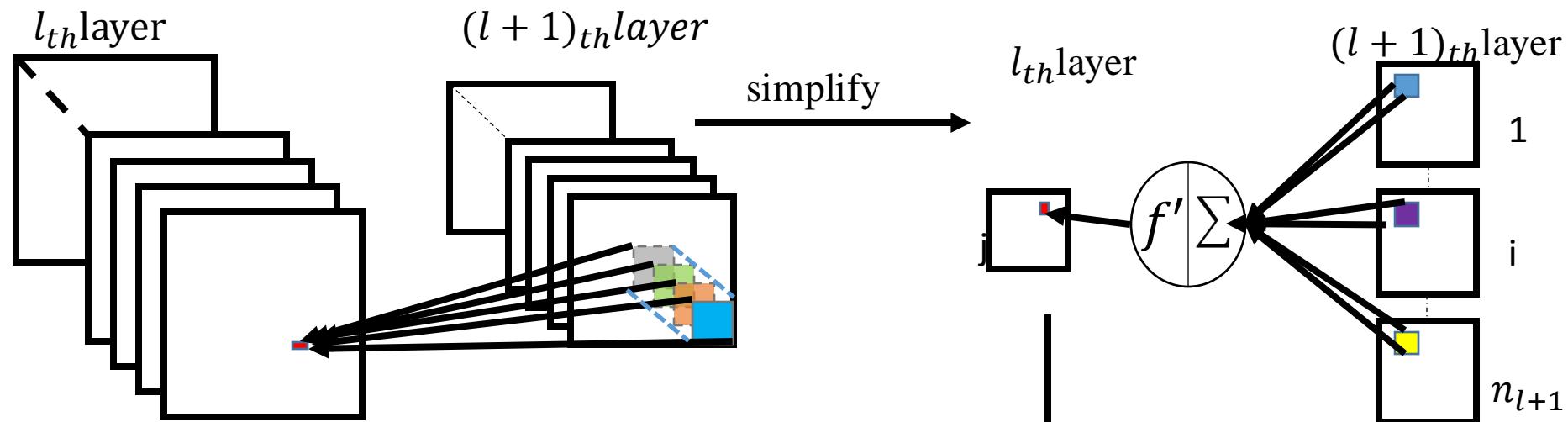
$$f'(z_{j_1, j_2}^l) = 1, \text{ so}$$



The coordinate of this position is (j_1, j_2)

Convolutional Neural Network

- Gradient-Based Learning Algorithm
 - Backpropagation
 - l -th layer is a **Pooling Layer**, $l+1$ -th layer is a Conv layer



$$\delta_{j_1, j_2, j}^l = \sum_{k_1=1}^{n_{k_1}} \sum_{k_2=1}^{n_{k_2}} \delta_{j_1-k_1+1, j_2-k_2+1, j}^{l+1} w_{k_1, k_2, j, i}^l$$

$$\delta_j^l = \delta_i^{l+1} \times \text{rot180}(w_{j,i}^l) \text{ (matrix form)}$$

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

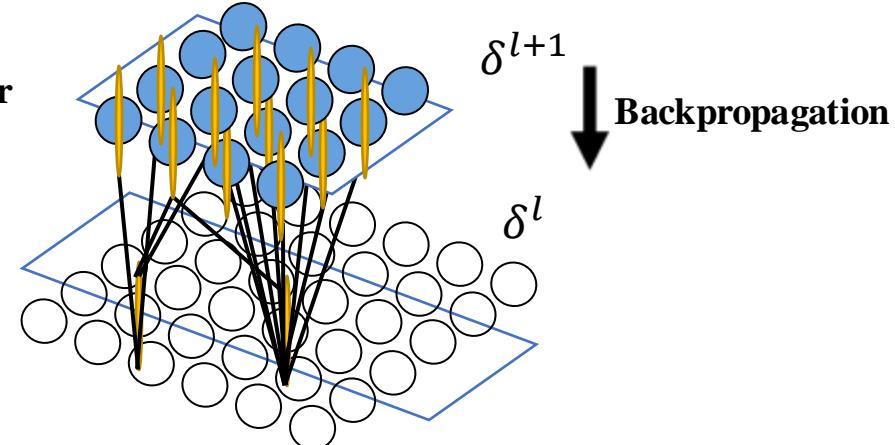
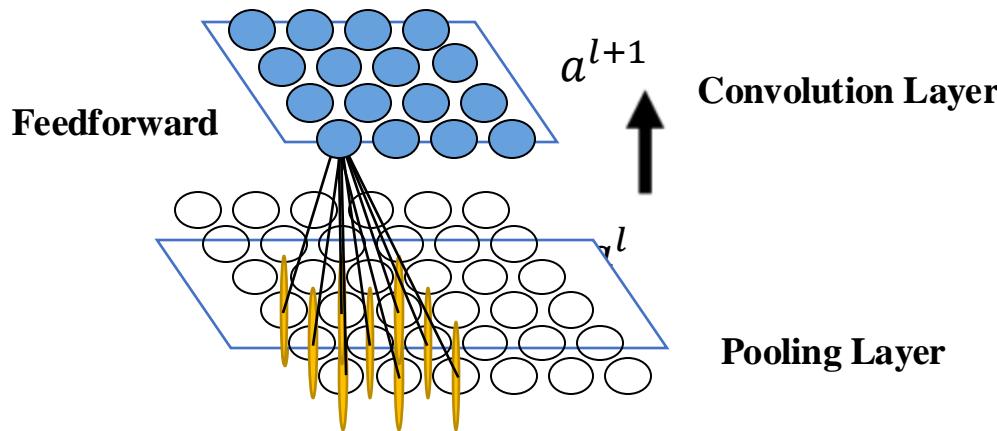
➤ Backpropagation

- l -th layer is a **Pooling Layer**

$$\triangleright \delta^l = (\text{rot180}(\delta^{l+1}) * w^l)$$

$$\triangleright \frac{\partial J}{\partial W^l} = a^l * \delta^{l+1}$$

$$\begin{cases} z^{l+1} = W^l * a^l \\ a^{l+1} = f(z^{l+1}) \end{cases}$$



Pooling Layer is followed by a Convolution Layer

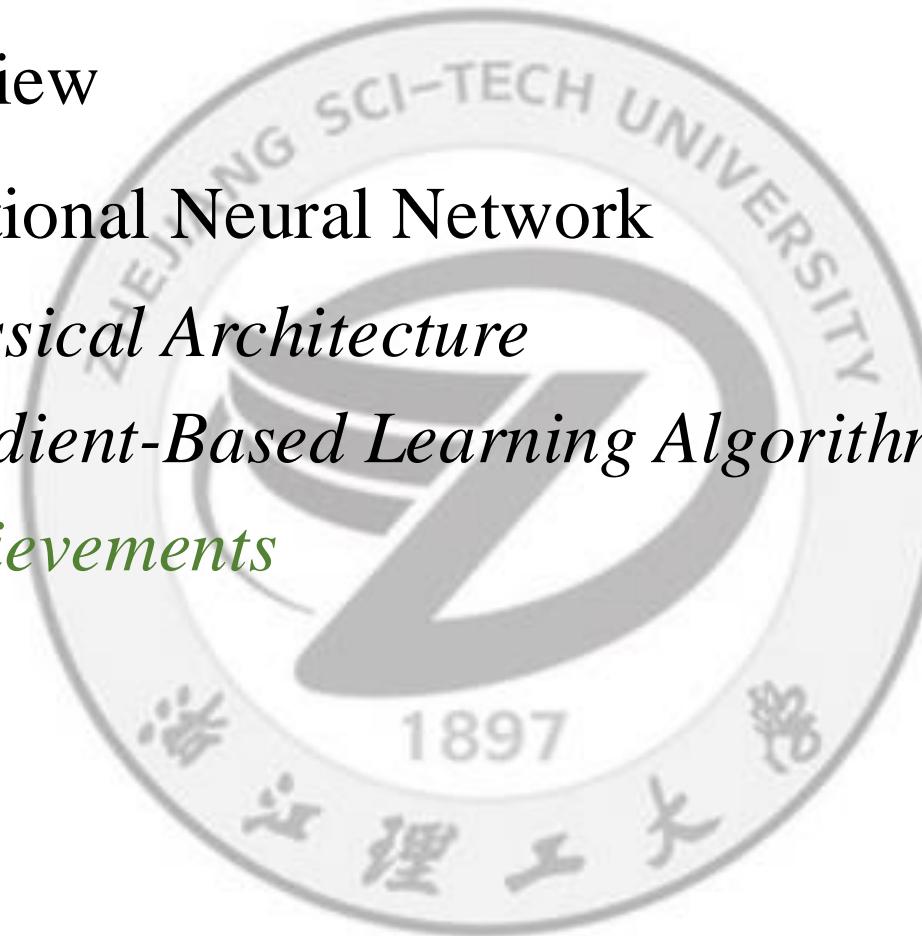
Neural Networks

- Brief review
- Convolutional Neural Network

Classical Architecture

Gradient-Based Learning Algorithm

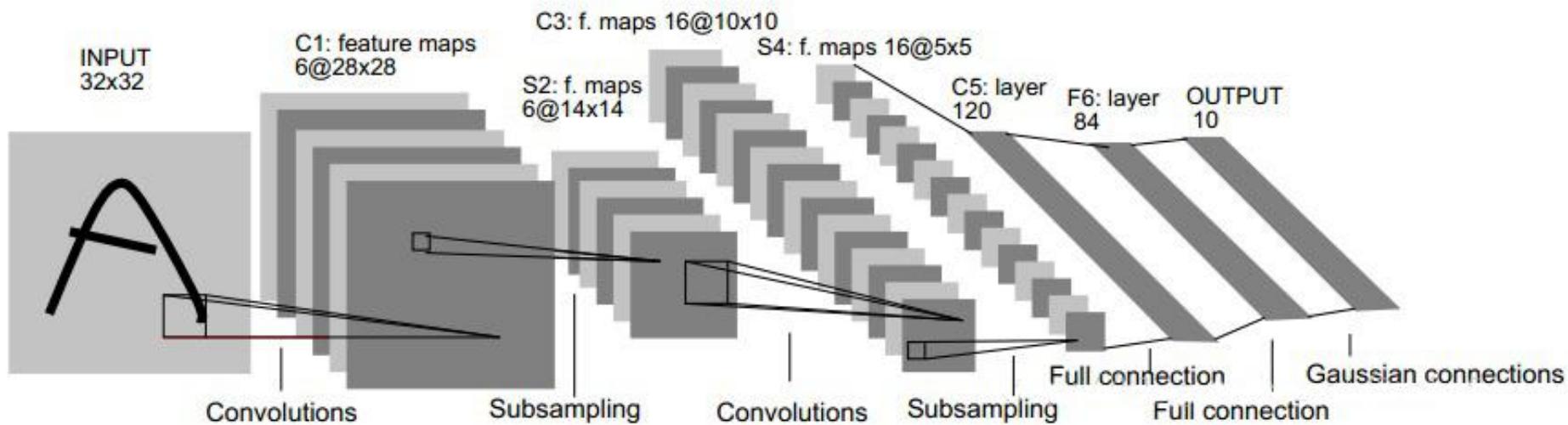
Achievements



Convolutional Neural Network

□ Achievements and Applications

➤ LeNet – 1998 年



Kernel size	5*5 (conv)	2*2 (pooling)	5*5 (conv)	2*2 (pooling)
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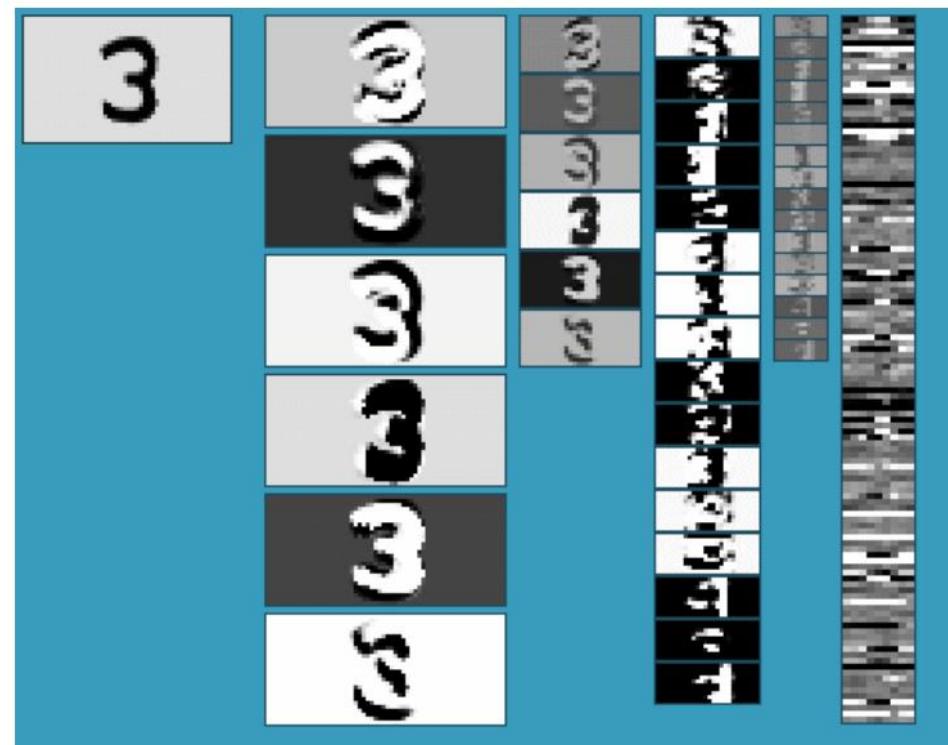
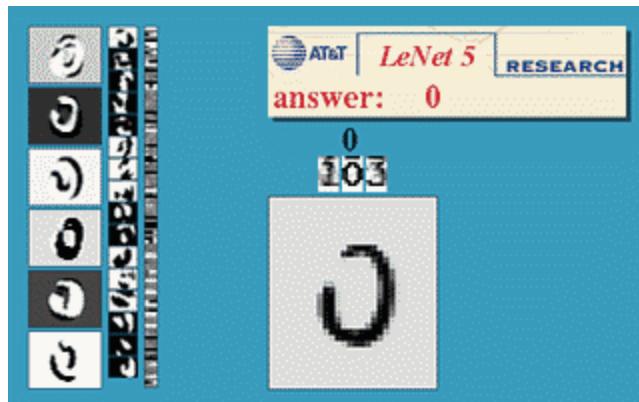
Convolutional Neural Network

□ Achievements and Applications

➤ Handwriting Recognition

filters → tanh → average-tanh → filters → tanh → average-tanh → filters → tanh

● LeNet LeCun



<http://yann.lecun.com/exdb/lenet/index.html>

Convolutional Neural Network

□ Achievements -- ILSVRC



14,197,122 images, 21841 synsets indexed
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ImageNet Large Scale Visual Recognition Challenge (ILSVRC)

Competition

The ImageNet Large Scale Visual Recognition Challenge (ILSVRC) evaluates algorithms for object detection and image classification at large scale. One high level motivation is to allow researchers to compare progress in detection across a wider variety of objects -- taking advantage of the quite expensive labeling effort. Another motivation is to measure the progress of computer vision for large scale image indexing for retrieval and annotation.

For details about each challenge please refer to the corresponding page.

- [ILSVRC 2017](#)
- [ILSVRC 2016](#)
- [ILSVRC 2015](#)
- [ILSVRC 2014](#)
- [ILSVRC 2013](#)
- [ILSVRC 2012](#)
- [ILSVRC 2011](#)
- [ILSVRC 2010](#)

ImageNet数据集是ILSVRC竞赛使用的是数据集，由斯坦福大学李飞飞教授主导，包含了超过1400万张全尺寸的有标记图片。ILSVRC比赛会每年从ImageNet数据集中抽出部分样本，以2012年为例，比赛的训练集包含1281167张图片，验证集包含50000张图片，测试集为100000张图片。

Convolutional Neural Network

□ Achievements -- ILSVRC

➤ 图像分类与目标定位

图像分类的任务是要判断图片中物体在1000个分类中所属的类别，主要采用top-5错误率的评估方式，即对于每张图给出5次猜测结果，只要5次中有一次命中真实类别就算正确分类，最后统计没有命中的错误率。

➤ 目标检测

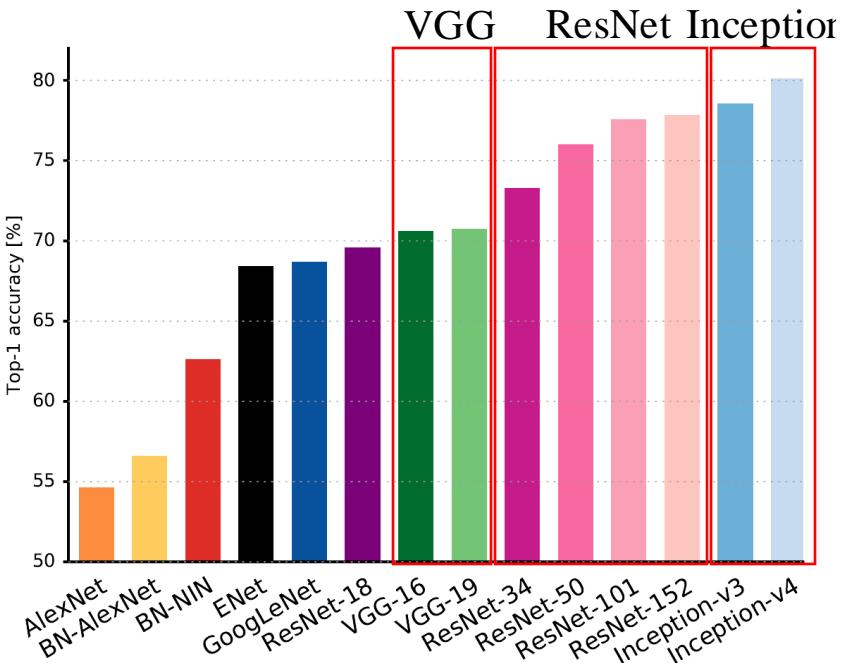
目标检测是在定位的基础上更进一步，在图片中同时检测并定位多个类别的物体。具体来说，是要在每一张测试图片中找到属于200个类别中的所有物体，如人、勺子、水杯等。评判方式是看模型在每一个单独类别中的识别准确率，在多数类别中都获得最高准确率的队伍获胜。

➤ 视频目标检测

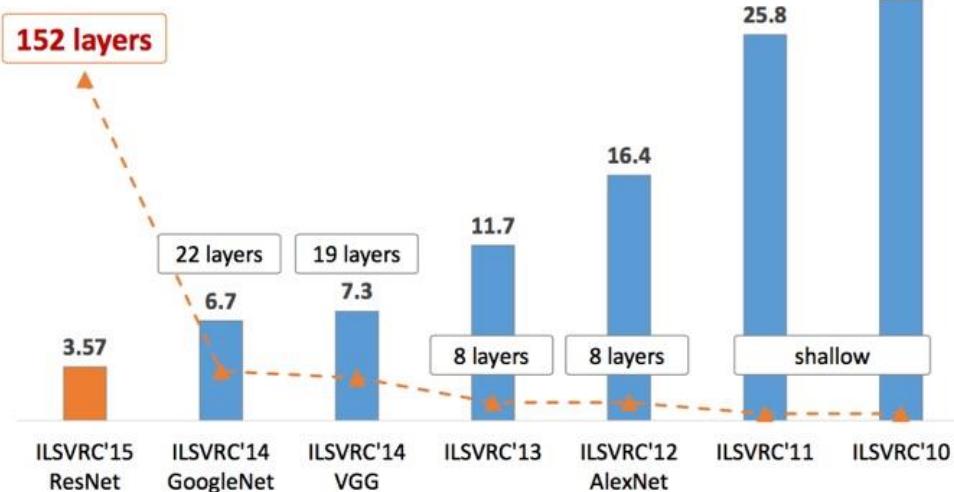
➤ 场景分类

Convolutional Neural Network

□ Achievements -- ImageNet



Single-crop Top-1 validation
accuracies on ImageNet

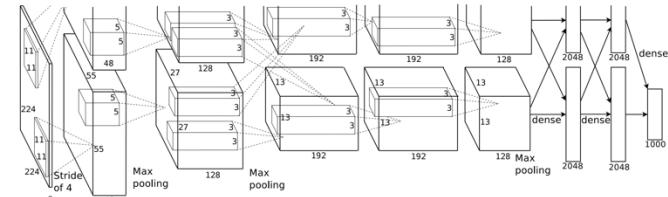


Reported Top-5
error on ImageNet

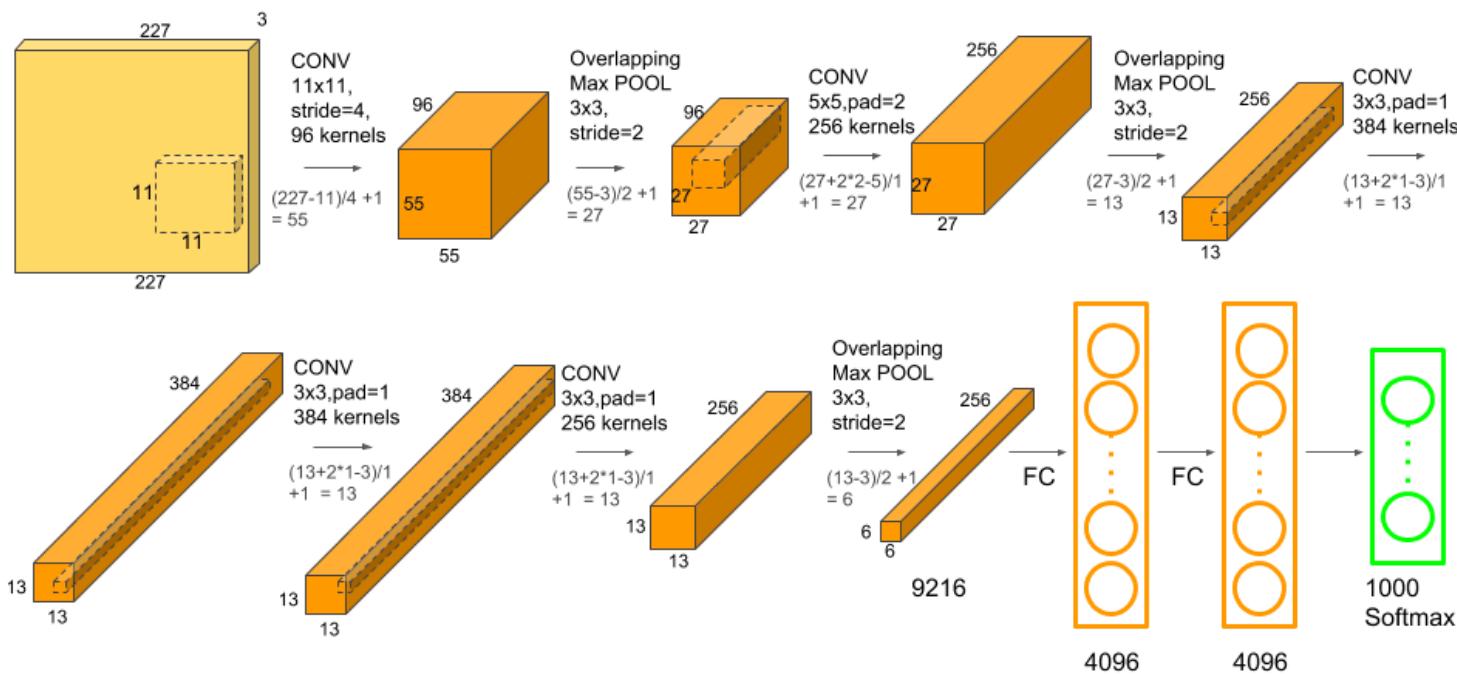
Convolutional Neural Network

□ Achievements and Applications

➤ AlexNet



↑ of responsibilities
runs the layer-parts
8-dimensional, and
6-64,896-43,264-

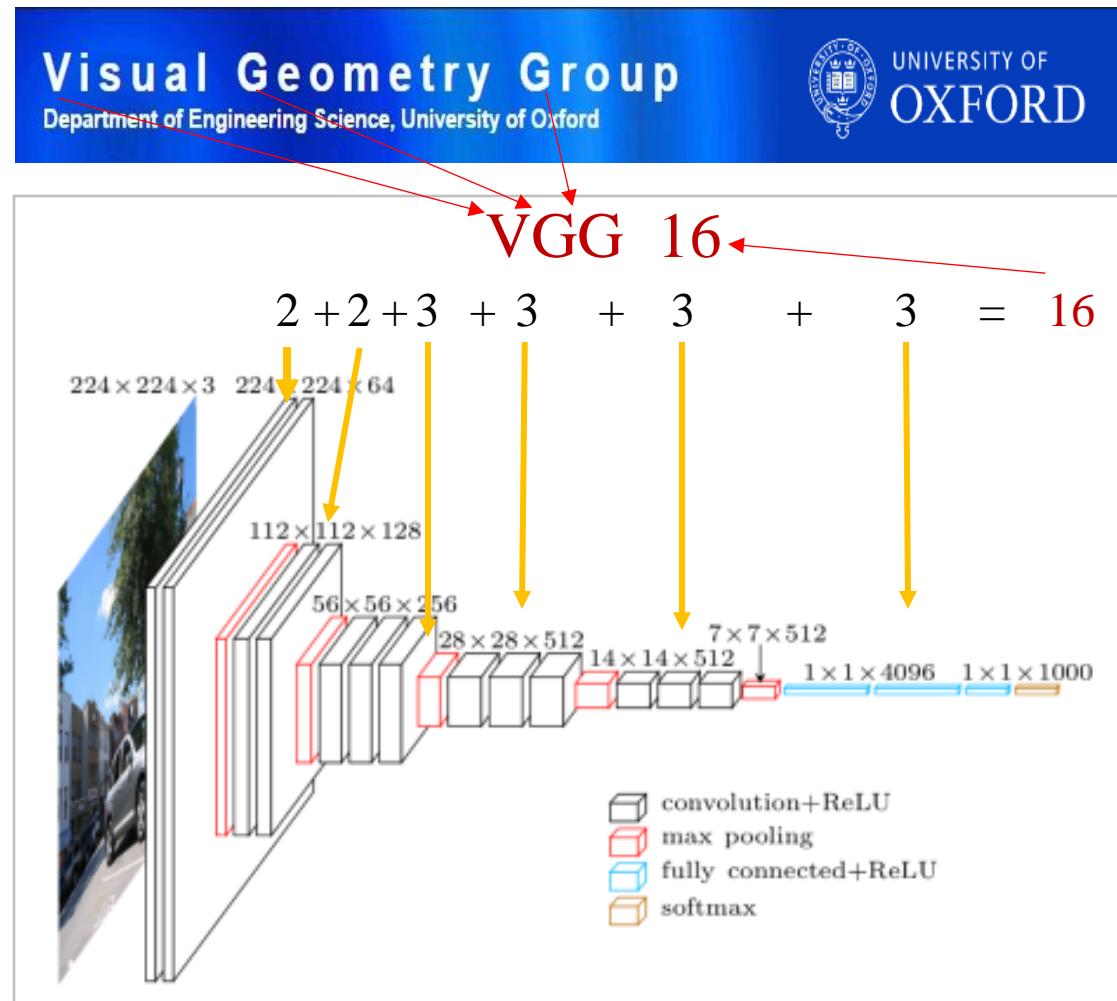


AlexNet是2012年ImageNet竞赛冠军获得者Hinton和他的学生Alex Krizhevsky设计的。

Convolutional Neural Network

□ Achievements

VGG Networks {
VGG11
VGG13
VGG16
VGG19}



VGG模型是2014年ILSVRC竞赛的第二名，第一名是GoogLeNet。

Convolutional Neural Network

Achievements

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224×224 RGB image)					
conv3-64	conv3-64 LRN	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 conv1-256	conv3-256 conv3-256 conv3-256	conv3-256 conv3-256 conv3-256 conv3-256
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

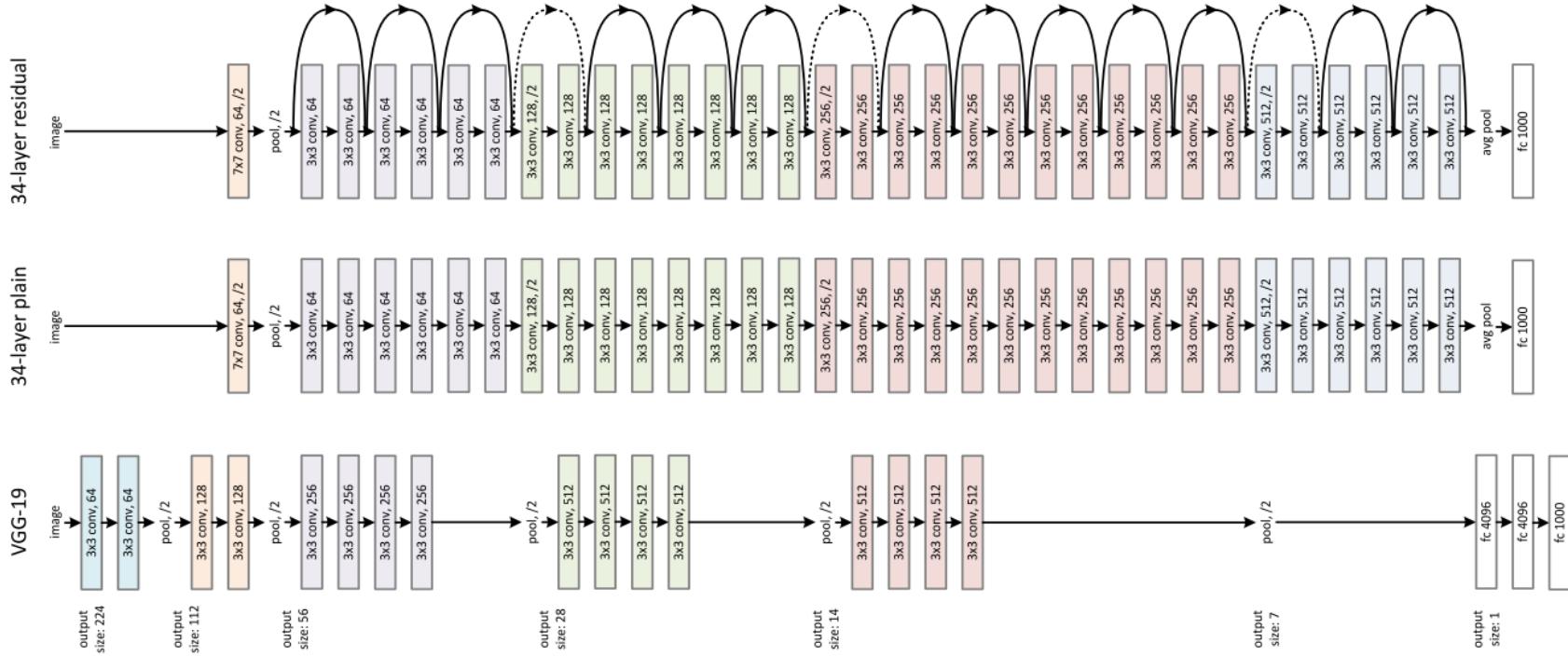
VGG16 - Features:

- 11-19 layers
- Same input, $3 \times 224 \times 224$
- 3 fully-connected layers
- Softmax output
- 5 stages, maxpool in between
- (most) 3×3 kernels
- Increasing kernel number

Convolutional Neural Network

□ Achievements and Applications

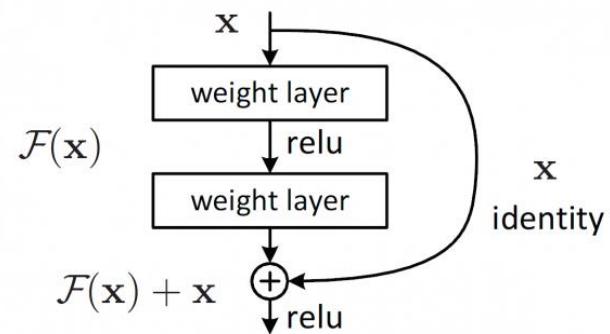
➤ ResNet --2015



Convolutional Neural Network

□ Achievements and Applications

➤ ResNet

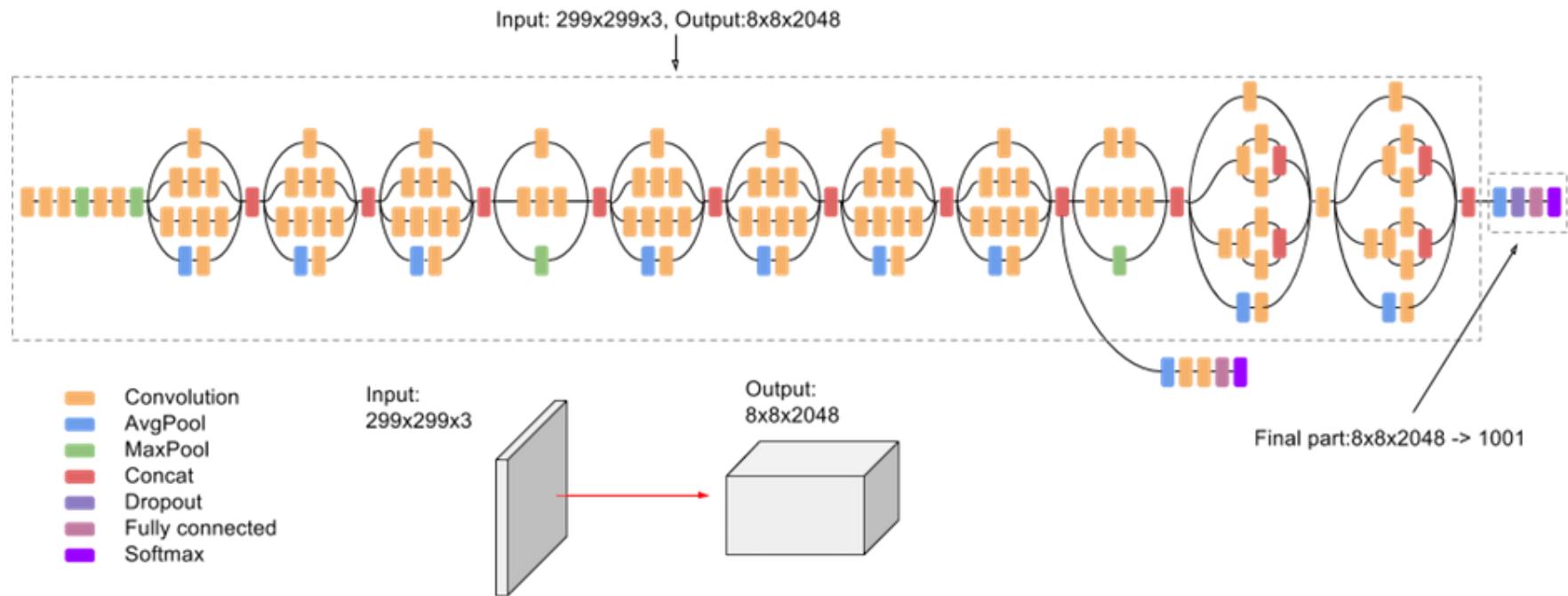


layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112			7×7, 64, stride 2		
conv2_x	56×56			3×3 max pool, stride 2		
		$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3_x	28×28	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
conv4_x	14×14	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$
conv5_x	7×7	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
	1×1	average pool, 1000-d fc, softmax				
FLOPs		1.8×10^9	3.6×10^9	3.8×10^9	7.6×10^9	11.3×10^9

Convolutional Neural Network

□ Achievements and Applications

➤ Inception-V3

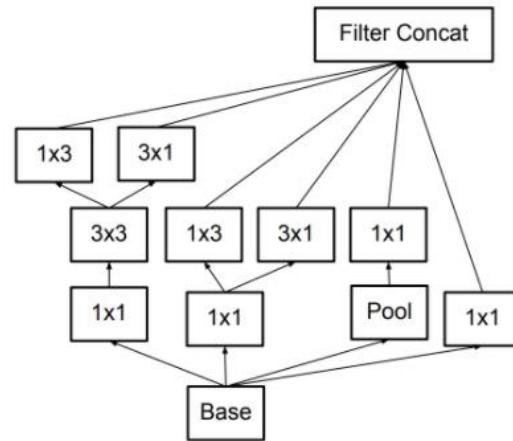
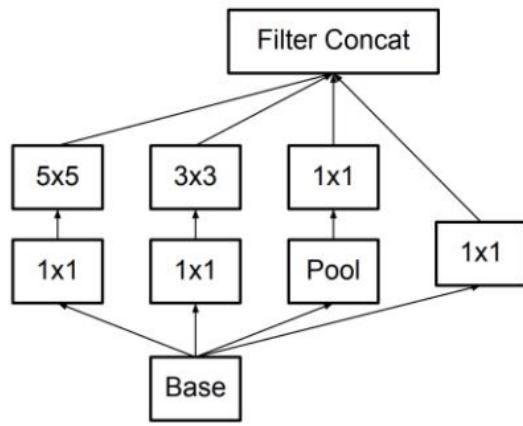


- 42 层深，但计算成本只比 inception v1 高 2.5 倍左右，而且比 VGGNet 高效得多。
- 用卷积和池化并行的方法降低 Inception 模块的大小

Convolutional Neural Network

□ Achievements and Applications

➤ Inception V3



V1: 分支卷积

V3: 改为小卷积和非对称卷积

Convolutional Neural Network

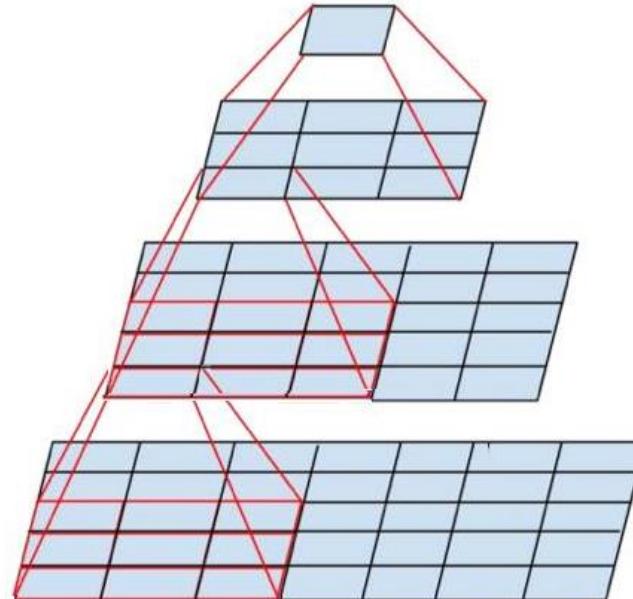
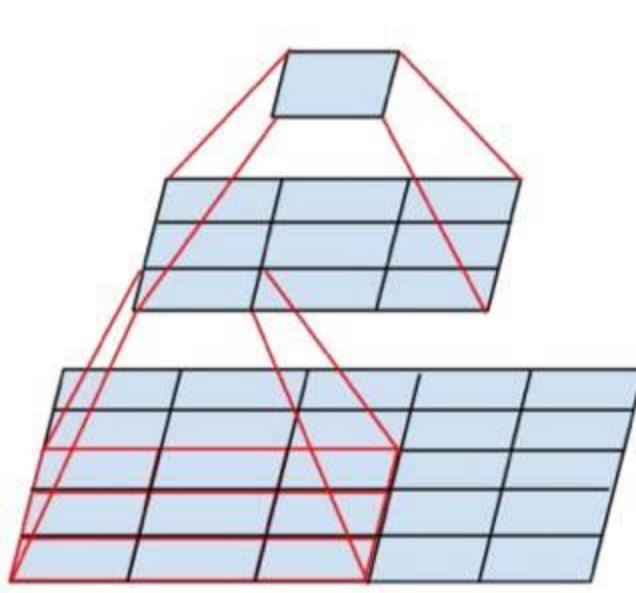
- Thinking--Why that size of kernels?

Network	Kernels Used	Depth
Lenet	(5,5)	4
Alexnet	(11,11), (5,5), (3,3)	8
VGGs	(3,3)	11-19
ResNet	(3,3), (1,1)	
Inception V3	(1,3),(3,1),(3,3),(1,1)	

Convolutional Neural Network

□ Thinking— why 3×3 kernels?

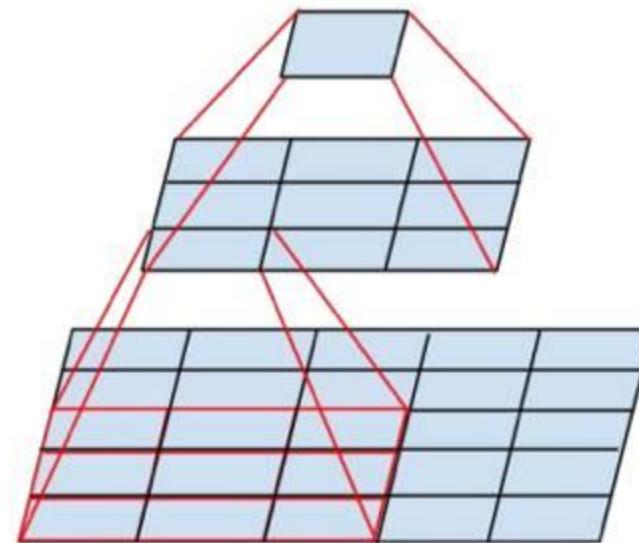
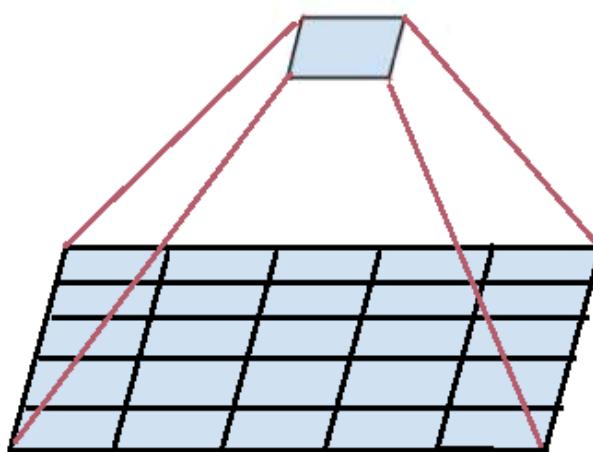
a.) 3×3 kernels can simulate larger kernels like 5×5 or 7×7



Convolutional Neural Network

□ Thinking— why 3×3 kernels?

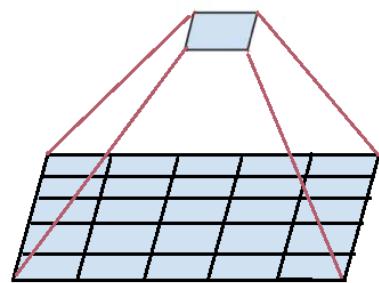
- a.) 3×3 kernels can simulate larger kernels like 5×5 or 7×7
- b.) by doing so, more nonlinearity is involved, thus more expressive ability



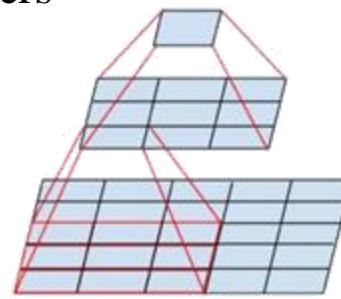
Convolutional Neural Network

□ Thinking— why 3×3 kernels?

- a.) 3×3 kernels can simulate larger kernels like 5×5 or 7×7
- b.) by doing so, more nonlinearity is involved, thus more expressive ability
- c.) 3×3 kernels can save a lot of parameters



of weights: $5 \times 5 = 25$



of weights: $2 \times (3 \times 3) = 18$

$$\frac{18}{25} = 72\%$$

General case:

$5 \times 5 \times \text{input channel} \times \text{output channel}$

$(3 \times 3 \times \text{input channel} \times \text{hidden channel}) + (3 \times 3 \times \text{hidden channel} \times \text{output channel})$