




The Introduction To Artificial Intelligence

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The Introduction to Artificial Intelligence

- Part I Brief Introduction to AI & Different AI tribes
- Part II Knowledge Representation & Reasoning
- Part III AI GAMES and Searching
- Part IV Model Evaluation and Selection
- Part V Machine Learning
-  Part VI Neural Networks

Backpropagation

□ Conclusion: BP for FNN

Forward computing: $y = f(\sum_{i=1}^n w_i x_i)$

Define cost function: $J = J(w^1, \dots, w^{L-1})$

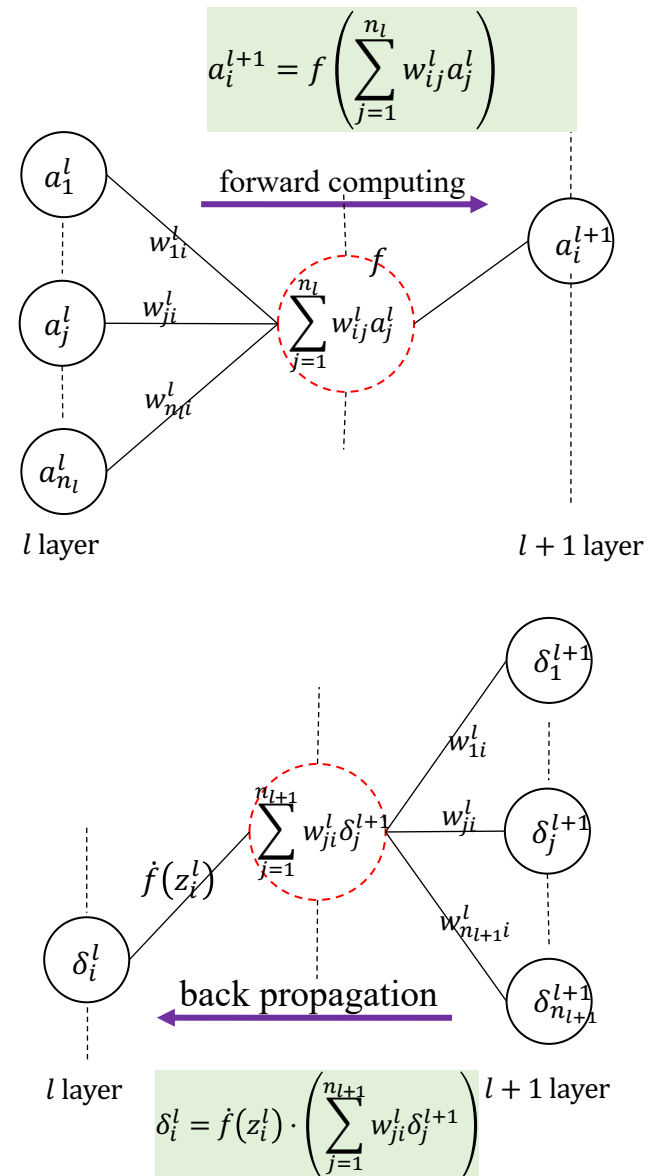
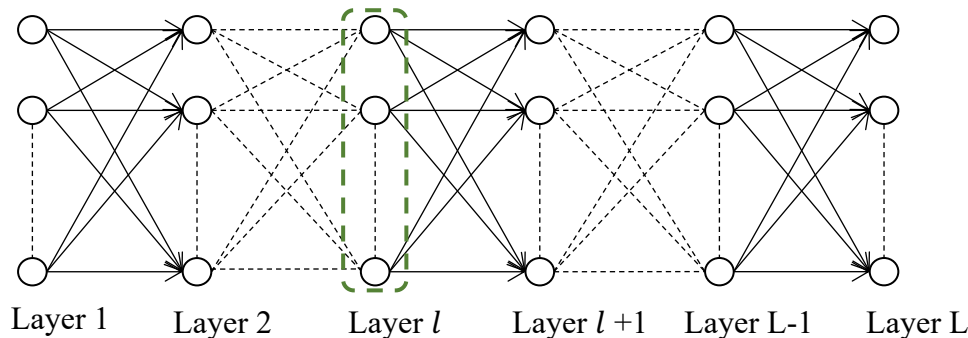
Updating rule: $w_{ji}^l \leftarrow w_{ji}^l - \alpha \cdot \frac{\partial J}{\partial w_{ji}^l}$

Define δ : $\delta_i^l = \frac{\partial J}{\partial z_i^l}$

Find the relation: $\frac{\partial J}{\partial w_{ji}^l} = \delta_j^{l+1} \cdot a_i^l$

Back propagation: $\delta_i^L = \frac{\partial J}{\partial z_i^L} = (a_i^L - y_i^L) \cdot \dot{f}(z_i^L)$

$$\delta_i^l = \dot{f}(z_i^l) \cdot \left(\sum_{j=1}^{n_{l+1}} \delta_j^{l+1} \cdot w_{ji}^l \right)$$



Neural Networks

- Brief review
- *Convolutional Neural Network*

Classical Architecture

Gradient-Based Learning Algorithm

Achievements and Applications

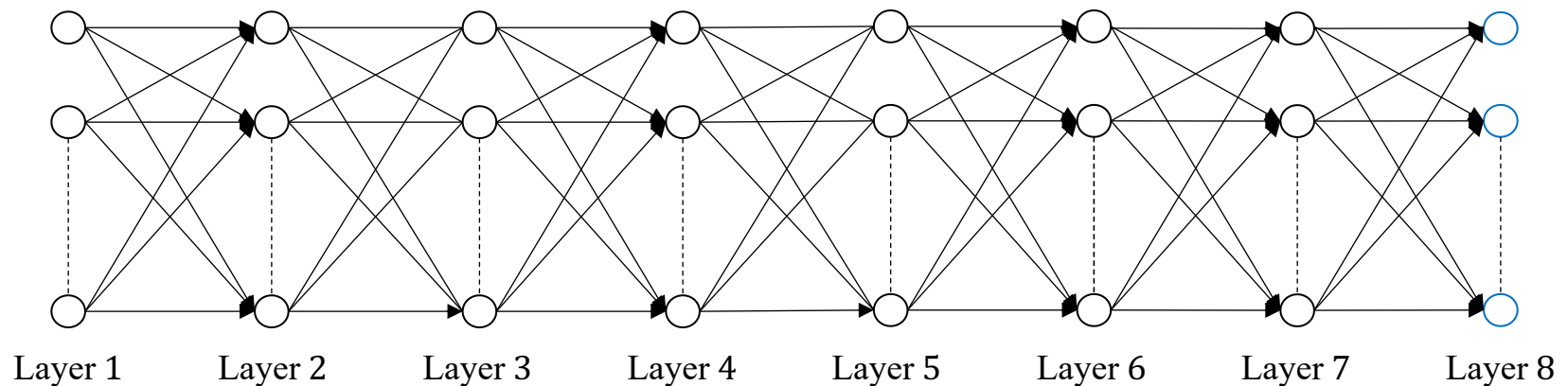
Convolutional Neural Network

□ Introduction

How to handle the very large colorful image in the size of $224 \times 224 \times 3$, where the 3 denotes the R,G,B channels.



224×224×3



Convolutional Neural Network

□ Introduction

- Suppose the dimension of hidden layer is 100. The number of parameters in the first layer is 15,052,800, unacceptable !
- How does the brain process the image?



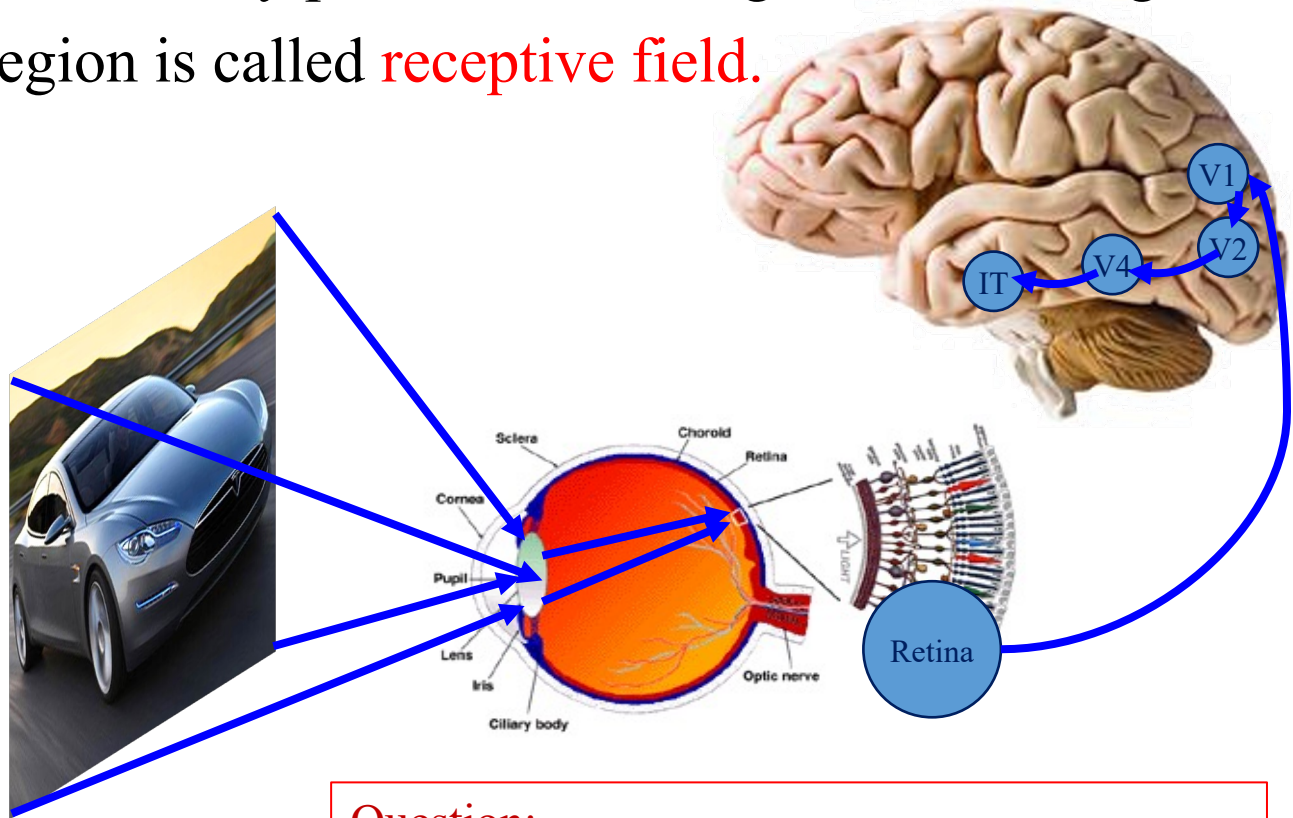
224x224x3



Convolutional Neural Network

□ Introduction

- Each neuron can only perceive a sub-region in the image.
- The sub-region is called **receptive field**.

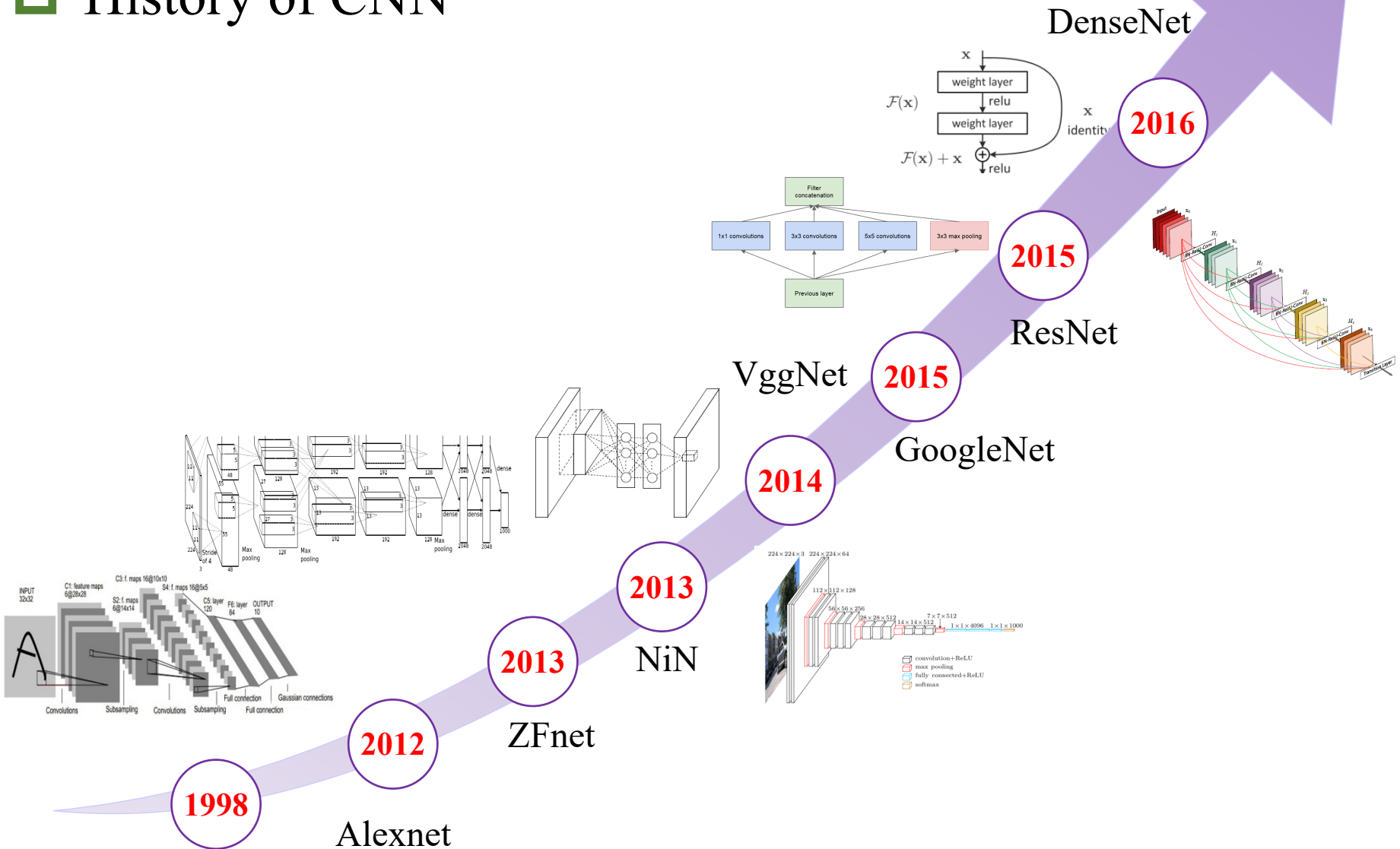


Question:

How to build the model of receptive field?

Convolutional Neural Network

History of CNN



Convolutional Neural Network

□ Functional Architecture in Visual Cortex (1962)



David Hubel (right) and Torsten Wiesel (left)
celebrate for Nobel Prize

- ***Receptive Field*** is a continuous sub-region of input space
- ***Simple Cells*** detect local features within a receptive field
- ***Complex Cells*** “pool” the output of Simple Cells within a receptive field

Convolutional Neural Network

□ NeoCognitron (1979)

- *Neocognitron* is a multilayered neural network that cascades models of Complex cells and Simple cells in Visual Cortex.



Kunihiko Fukushima

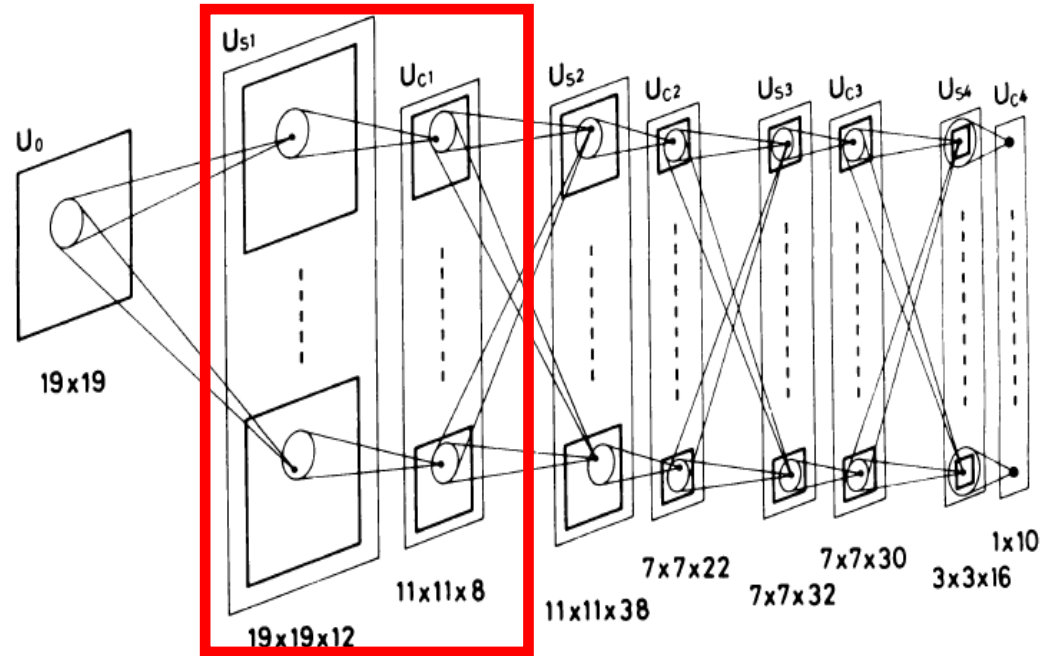


Fig. 2. Schematic diagram illustrating synaptic connections between layers in neocognitron.

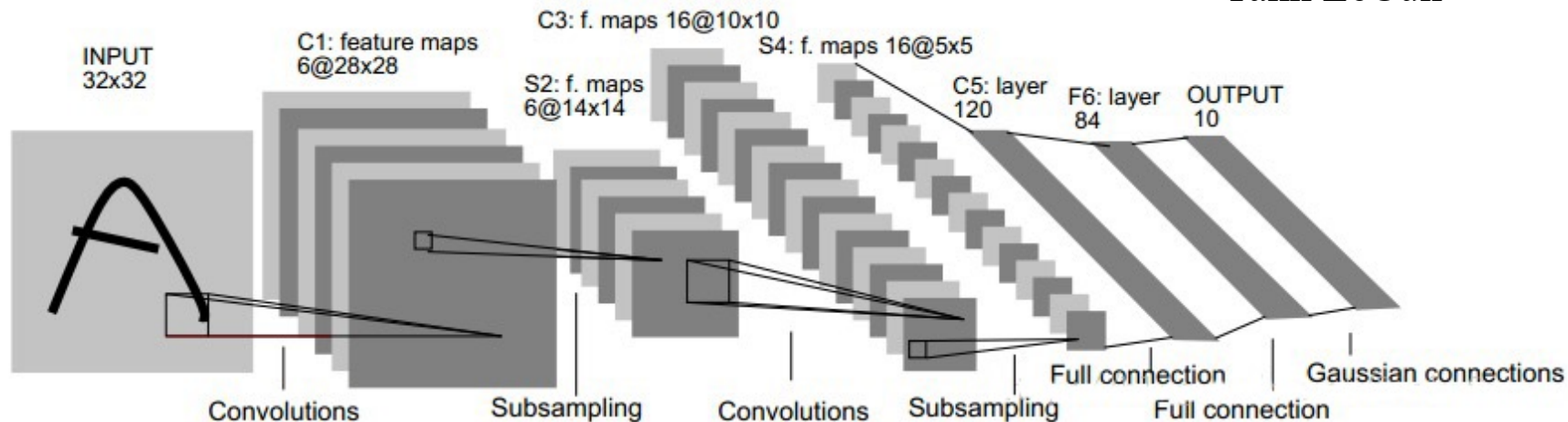
Convolutional Neural Network

□ LeNet (1998)

- *LeNet* is a refinement of NeoCognitron, which can be trained efficiently and achieve state of art results.



Yann LeCun



Neural Networks

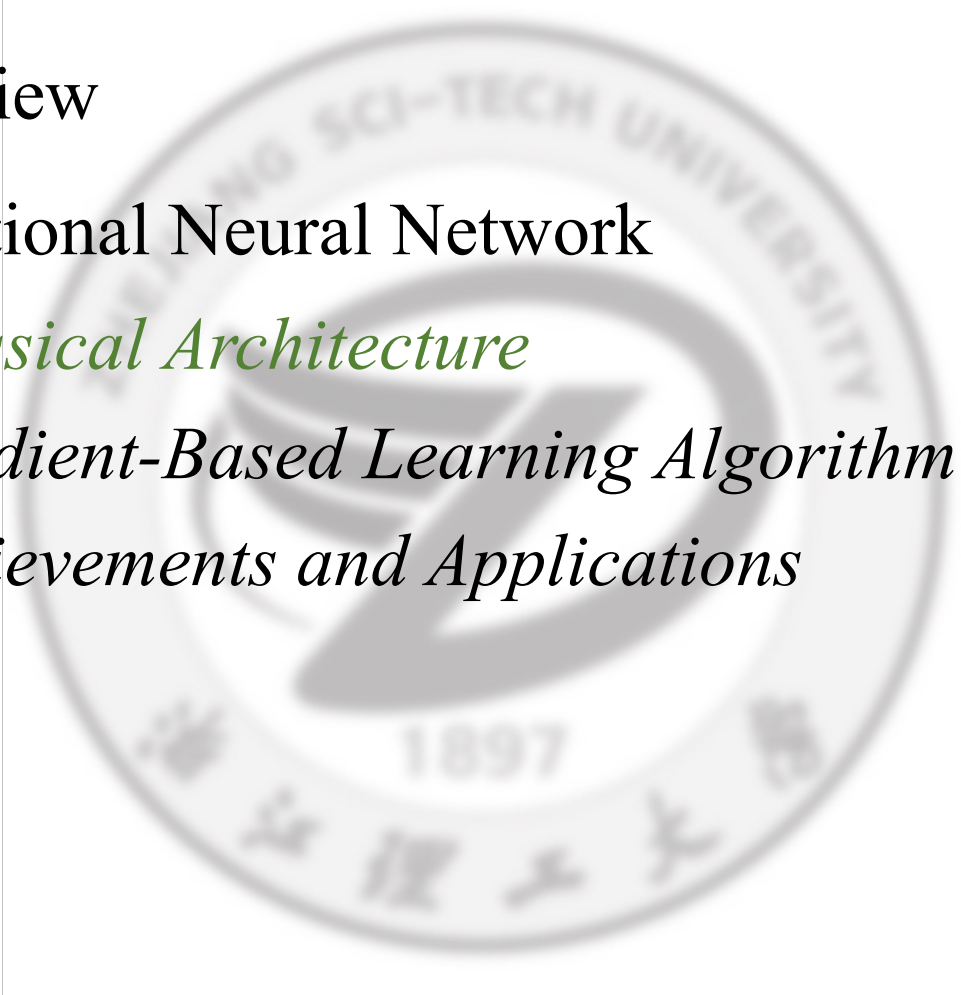


- Brief review
- Convolutional Neural Network

Classical Architecture

Gradient-Based Learning Algorithm

Achievements and Applications



Convolutional Neural Network

□ Classical Architecture

➤ Three Main Concepts in CNNs

- Receptive Field

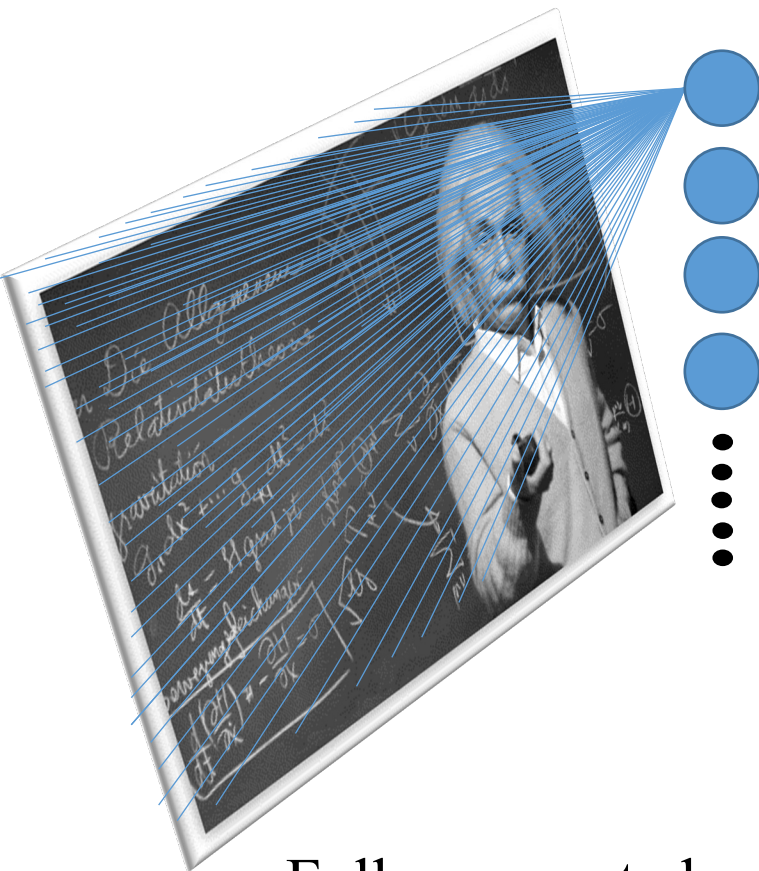
- Convolution (Simple Cell)

- Pooling (Complex Cell)

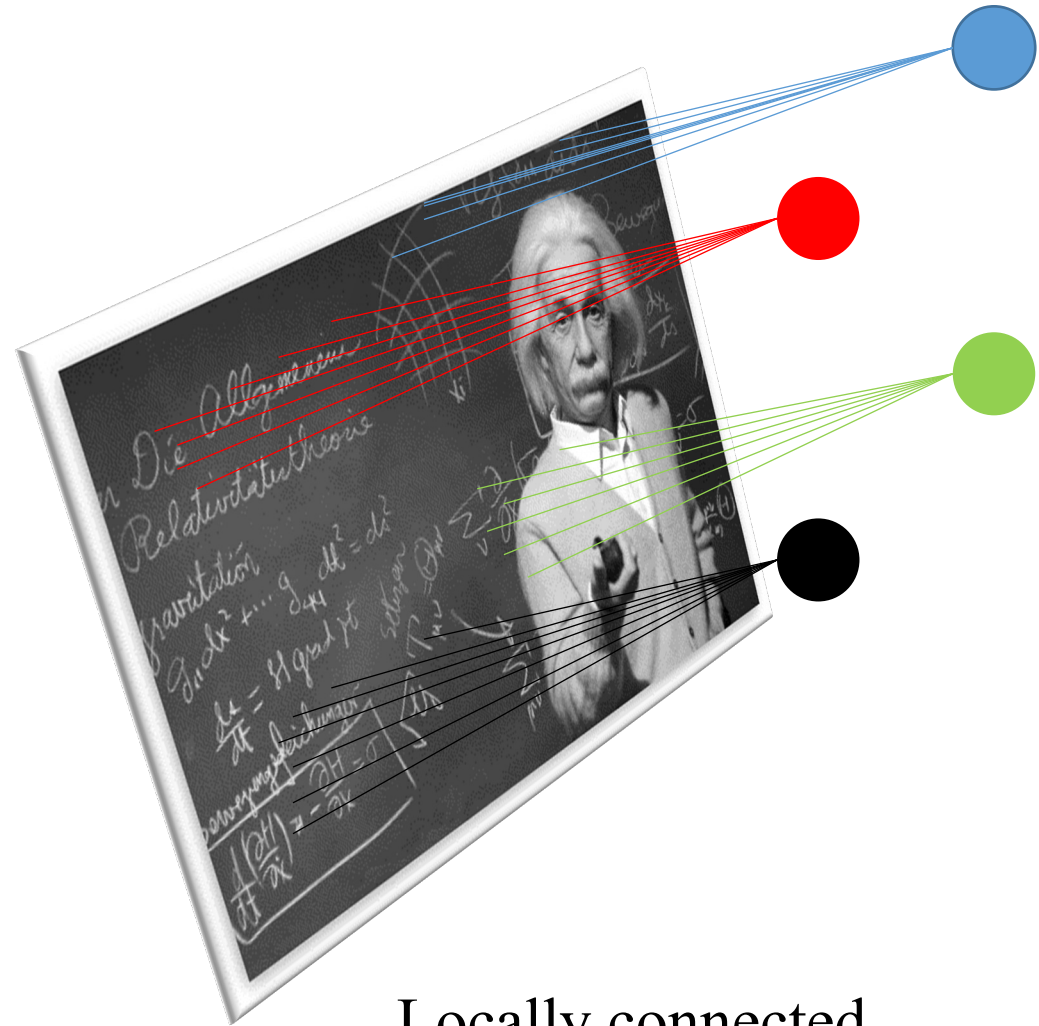
Convolutional Neural Network

□ Classical Architecture

➤ Receptive Field



Fully connected



Locally connected

Convolutional Neural Network

□ Classical Architecture of CNN

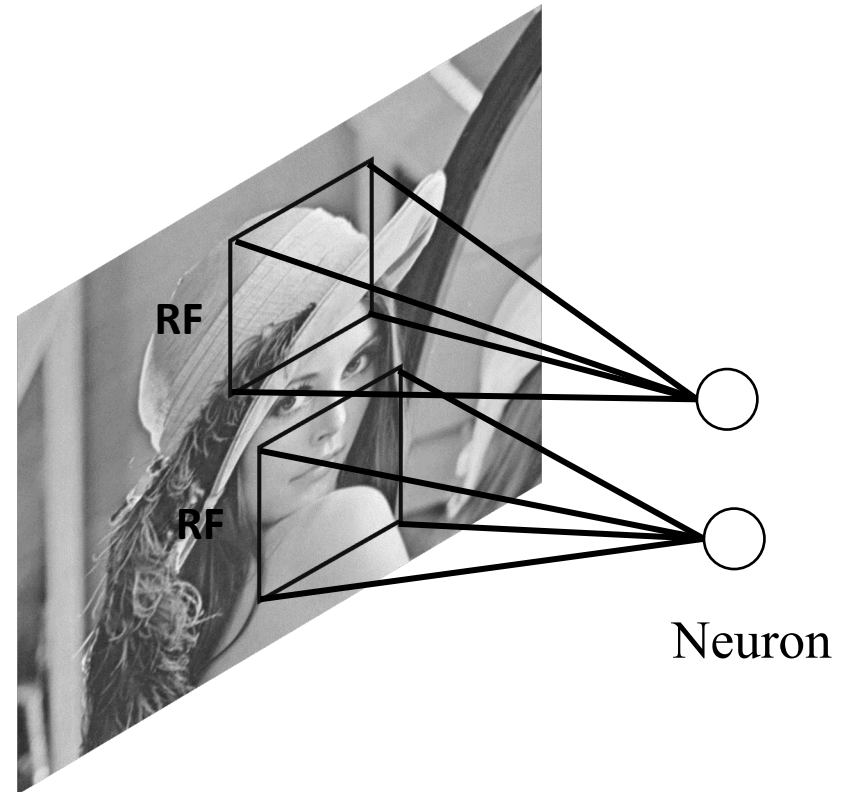
➤ Receptive Field

■ Receptive Field

- Receptive Field of a neuron is a *continuous sub-region* of the input space

■ Locally Connected

- Neuron is just connected to its own Receptive Field



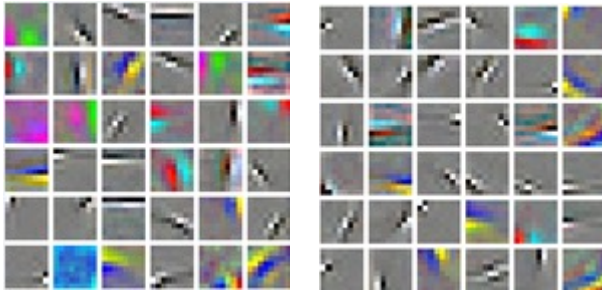
Convolutional Neural Network

□ Classical Architecture

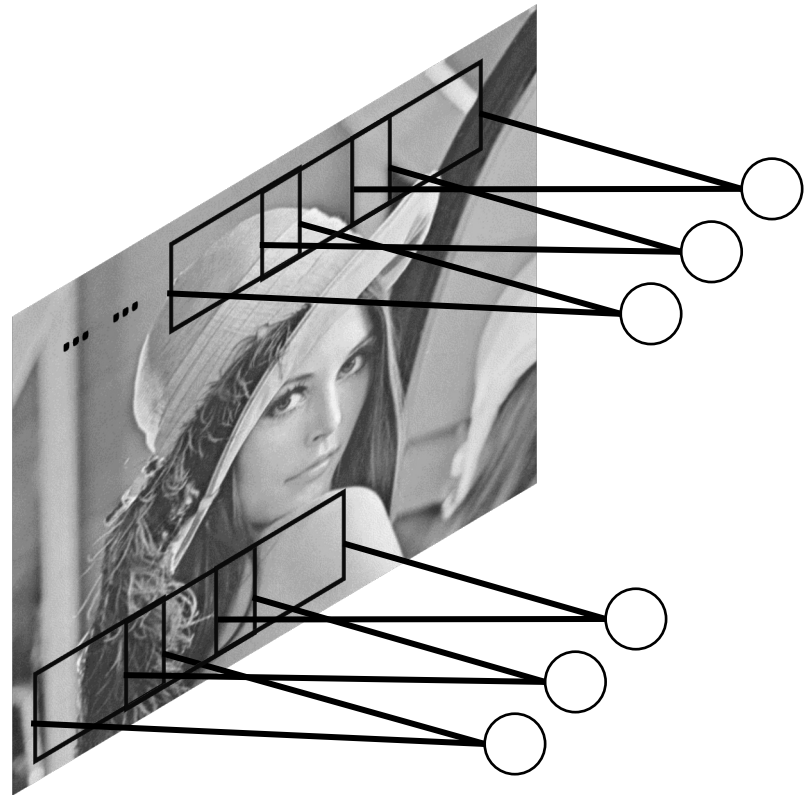
➤ Convolution (Simple Cell)

■ Simple Cell

- Simple Cells detect local feature.



- Their Receptive Fields are *overlapped*.



Convolutional Neural Network

□ Classical Architecture

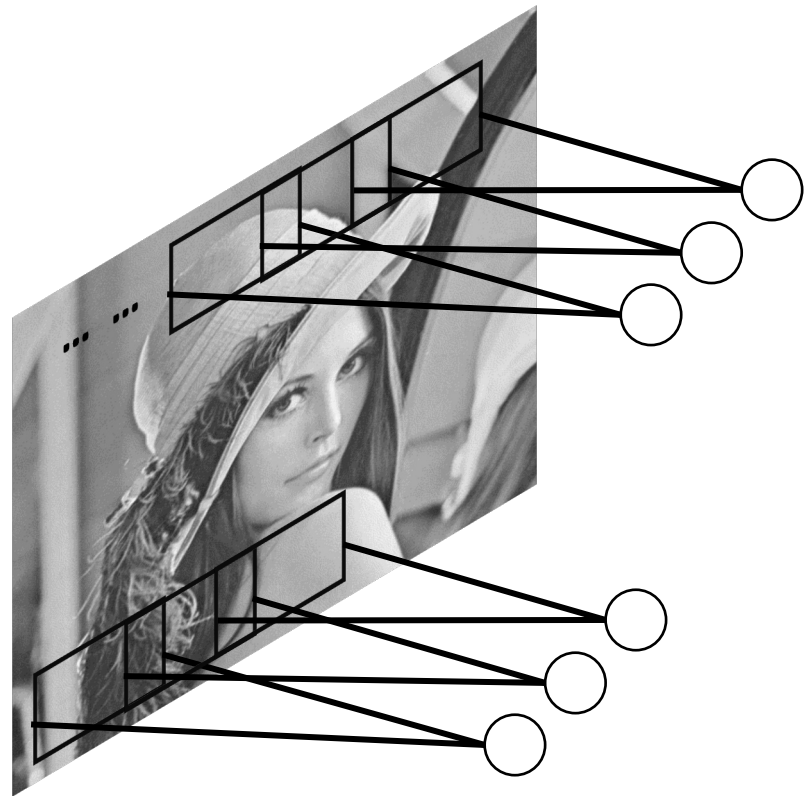
➤ Convolution (Simple Cell)

■ Shared Weight

- All units share the same set of weights

■ Why Shared Weight

- Features that are useful on one part of the image and probably useful elsewhere
- Shared Weight can reduce the number of parameters

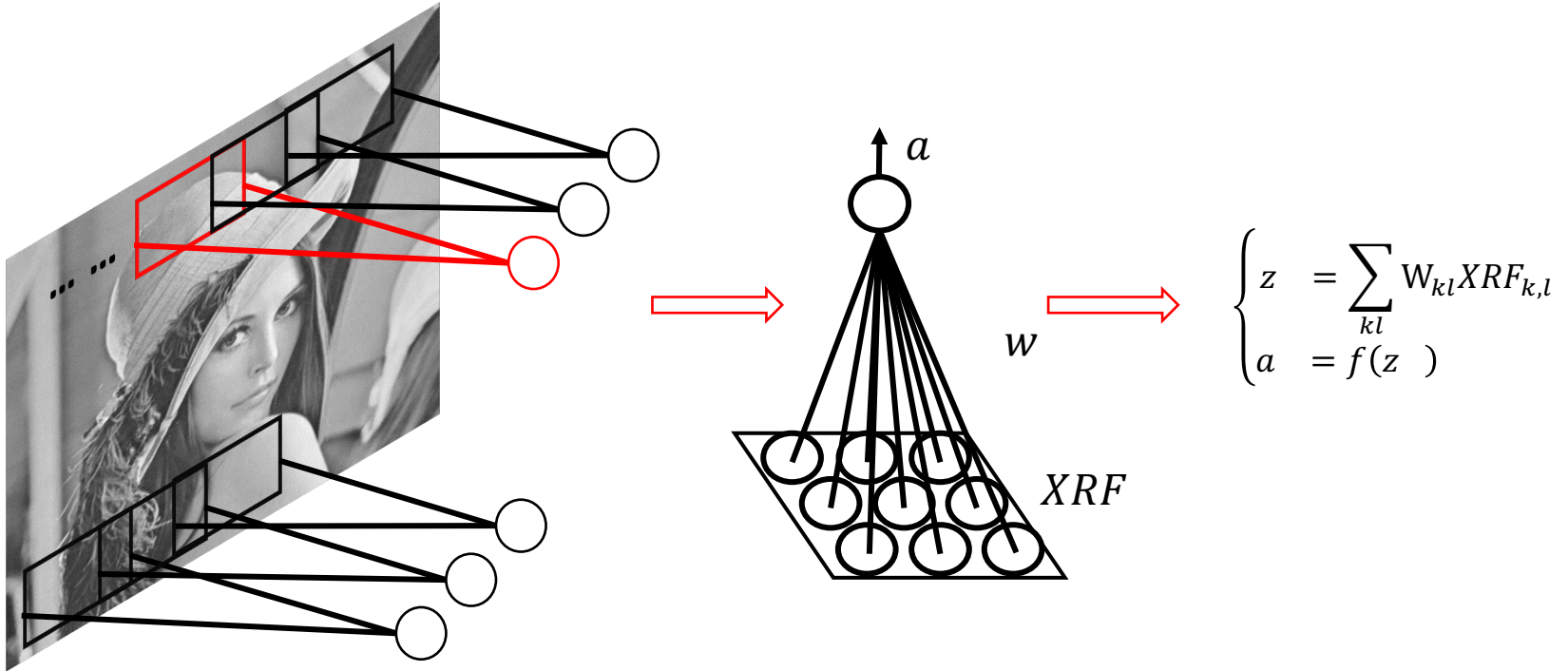


Convolutional Neural Network

□ Classical Architecture

➤ Convolution (Simple Cell)

● Activation of a Neuron



Convolutional Neural Network

□ Classical Architecture

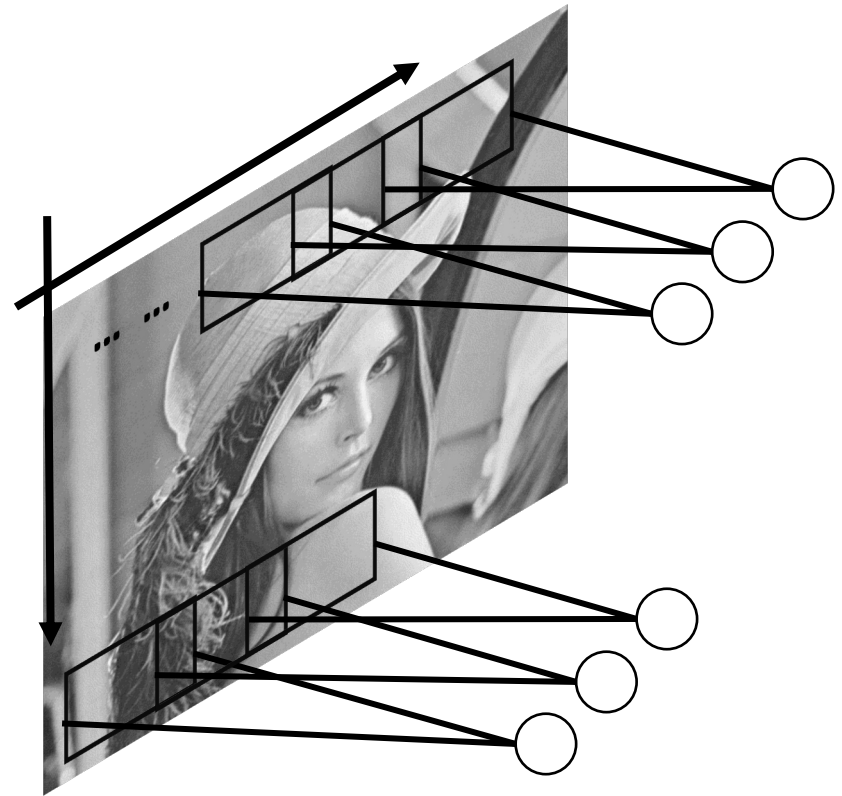
➤ Convolution (Simple Cell)

■ Feature Map

- Activations form a 2D array called Feature Map

- $$\begin{cases} z_{ij} = \sum_{kl} W_{kl} X_{i+k, j+l} \\ a_{ij} = f(z_{ij}) \end{cases}$$

- where X_{ij} is receptive field of ij -th neuron



Convolutional Neural Network

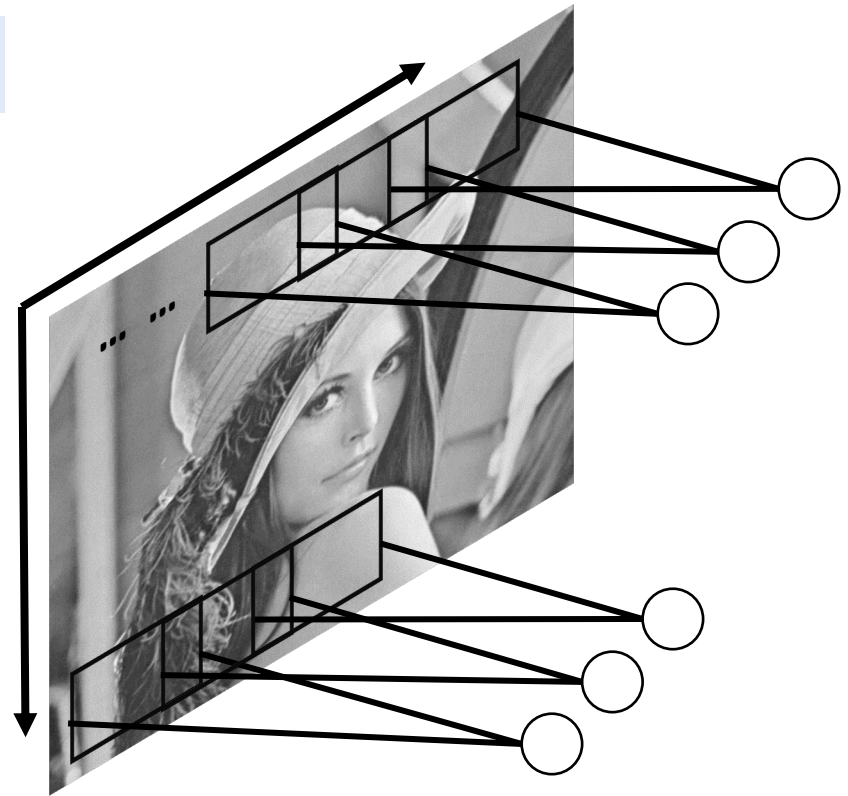
□ Classical Architecture

➤ Convolution (Simple Cell)

● Convolution

- With a learned filter (Weights W)
- Non-Linearity activation function

- $$\begin{cases} z = W * X \\ a = f(z) \end{cases}$$



Convolutional Neural Network

□ Classical Architecture - Convolution

0

1	0	1
1	0	1
1	0	1

1

1	1	1
0	0	0
1	1	1

Convolutional Neural Network

□ Classical Architecture - Convolution

0

1	0	1
1	0	1
1	0	1

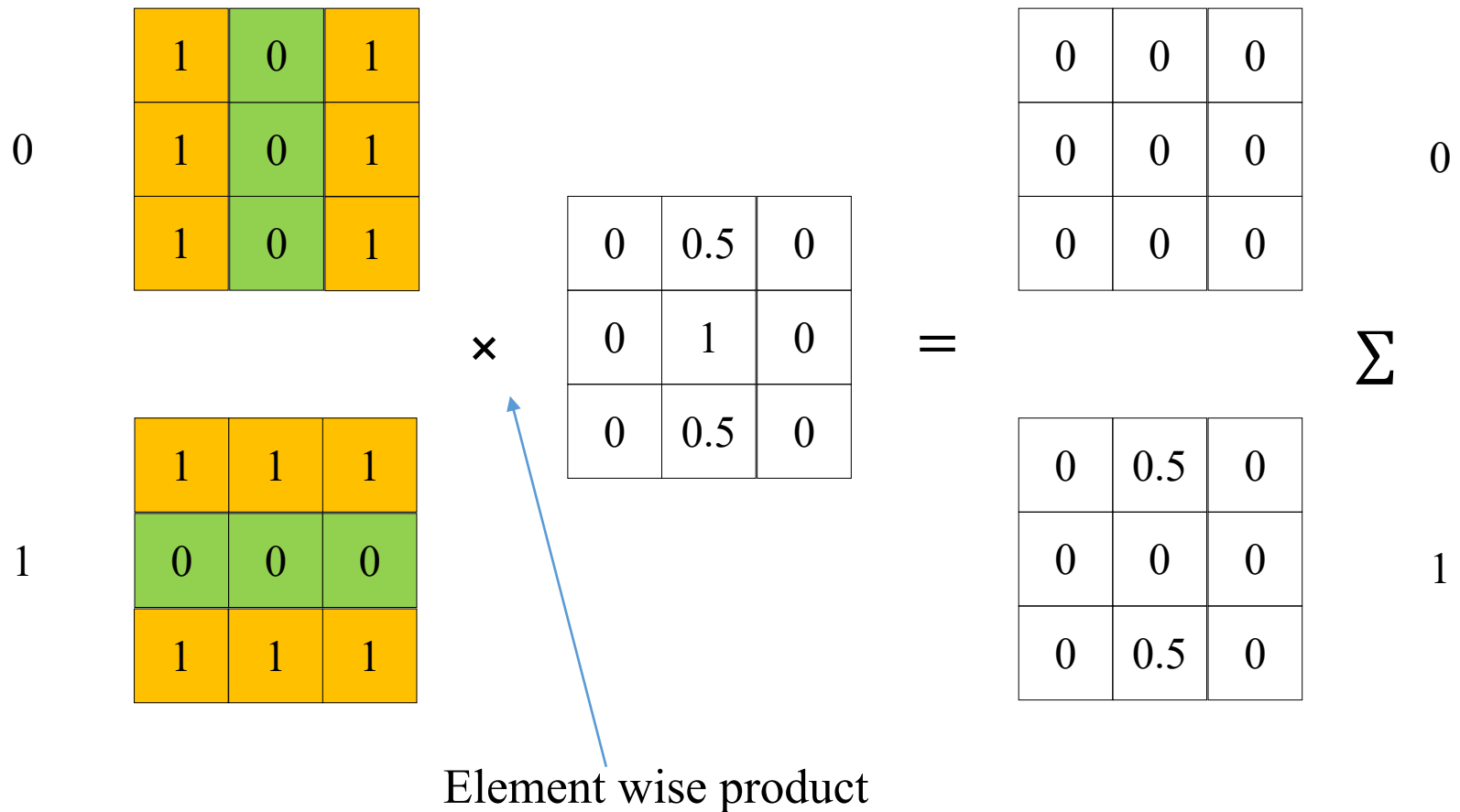
1

1	1	1
0	0	0
1	1	1

0	0.5	0
0	1	0
0	0.5	0

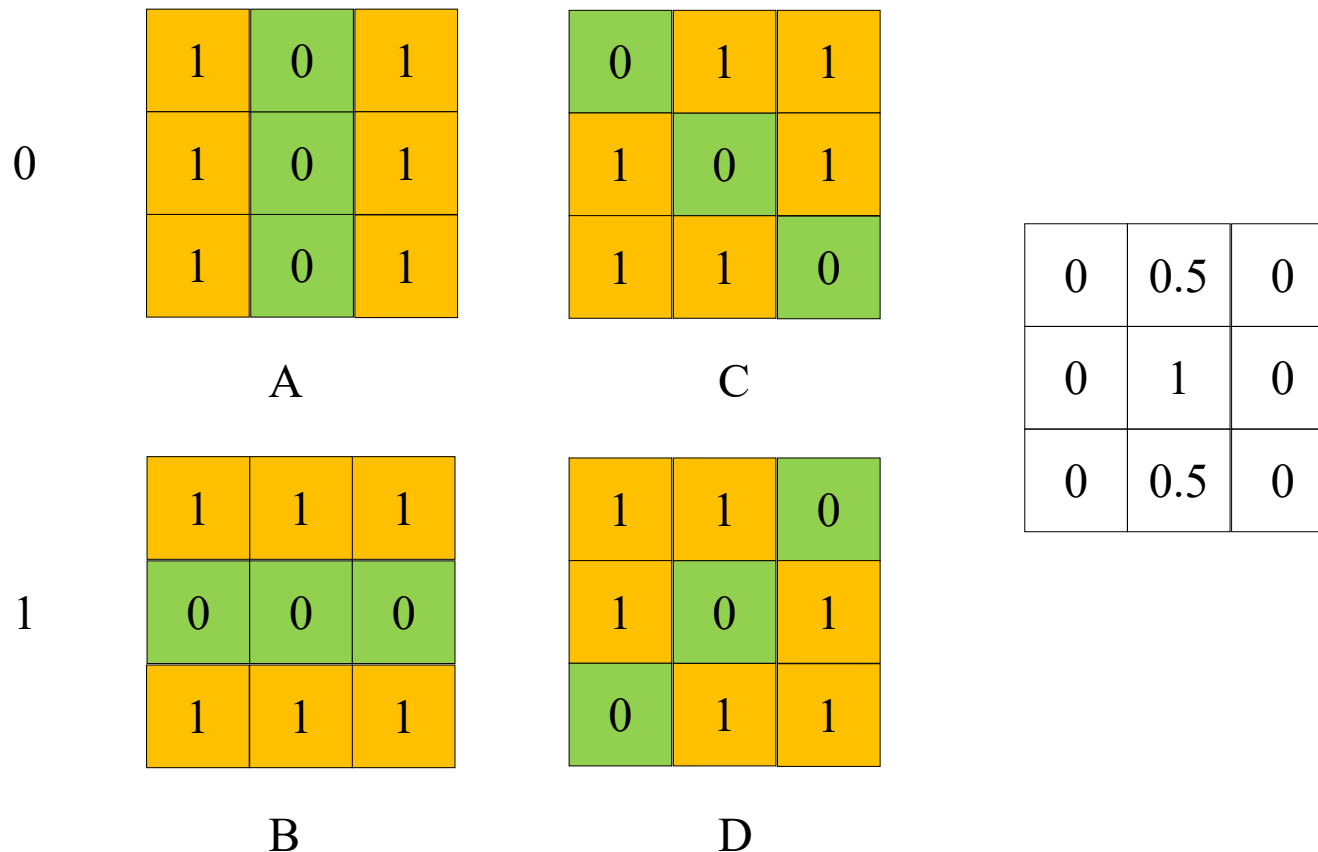
Convolutional Neural Network

□ Classical Architecture - Convolution



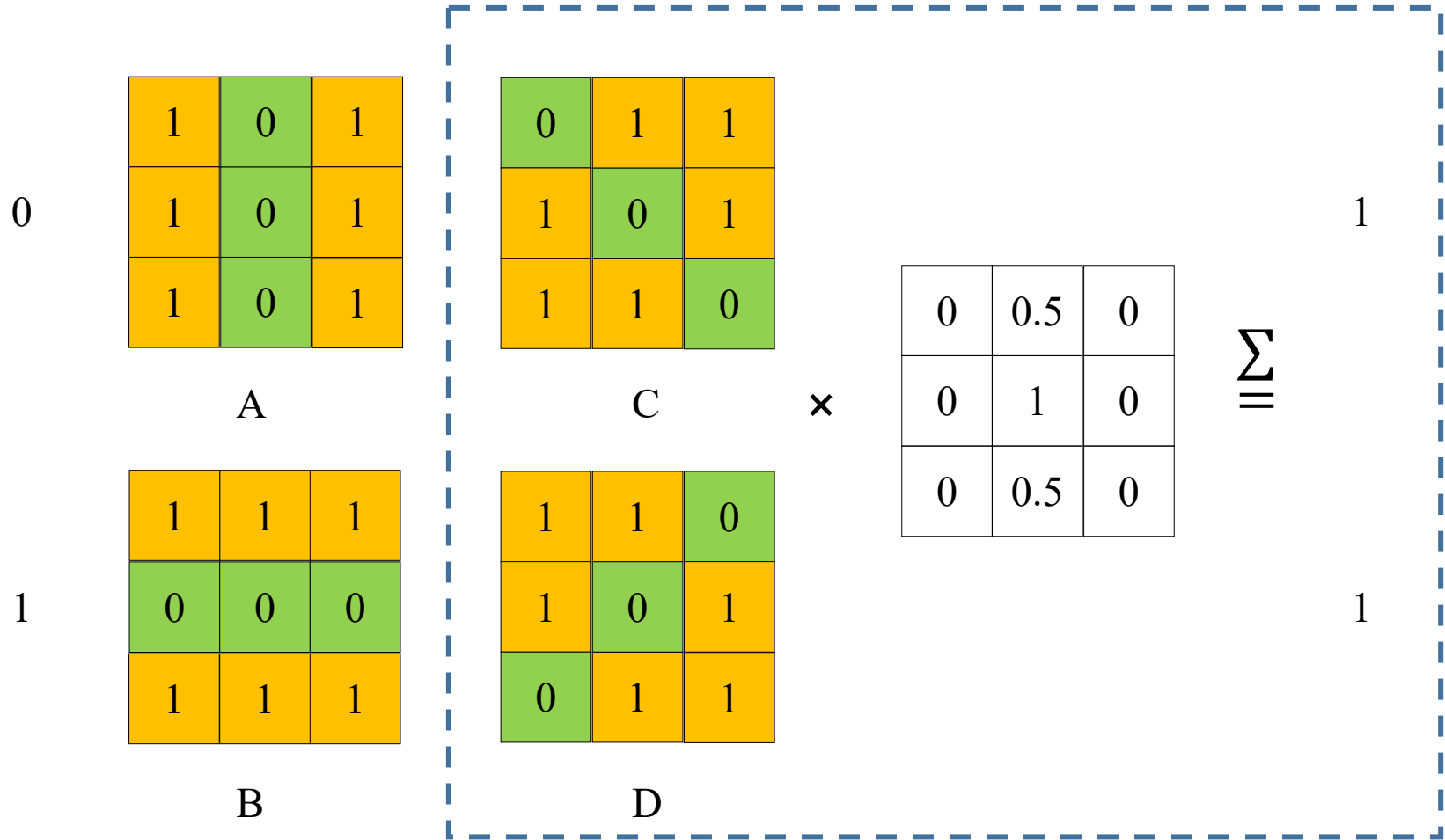
Convolutional Neural Network

□ Classical Architecture - Convolution



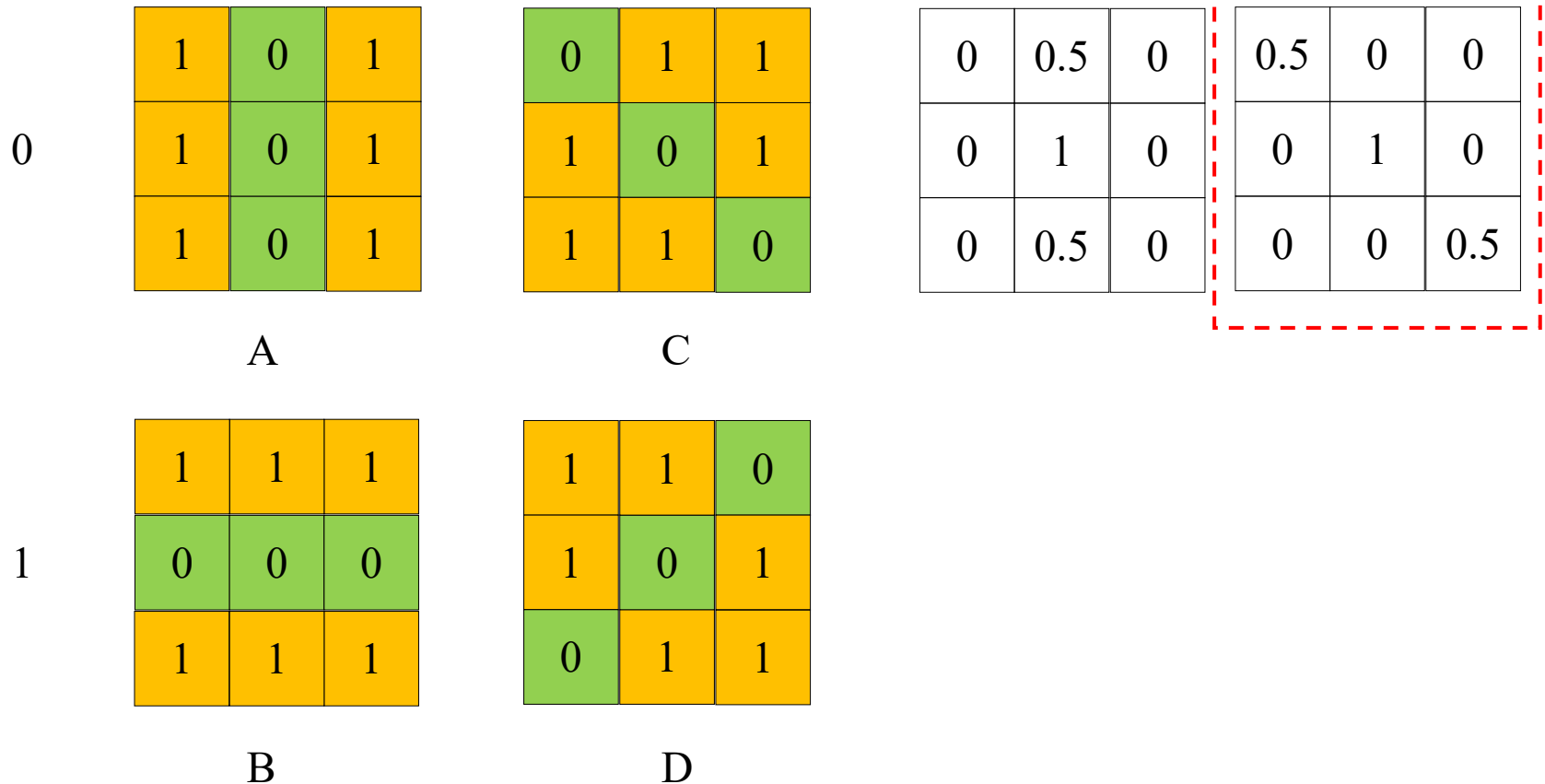
Convolutional Neural Network

□ Classical Architecture - Convolution



Convolutional Neural Network

□ Classical Architecture - Convolution



Convolutional Neural Network

□ Classical Architecture - Convolution

0

1	0	1
1	0	1
1	0	1

A

0	1	1
1	0	1
1	1	0

C

1

1	1	1
0	0	0
1	1	1

B

1	1	0
1	0	1
0	1	1

D

0	0.5	0
0	1	0
0	0.5	0

A

0

0.5	0	0
0	1	0
0	0	0.5

1

B

1

1

C

1

0

D

1

1

Convolutional Neural Network

□ Classical Architecture - Convolution

Convolutional Kernels are special masks(filters) that respond to specific patterns in the input image.

0	0.5	0
0	1	0
0	0.5	0

0.5	0	0
0	1	0
0	0	0.5

*	0	*
*	0	*
*	0	*

0	*	*
*	0	*
*	*	0

Convolutional Neural Network

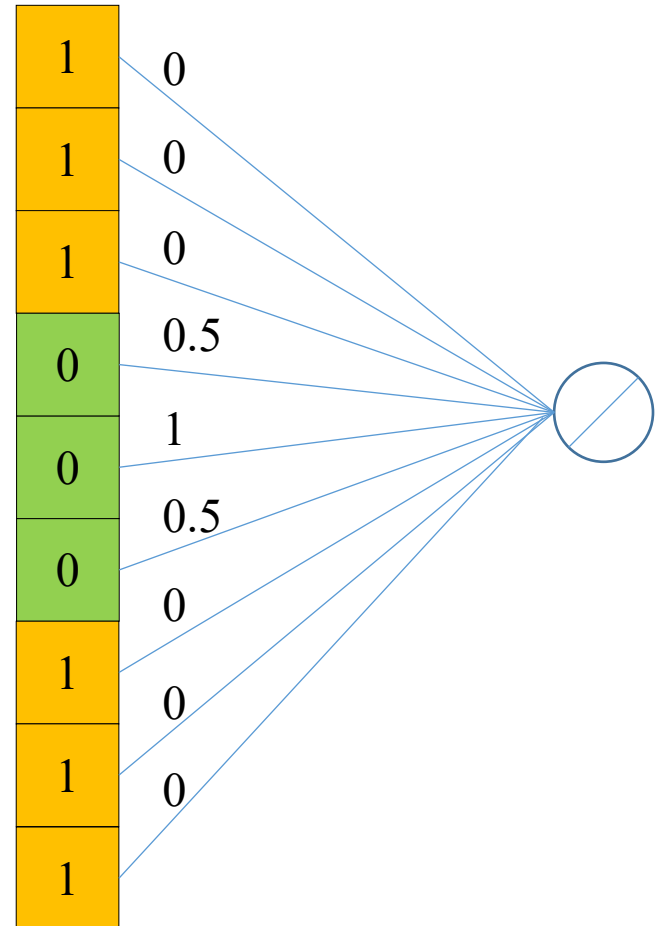
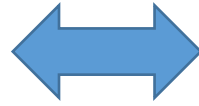
□ Classical Architecture - Convolution

1	0	1
1	0	1
1	0	1

Input image

0	0.5	0
0	1	0
0	0.5	0

Kernel



Convolutional Neural Network

□ Classical Architecture - Convolution

1	0	0	1
1	1	0	1
1	1	0	1
1	0	0	0

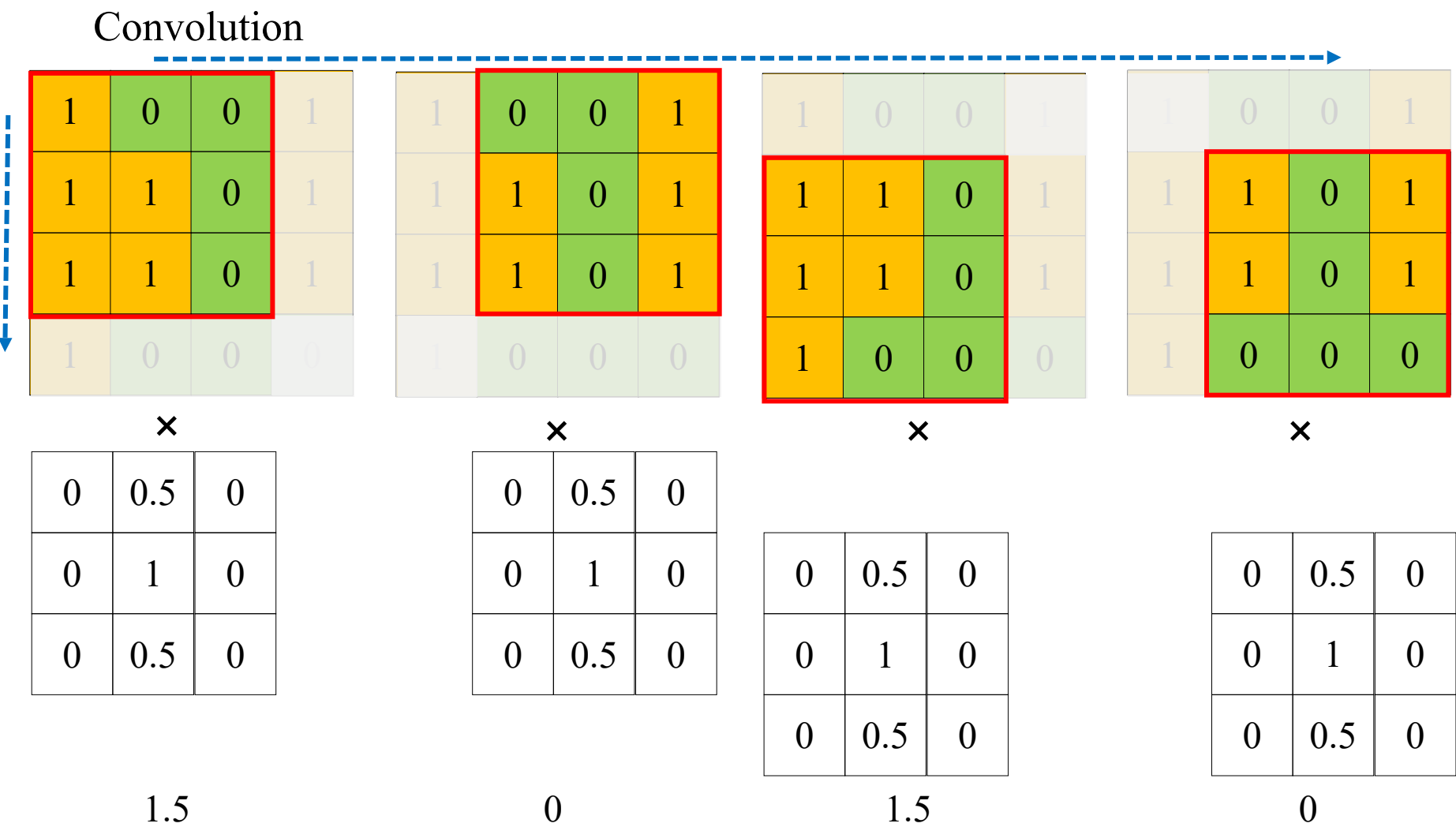
Input image

0	0.5	0
0	1	0
0	0.5	0

Kernel

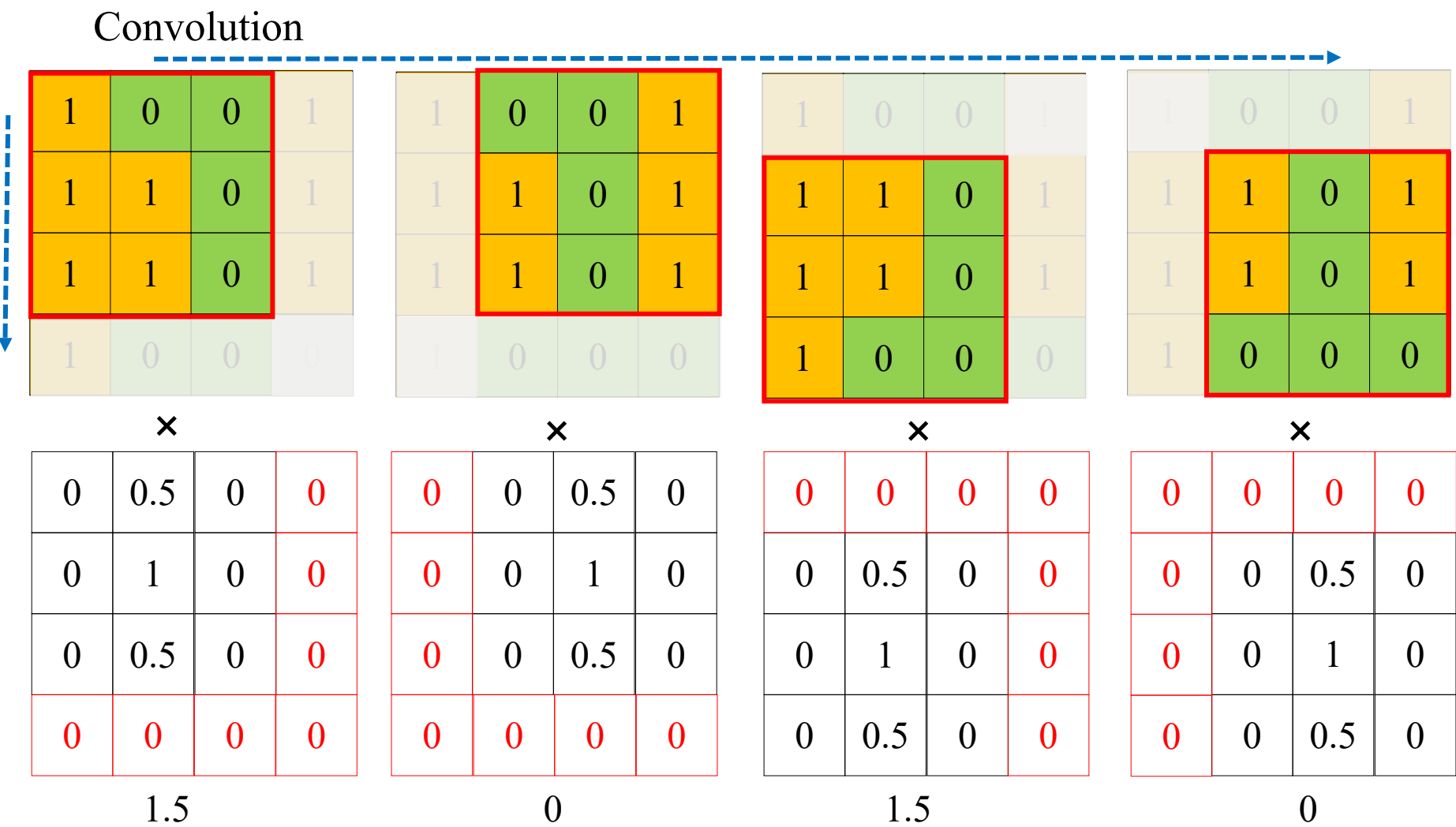
Convolutional Neural Network

Classical Architecture - Convolution



Convolutional Neural Network

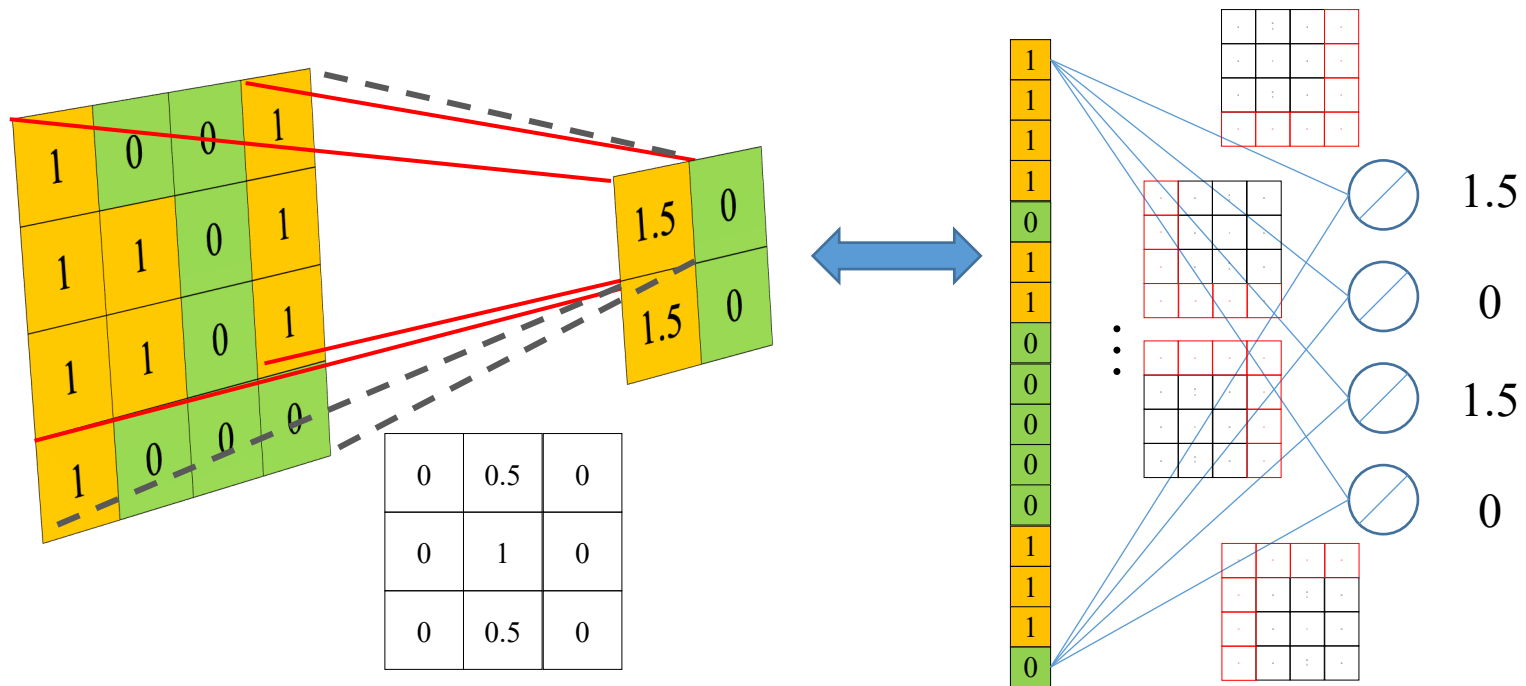
Classical Architecture - Convolution



Convolutional Neural Network

□ Classical Architecture - Convolution

Each convolutional kernel is equivalent to several spatially constrained FC neurons



1 convolution kernel $\longleftrightarrow (W - W_k + 1) \times (H - H_k + 1)$ neurons

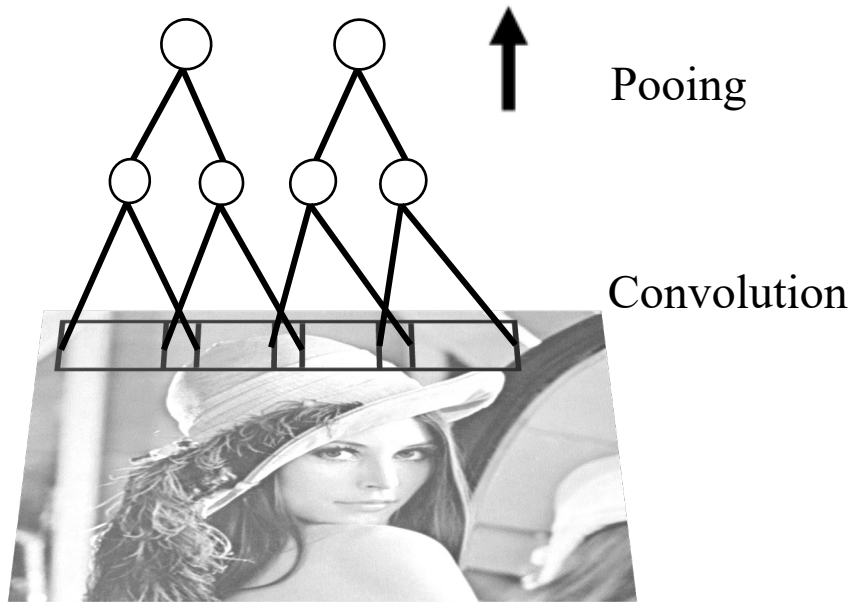
Convolutional Neural Network

□ Classical Architecture - Example

$$\text{Input } X = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}$$

$$\text{Filter } w = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

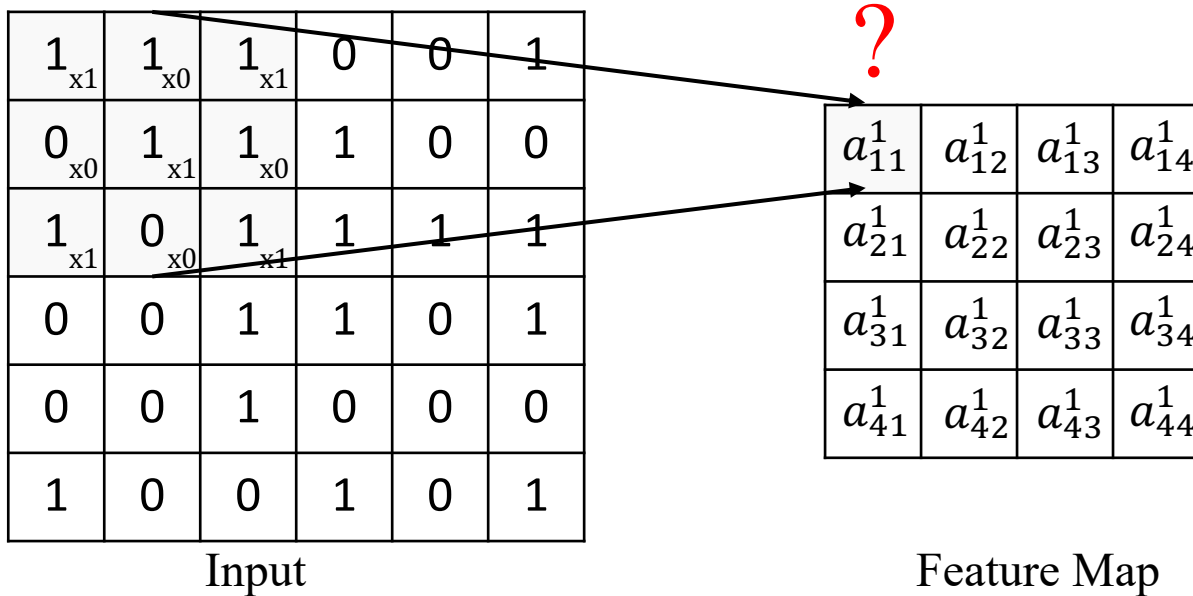
$$\text{FeedForward} \begin{cases} z_{ij} = \sum_{kl} w_{kl} X_{i+k, j+l} \\ a_{ij} = f(z_{ij}) = z_{ij} \end{cases}$$



Convolutional Neural Network

□ Classical Architecture - Example

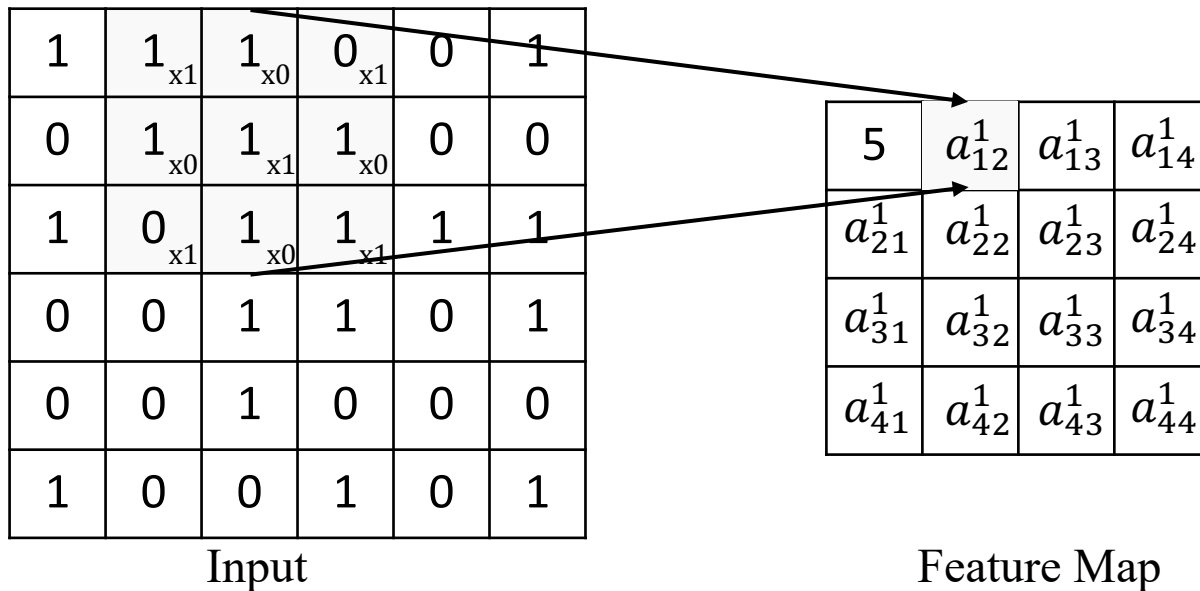
$$\begin{cases} z_{11}^1 = 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 \\ = 5 \\ a_{11}^1 = f(z_{11}^1) = 5 \end{cases}$$



Convolutional Neural Network

□ Classical Architecture - Example

$$\begin{cases} z_{12}^1 = 1 \times 1 + 1 \times 0 + 0 \times 1 + 1 \times 0 + 1 \times 1 + 1 \times 0 + 0 \times 1 + 1 \times 0 + 1 \times 1 \\ \quad = 3 \\ a_{12}^1 = f(z_{12}^1) = 3 \end{cases}$$



Convolutional Neural Network

□ Classical Architecture - Example

1	1	1_{x1}	0_{x0}	0_{x1}	1
0	1	1_{x0}	1_{x1}	0_{x0}	0
1	0	1_{x1}	1_{x0}	1_{x1}	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

1	1	1	0_{x1}	0_{x0}	1_{x1}
0	1	1	1_{x0}	0_{x1}	0_{x0}
1	0	1	1_{x1}	1_{x0}	1_{x1}
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	a_{13}^1	a_{14}^1
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

$$\begin{cases} z_{21}^1 = 0 \times 1 + 1 \times 0 + 1 \times 1 + 1 \times 0 + 0 \times 1 + 1 \times 0 + 0 \times 1 + 0 \times 0 + 1 \times 1 \\ \quad = 2 \\ a_{21}^1 = f(z_{21}^1) = 2 \end{cases}$$

1	1	1	0	0	1
0_{x1}	1_{x0}	1_{x1}	1	0	0
1_{x0}	0_{x1}	1_{x0}	1	1	1
0_{x1}	0_{x0}	1_{x1}	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

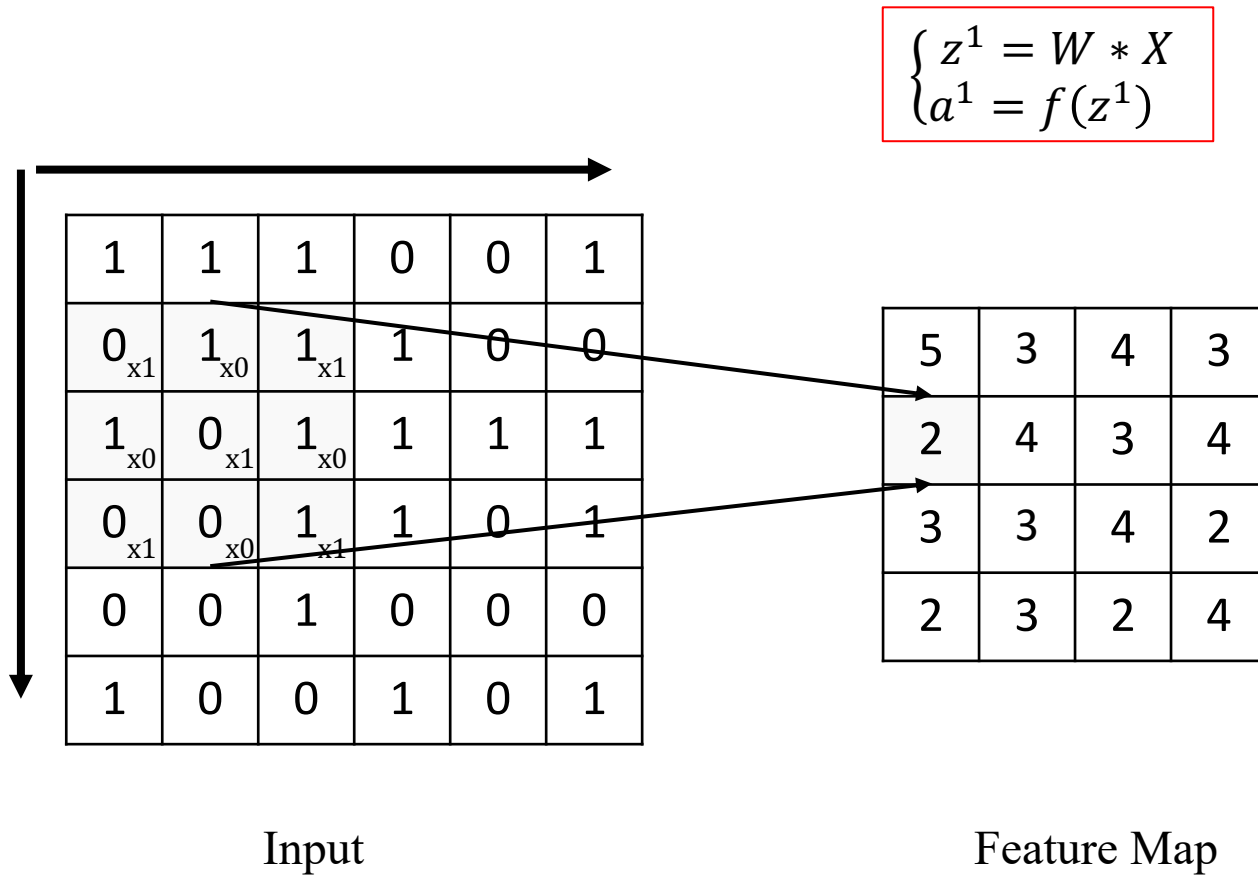
Input

5	3	4	3
a_{21}^1	a_{22}^1	a_{23}^1	a_{24}^1
a_{31}^1	a_{32}^1	a_{33}^1	a_{34}^1
a_{41}^1	a_{42}^1	a_{43}^1	a_{44}^1

Feature Map

Convolutional Neural Network

□ Classical Architecture - Example



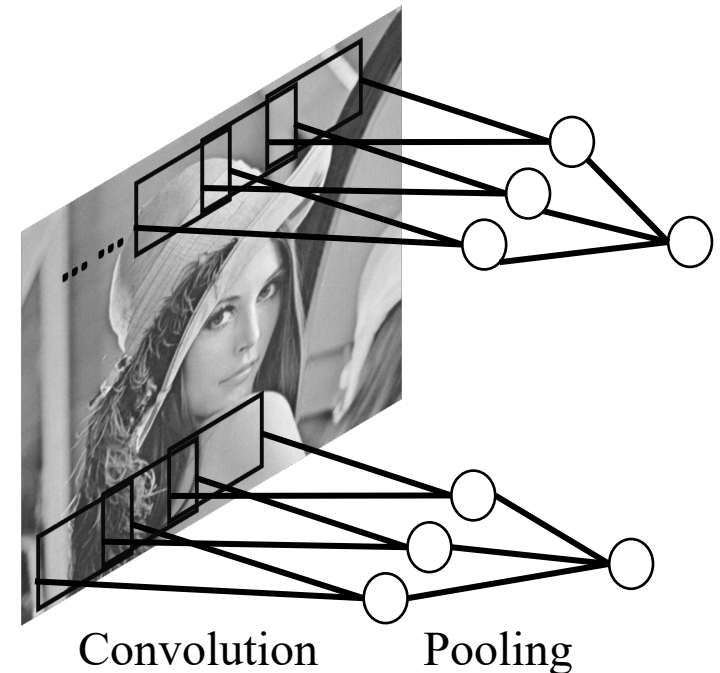
Convolutional Neural Network

□ Classical Architecture

➤ Pooling (Complex Cell)

● Complex Cell

- Complex Cell “pool” the output of Simple Cells within its receptive field
- Their Receptive Fields are *non-overlapped*.



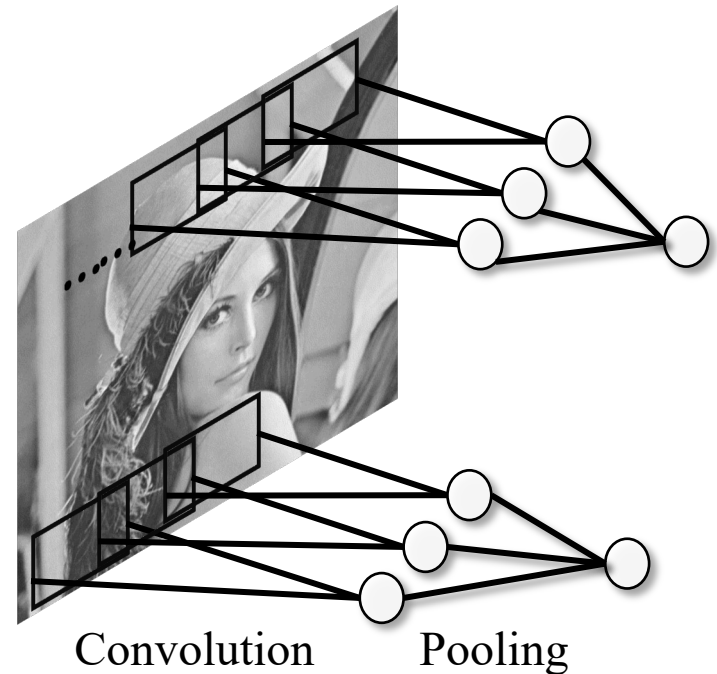
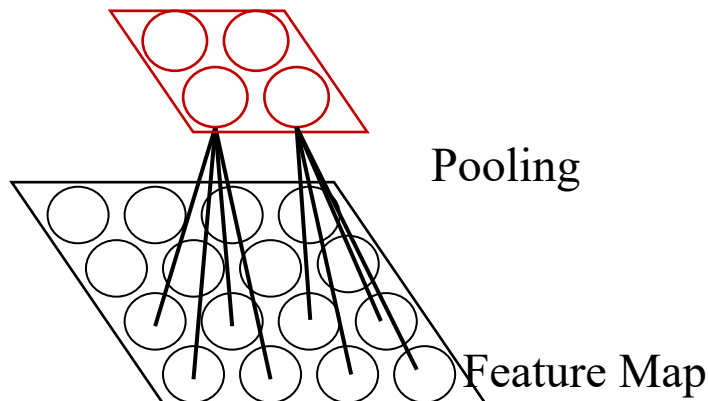
Convolutional Neural Network

□ Classical Architecture

➤ Pooling (Complex Cell)

● Pooling

- Pooling is an aggregation operation applied to receptive field on feature map
- Sometimes are max/mean pooling



Convolutional Neural Network

□ Classical Architecture - Example

Max Pooing

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

?

a_{11}^2	a_{12}^2
a_{21}^2	a_{22}^2

Pooled Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

Max Pooing

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

5	a_{12}^2
a_{21}^2	a_{22}^2

Pooled Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

Max Pooing

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

5	4
a_{21}^2	a_{22}^2

Pooled Feature Map

Convolutional Neural Network

□ Classical Architecture - Example

Max Pooing

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

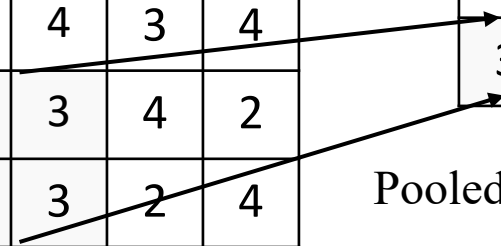
Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

5	4
3	4

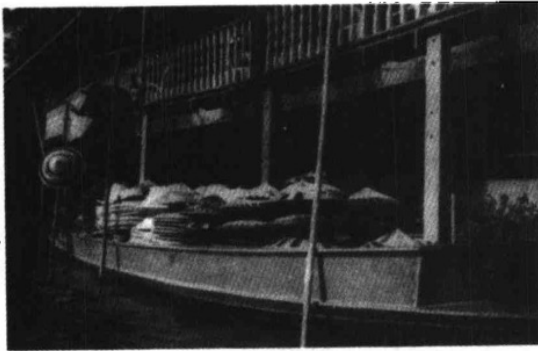
Pooled Feature Map



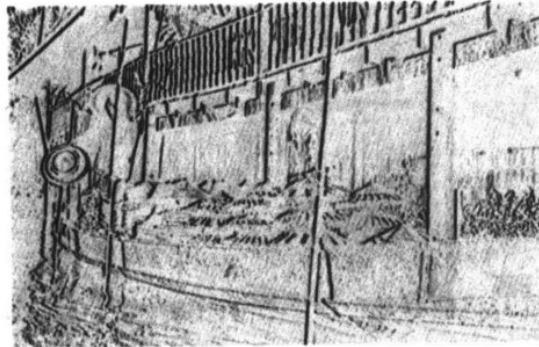
Convolutional Neural Network

□ Classical Architecture

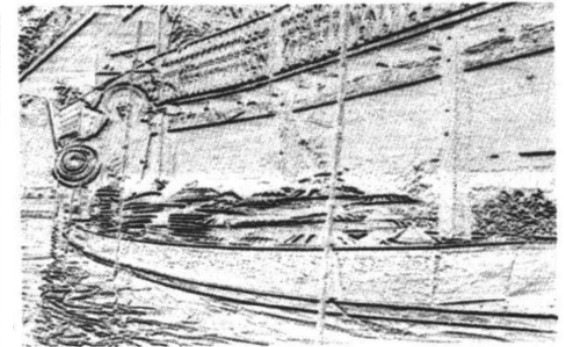
- Multiple Convolutions with Different Filters
 - Different filters detect different features



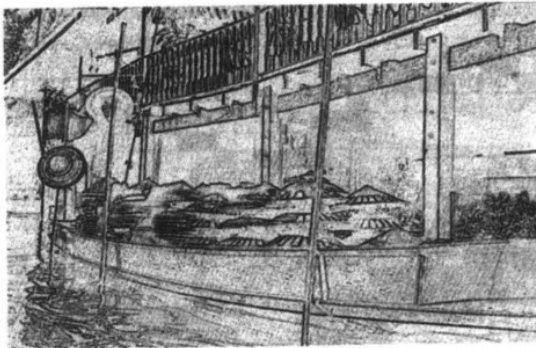
Raw Image



Vertical Edge Detect by $w1$



Horizontal Edge Detect by $w2$



Horizontal & Vertical

$$w1 = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$



$$w2 = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$

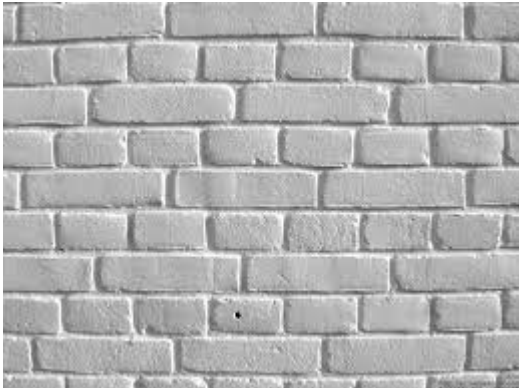


Convolutional Neural Network

□ Classical Architecture

➤ Multiple Convolutions with Different Filters

- Different filters detect different features



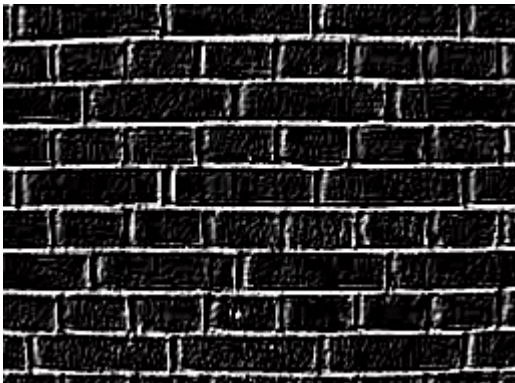
Raw Image



Vertical Edge Detect by w1



Horizontal Edge Detect by w2



Horizontal & Vertical

$$w1 = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$



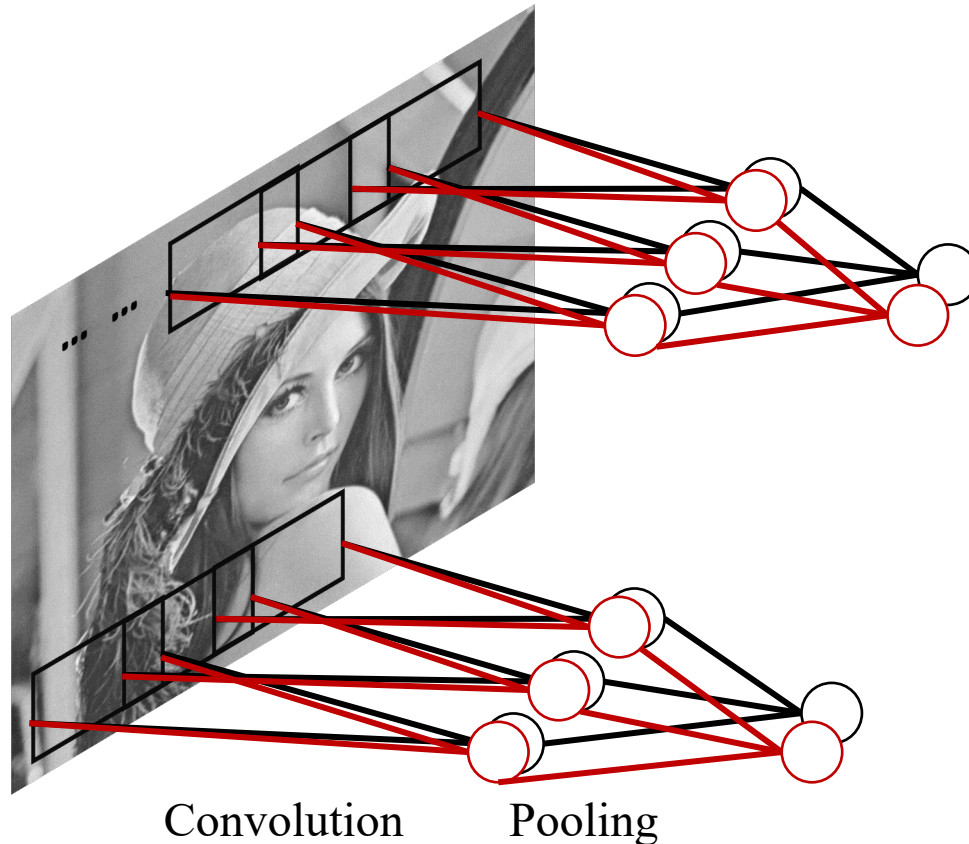
$$w2 = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$



Convolutional Neural Network

□ Classical Architecture

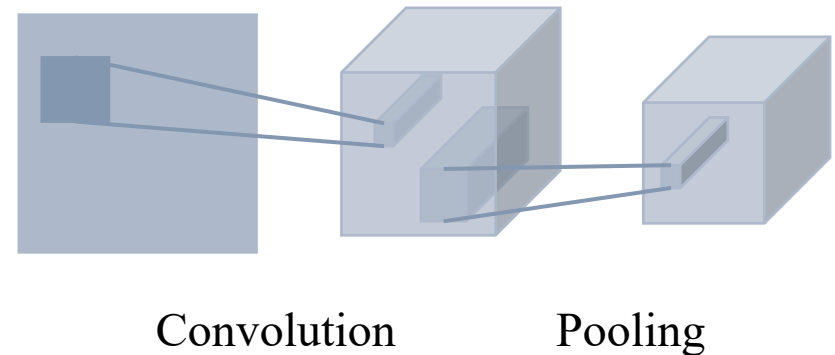
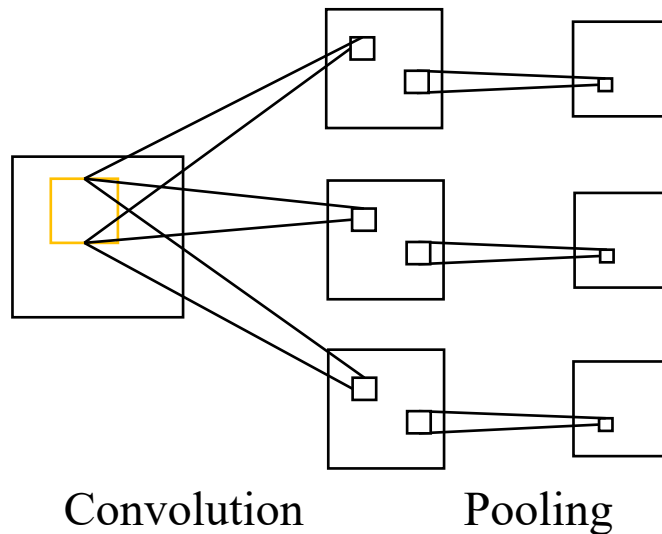
- Multiple Convolutions with Different Filters
 - Detect multiple features at each receptive field



Convolutional Neural Network

□ Classical Architecture

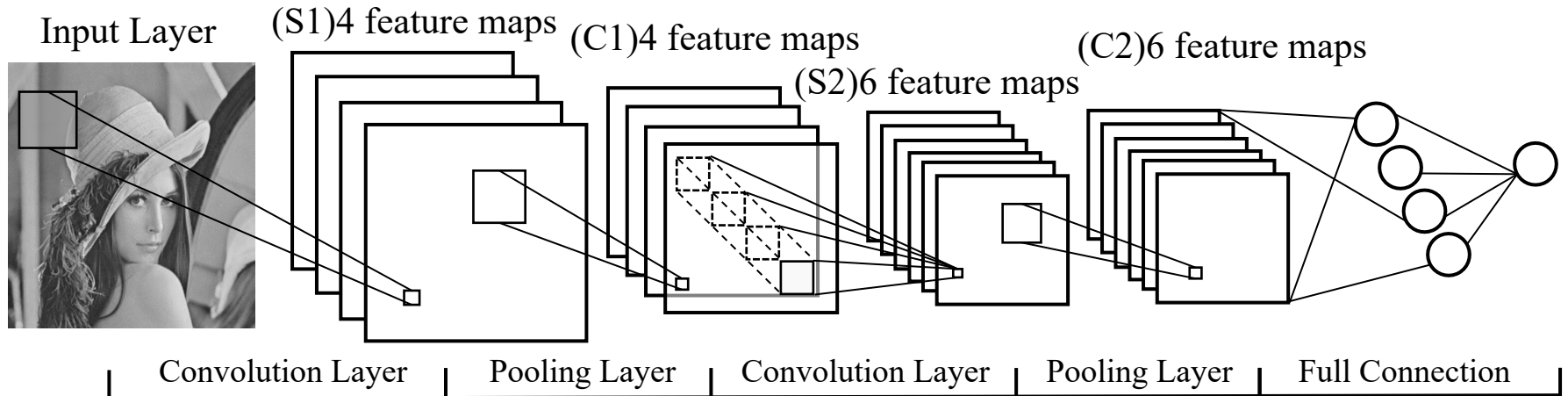
- Multiple Convolutions with Different Filters
 - There results a 3D array, where each slice is a feature map.
 - Then pooling each feature map individually



Convolutional Neural Network

□ Classical Architecture

➤ Added by Full Connection Layers



Neural Networks

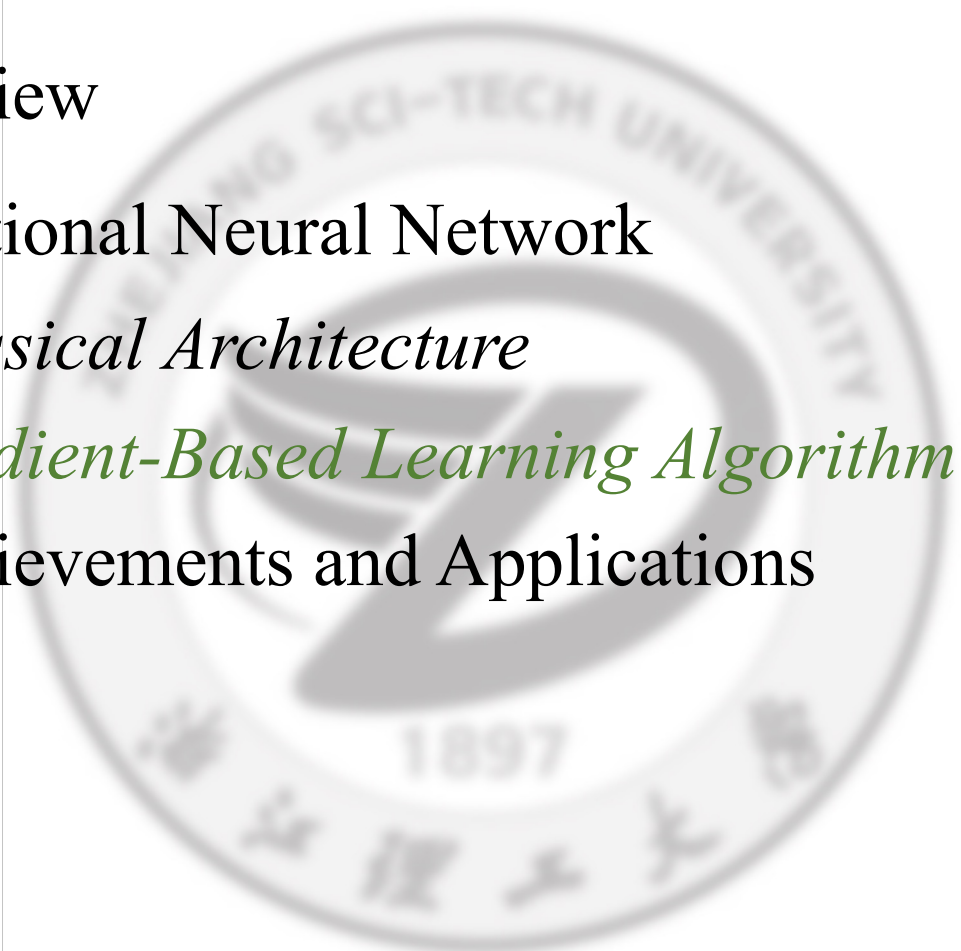


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Classical Architecture

Gradient-Based Learning Algorithm

Achievements and Applications



Backpropagation

□ Conclusion: BP for FNN

Forward computing: $y = f(\sum_{i=1}^n w_i x_i)$

Define cost function: $J = J(w^1, \dots, w^{L-1})$

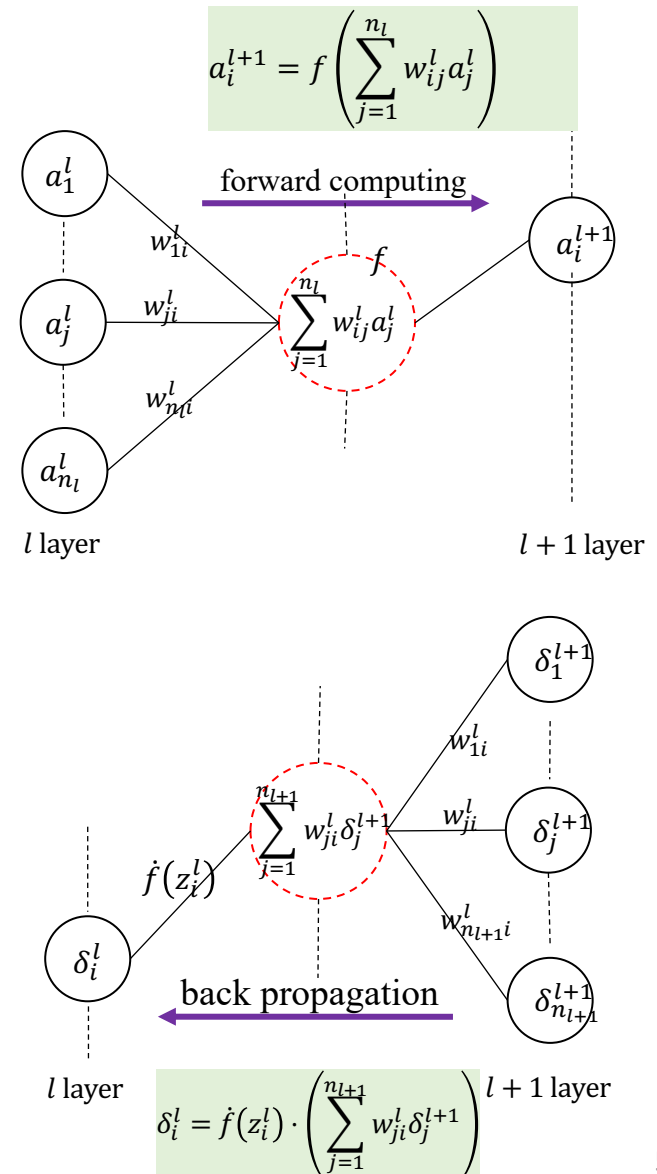
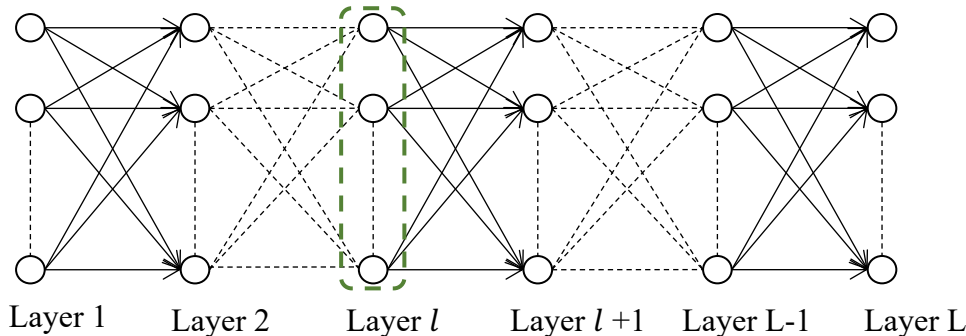
Updating rule: $w_{ji}^l \leftarrow w_{ji}^l - \alpha \cdot \frac{\partial J}{\partial w_{ji}^l}$

Define δ : $\delta_i^l = \frac{\partial J}{\partial z_i^l}$

Find the relation: $\frac{\partial J}{\partial w_{ji}^l} = \delta_j^{l+1} \cdot a_i^l$

Back propagation: $\delta_i^l = \frac{\partial J}{\partial z_i^l} = (a_i^l - y_i^l) \cdot \dot{f}(z_i^l)$

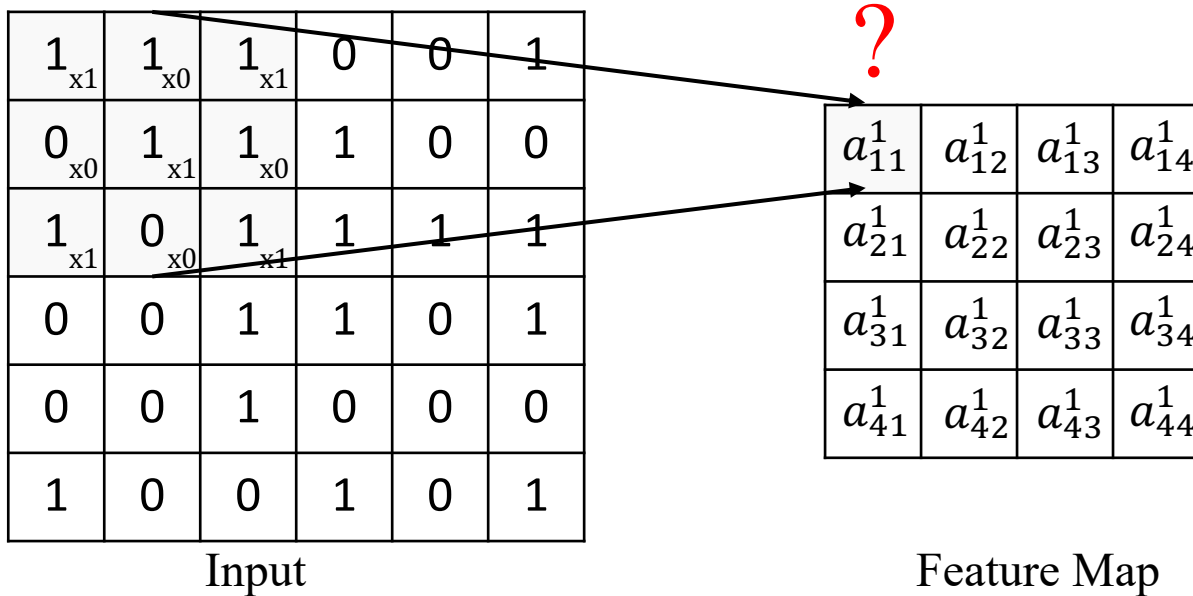
$$\delta_i^l = \dot{f}(z_i^l) \cdot \left(\sum_{j=1}^{n_{l+1}} \delta_j^{l+1} \cdot w_{ji}^l \right)$$



Convolutional Neural Network

□ Classical Architecture – Conv Example

$$\begin{cases} z_{11}^1 = 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 + 1 \times 0 + 1 \times 1 + 0 \times 0 + 1 \times 1 \\ = 5 \\ a_{11}^1 = f(z_{11}^1) = 5 \end{cases}$$



Convolutional Neural Network

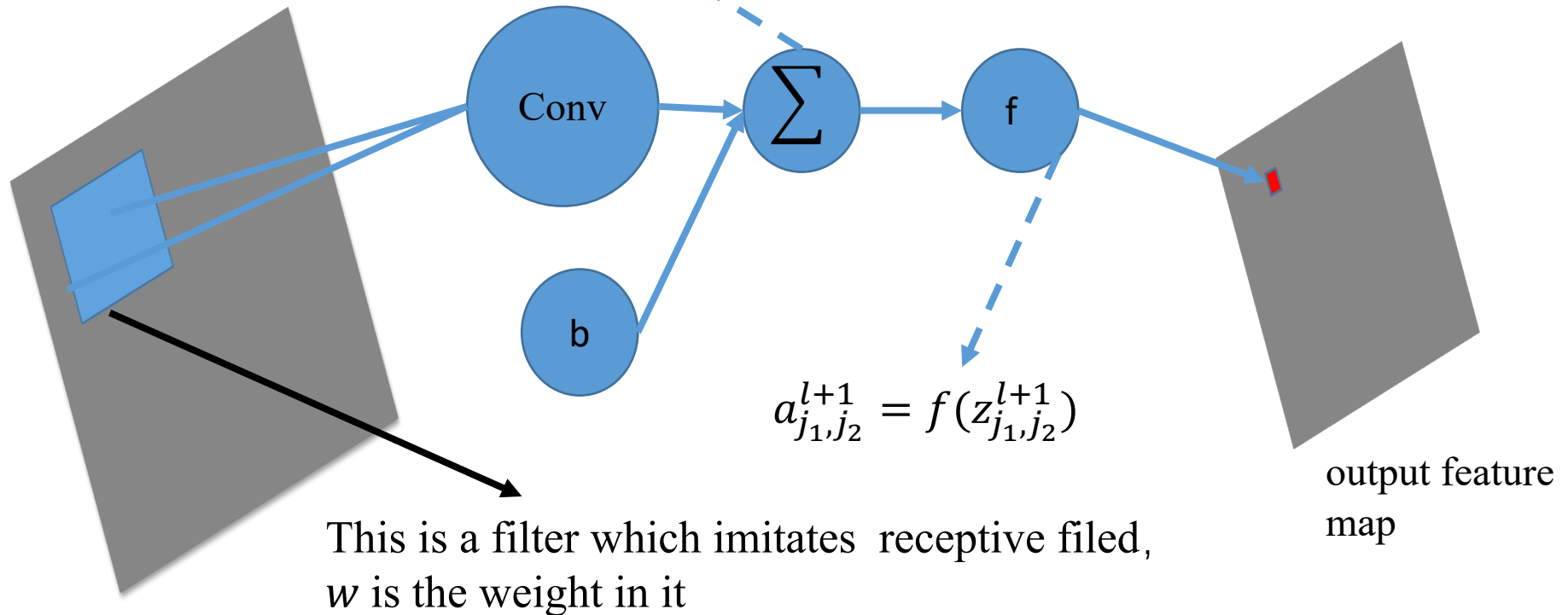
□ Gradient-Based Learning Algorithm

➤ Feedforward – Convolution (Conv)

$$z_{j_1, j_2}^{l+1} = \sum_{k_2=1}^{n_{k_2}} \sum_{k_1=1}^{n_{k_1}} a_{j_1+k_1-1, j_2+k_2-1}^l * w_{k_1, k_2}^l + b$$

Vector form

$$\begin{cases} z^{l+1} = W^l * a^l + b \\ a^{l+1} = f(z^{l+1}) \end{cases}$$



Convolutional Neural Network

□ Classical Architecture - Example

Max Pooing

1	1	1	0	0	1
0	1	1	1	0	0
1	0	1	1	1	1
0	0	1	1	0	1
0	0	1	0	0	0
1	0	0	1	0	1

Input

5	3	4	3
2	4	3	4
3	3	4	2
2	3	2	4

Feature Map

5	a_{12}^2
a_{21}^2	a_{22}^2

Pooled Feature Map

Convolutional Neural Network

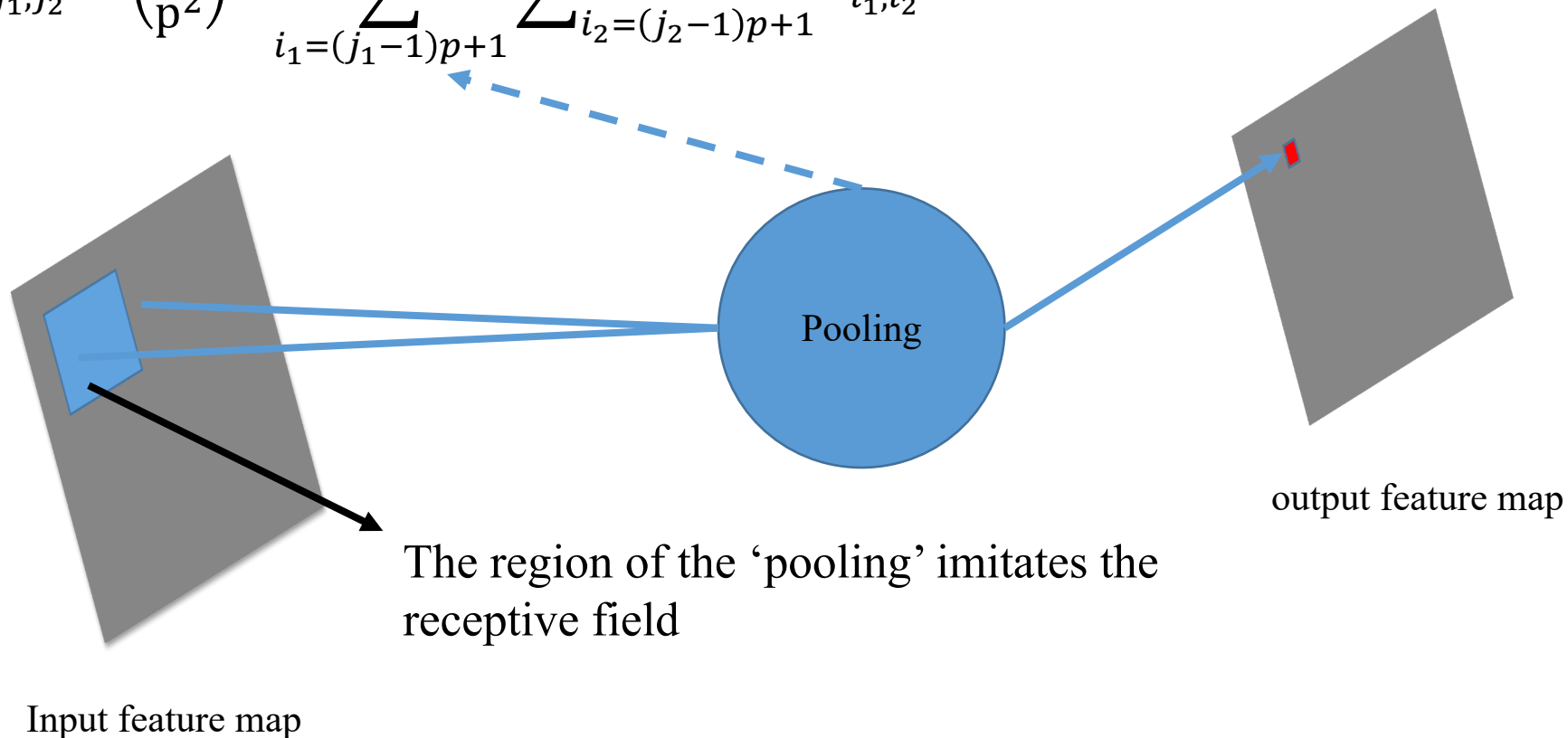
□ Gradient-Based Learning Algorithm

➤ Feedforward --Mean Pooling

$$z_{j_1, j_2}^{l+1} = \left(\frac{1}{p^2} \right) * \sum_{i_1=(j_1-1)p+1}^{j_1 p} \sum_{i_2=(j_2-1)p+1}^{j_2 p} a_{i_1, i_2}^l$$

Vector form

$$\begin{cases} z^{l+1} = \text{downSample}(a^l) \\ a^{l+1} = z^{l+1} \end{cases}$$



Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Feedforward – vector form

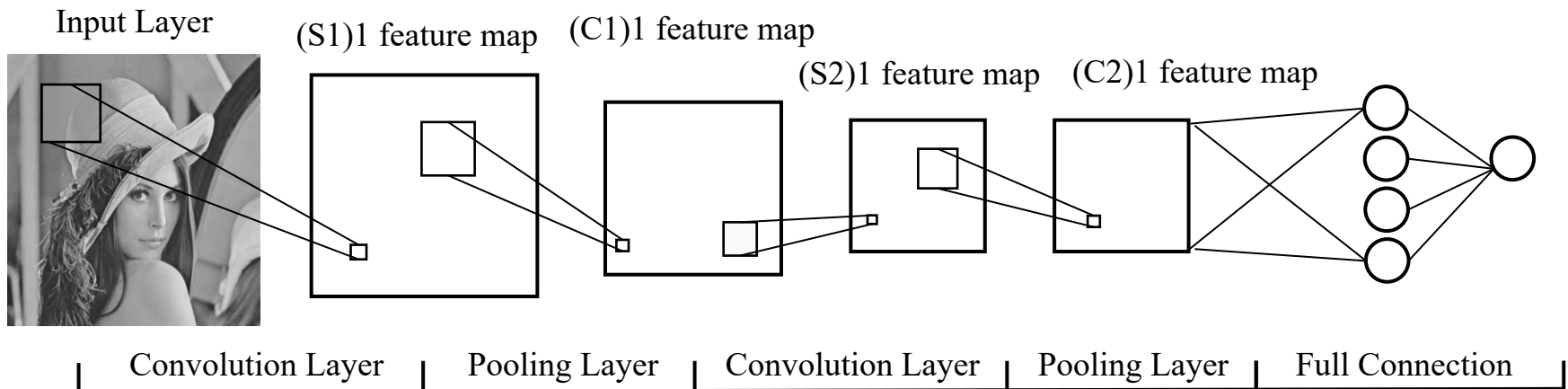
● Convolution Layer ● Pooling Layer

● Full Connection Layer

➤
$$\begin{cases} z^{l+1} = W^l * a^l \\ a^{l+1} = f(z^{l+1}) \end{cases}$$

➤
$$\begin{cases} z^{l+1} = \text{downSample}(a^l) \\ a^{l+1} = z^{l+1} \end{cases}$$

➤
$$\begin{cases} z^{l+1} = W^l a^l \\ a^{l+1} = f(z^{l+1}) \end{cases}$$



Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

Through BP:

$$\delta^l = \frac{\partial J}{\partial z^l} \quad (J \text{ is cost function of the convolution neural network})$$

$$\delta^l = \frac{\partial J}{\partial z^{l+1}} \frac{\partial z^{l+1}}{\partial z^l} = \delta^{l+1} w^l \frac{\partial a^l}{\partial z^l} = \delta^{l+1} w^l f'(z^l)$$

Which elements in the $(L + 1)_{th}$ layer are related to the element z in the L_{th} layer ?

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

- NL -th layer is output layer

- $\delta^{NL} = \frac{\partial J}{\partial z^{NL}}$, where J is the cost function

- l -th layer is a Full Connection Layer

- $$\begin{cases} \delta^l = \left((W^l)^T \delta^{l+1} \right) \cdot f'(z^l) \\ \frac{\partial J}{\partial W^l} = \delta^{l+1} (a^l)^T \end{cases}$$

Convolutional Neural Network

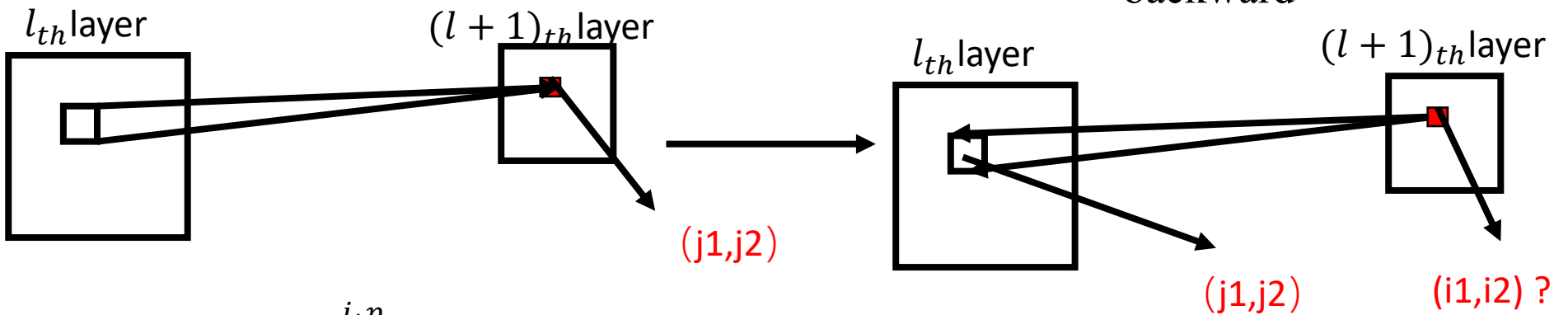
□ Gradient-Based Learning Algorithm

➤ Backpropagation

- l -th layer is a **Convolution Layer**, $(l+1)$ -th layer is pooling

forward

backward



$$z_{j_1, j_2}^{l+1} = \left(\frac{1}{p^2} \right) * \sum_{i_1=(j_1-1)p+1}^{j_1 p} \sum_{i_2=(j_2-1)p+1}^{j_2 p} a_{i_1, i_2}^l$$

$$a_{j_1, j_2}^{l+1} = z_{j_1, j_2}^{l+1}$$

$i_1 = j_1 / p + 1$, $i_2 = j_2 / p + 1$; ('/' denotes 'divided with no remainder')

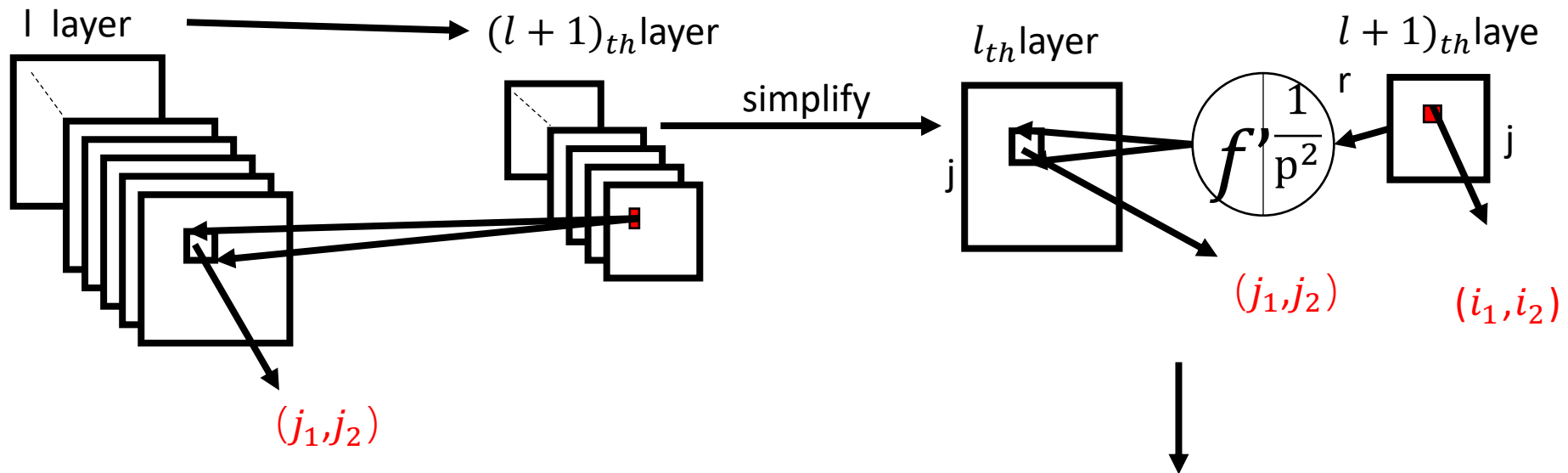
$$\delta_{j_1, j_2}^l = \frac{\partial J}{\partial z_{i_1, i_2}^{l+1}} \frac{\partial z_{i_1, i_2}^{l+1}}{\partial z_{j_1, j_2}^l} = \delta_{i_1, i_2}^{l+1} * \frac{1}{p^2} * f'(z_{j_1, j_2}^l)$$

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

- l -th layer is a **Convolution Layer**, l -th layer is pooling



$$\delta_{j_1, j_2, j}^l = \frac{\partial J}{\partial z_{i_1, i_2, j}^{l+1}} \frac{\partial z_{i_1, i_2, j}^{l+1}}{\partial z_{j_1, j_2, j}^l} = \delta_{i_1, i_2, j}^{l+1} * \frac{1}{p^2} * f'(z_{j_1, j_2, j}^l)$$

$$\delta_j^l = 1/(p^2) * \text{up}(\delta_j^{l+1}) * f'(z_j^l) \text{ (matrix form)}$$

Convolutional Neural Network

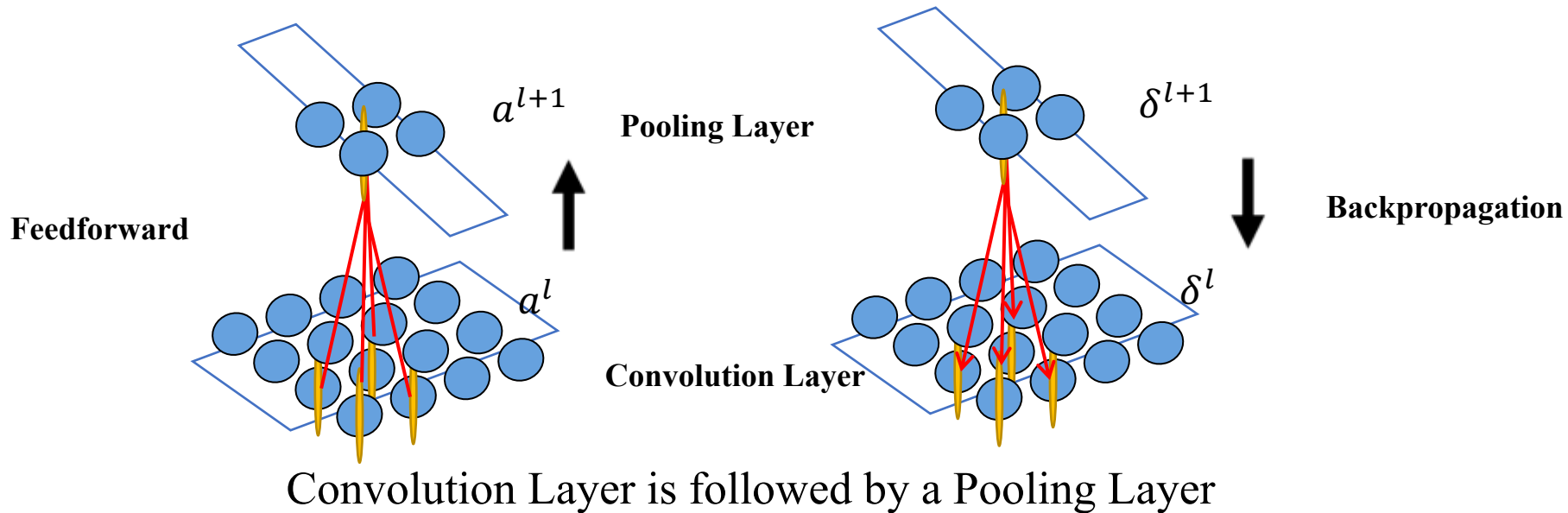
□ Gradient-Based Learning Algorithm

➤ Backpropagation

● l -th layer is a **Convolution Layer**

➤ $\delta^l = \text{upSample}(\delta^{l+1}) \cdot f'(z^l)$

$$\begin{cases} z^{l+1} = \text{downSample}(a^l) \\ a^{l+1} = z^{l+1} \end{cases}$$

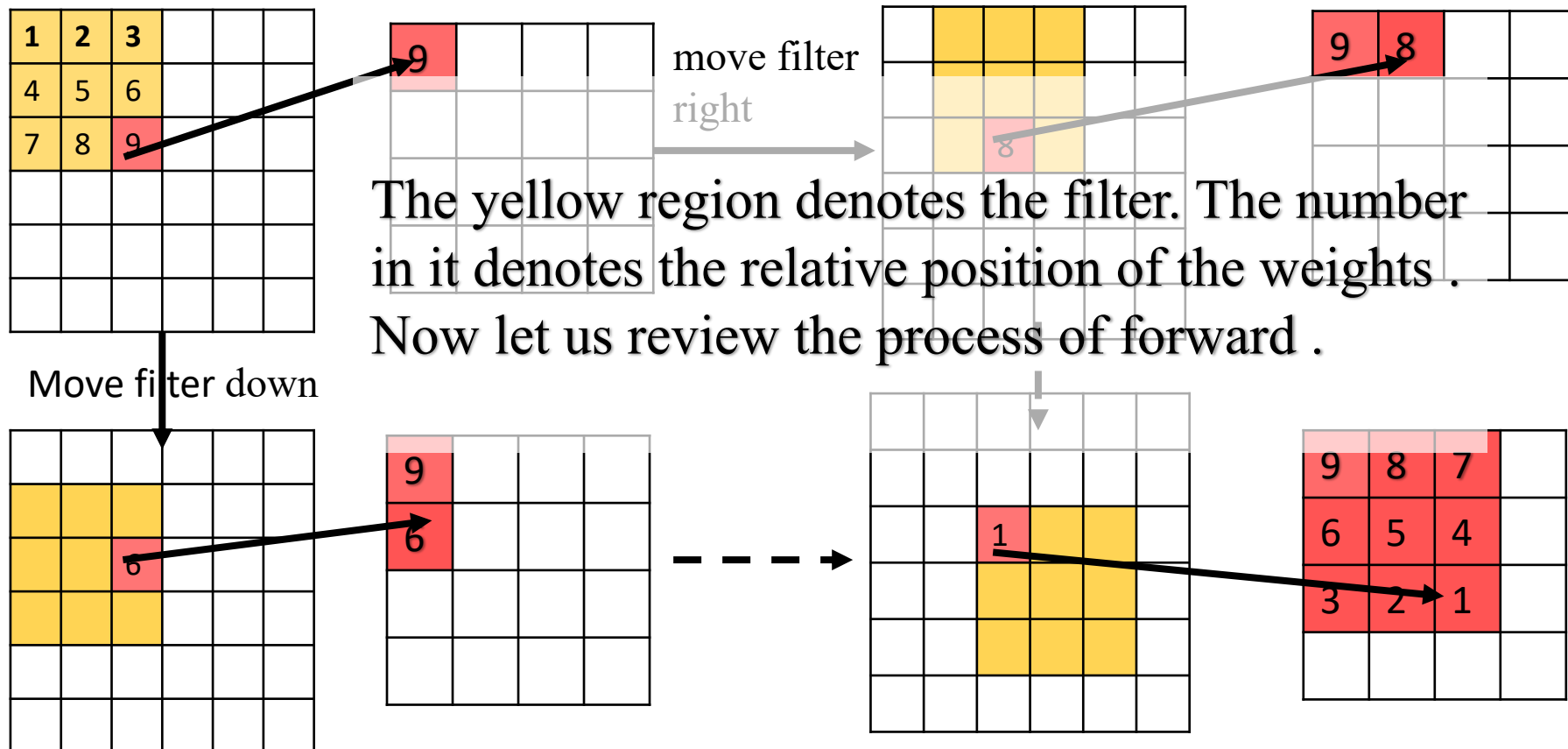


Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

● l -th layer is a **Pooling Layer**, $l+1$ -th layer is a Conv layer



Convolutional Neural Network

□ Gradient-Based Learning Algorithm

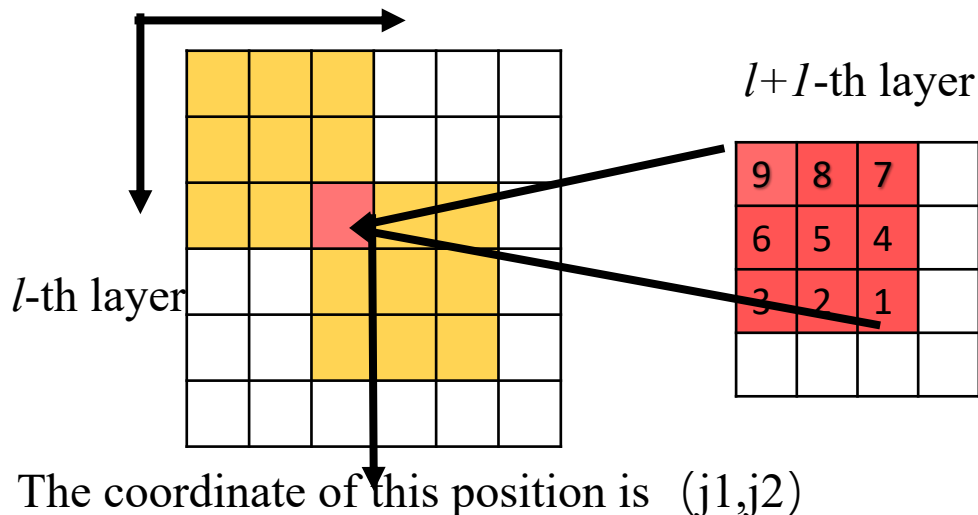
➤ Backpropagation

- l -th layer is a **Pooling Layer**, $l+1$ -th layer is a Conv layer

$$\text{Hence: } \delta_{j_1, j_2}^l = \sum_{k_1=1}^1 \sum_{k_2=1}^1 \frac{\partial J}{\partial z_{j_1-k_1+1, j_2-k_2+1}^{l+1}} \frac{\partial z_{j_1-k_1+1, j_2-k_2+1}^{l+1}}{\partial z_{j_1, j_2}^l}$$

$$\delta_{j_1, j_2}^l = \sum_{k_1=1}^1 \sum_{k_2=1}^1 \delta_{j_1-k_1+1, j_2-k_2+1}^{l+1} w_{k_1, k_2}^l * f'(z_{j_1, j_2}^l)$$

$f'(z_{j_1, j_2}^l) = 1$, so

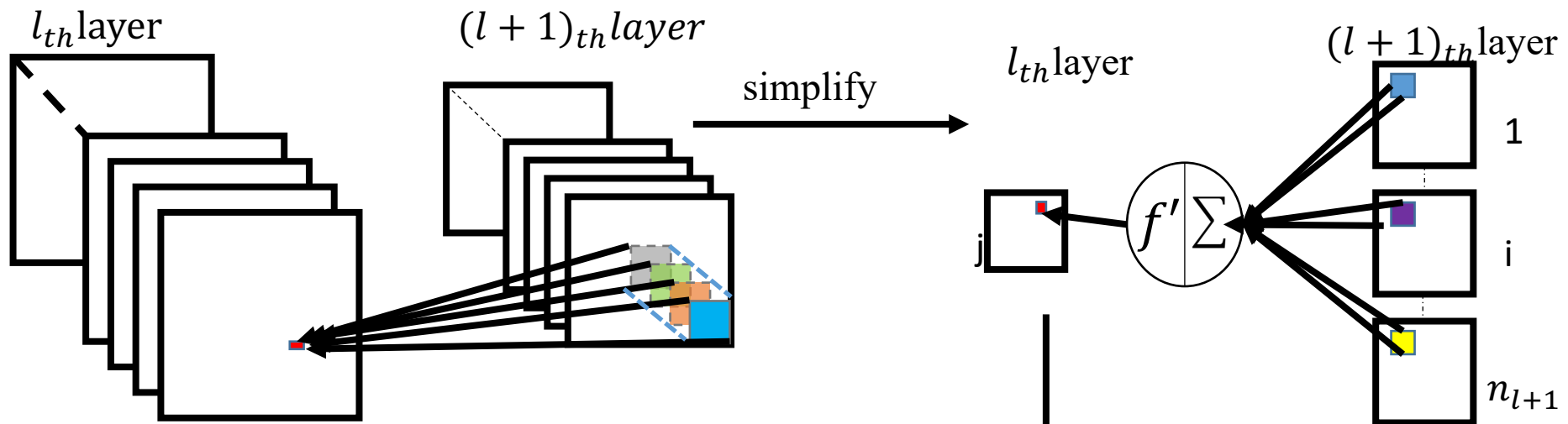


Convolutional Neural Network

□ Gradient-Based Learning Algorithm

➤ Backpropagation

- l -th layer is a **Pooling Layer**, $l+1$ -th layer is a Conv layer



$$\delta_{j_1, j_2, j}^l = \sum_{k_1=1}^{n_{k_1}} \sum_{k_2=1}^{n_{k_2}} \delta_{j_1-k_1+1, j_2-k_2+1, j}^{l+1} w_{k_1, k_2, j, i}^l$$

$$\delta_j^l = \delta_i^{l+1} \times \text{rot180}(w_{j,i}^l) \text{ (matrix form)}$$

Convolutional Neural Network

□ Gradient-Based Learning Algorithm

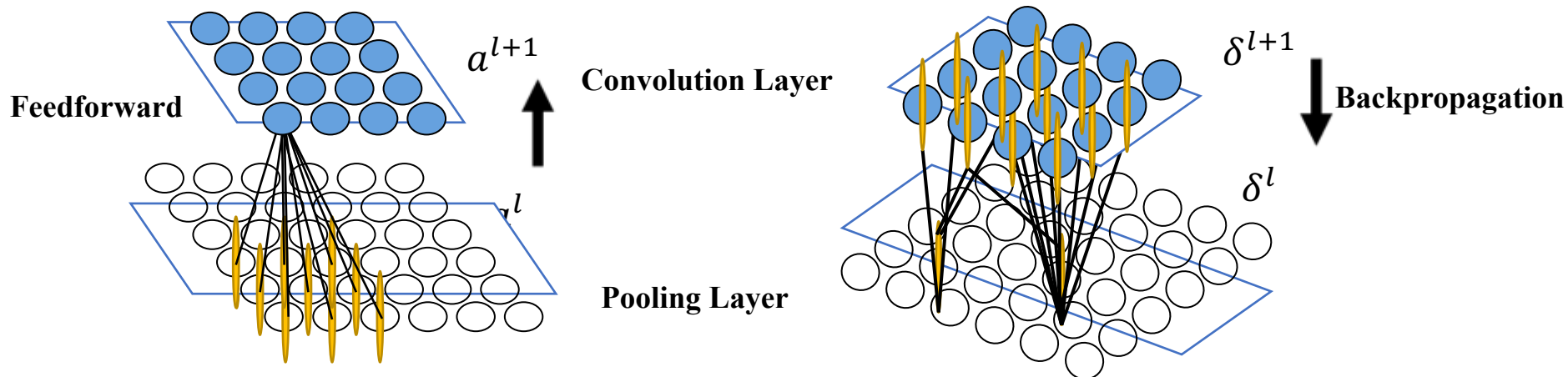
➤ Backpropagation

● l -th layer is a **Pooling Layer**

➤ $\delta^l = (\text{rot180}(\delta^{l+1}) * w^l)$

➤ $\frac{\partial J}{\partial W^l} = a^l * \delta^{l+1}$

$$\begin{cases} z^{l+1} = W^l * a^l \\ a^{l+1} = f(z^{l+1}) \end{cases}$$



Pooling Layer is followed by a Convolution Layer

Neural Networks

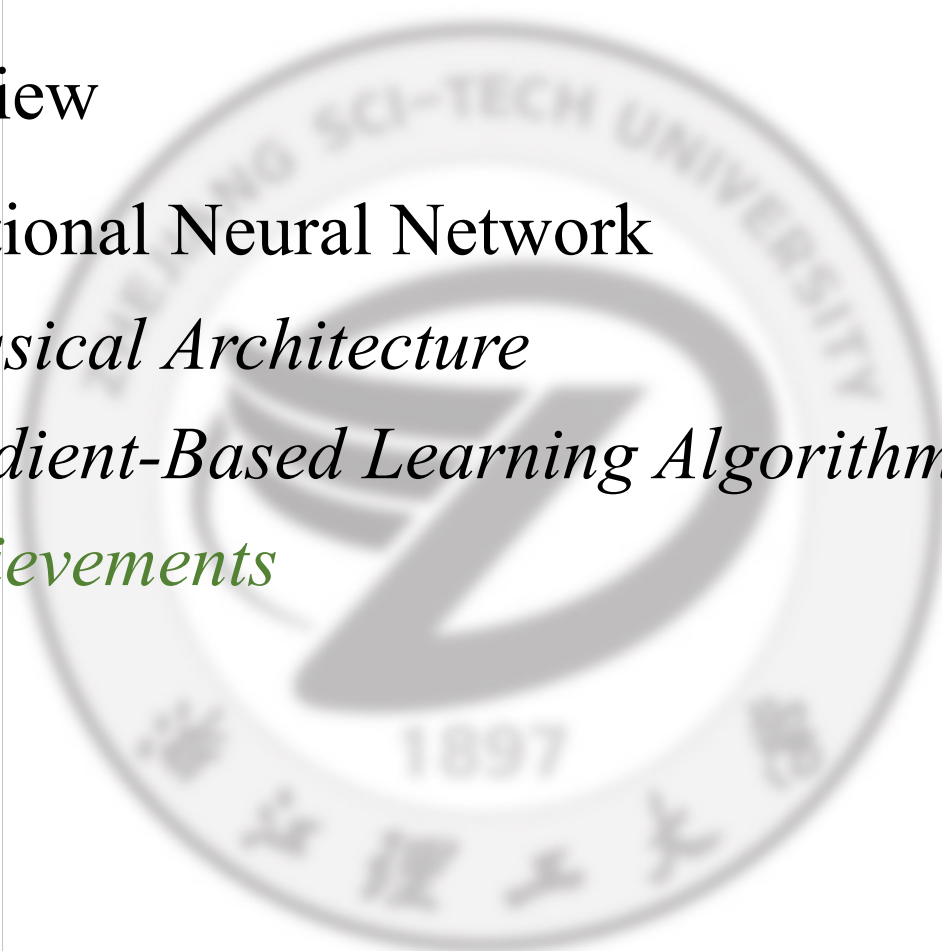


- Brief review
- Convolutional Neural Network

Classical Architecture

Gradient-Based Learning Algorithm

Achievements



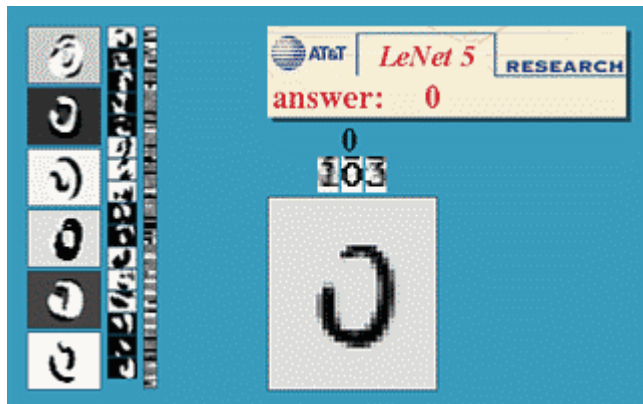
Convolutional Neural Network

■ Achievements and Applications

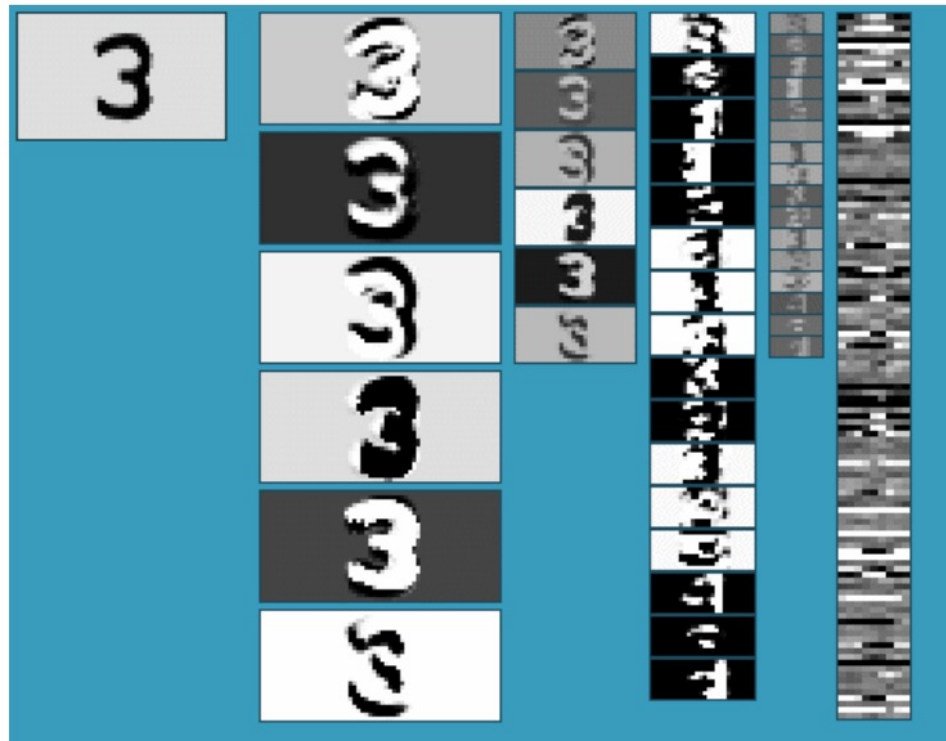
➤ Handwriting Recognition

filters \rightarrow tanh \rightarrow average-tanh \rightarrow filters \rightarrow tanh \rightarrow average-tanh \rightarrow filters \rightarrow tanh

- LeNet LeCun



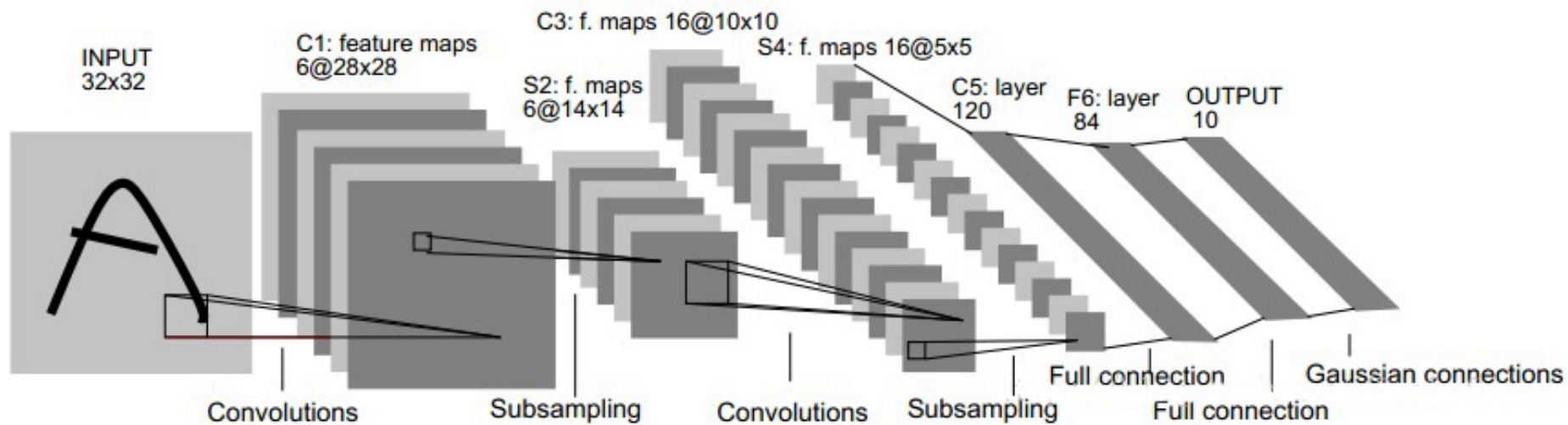
<http://yann.lecun.com/exdb/len>



Convolutional Neural Network

□ Achievements and Applications

➤ LeNet



Kernel size

5*5 (conv)

2*2 (pooling)

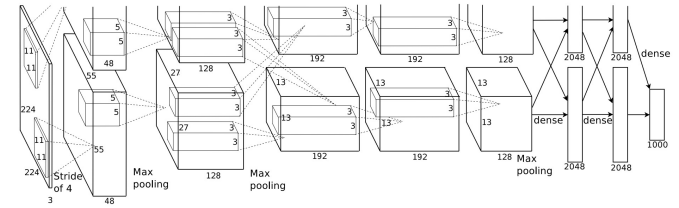
5*5 (conv)

2*2 (pooling)

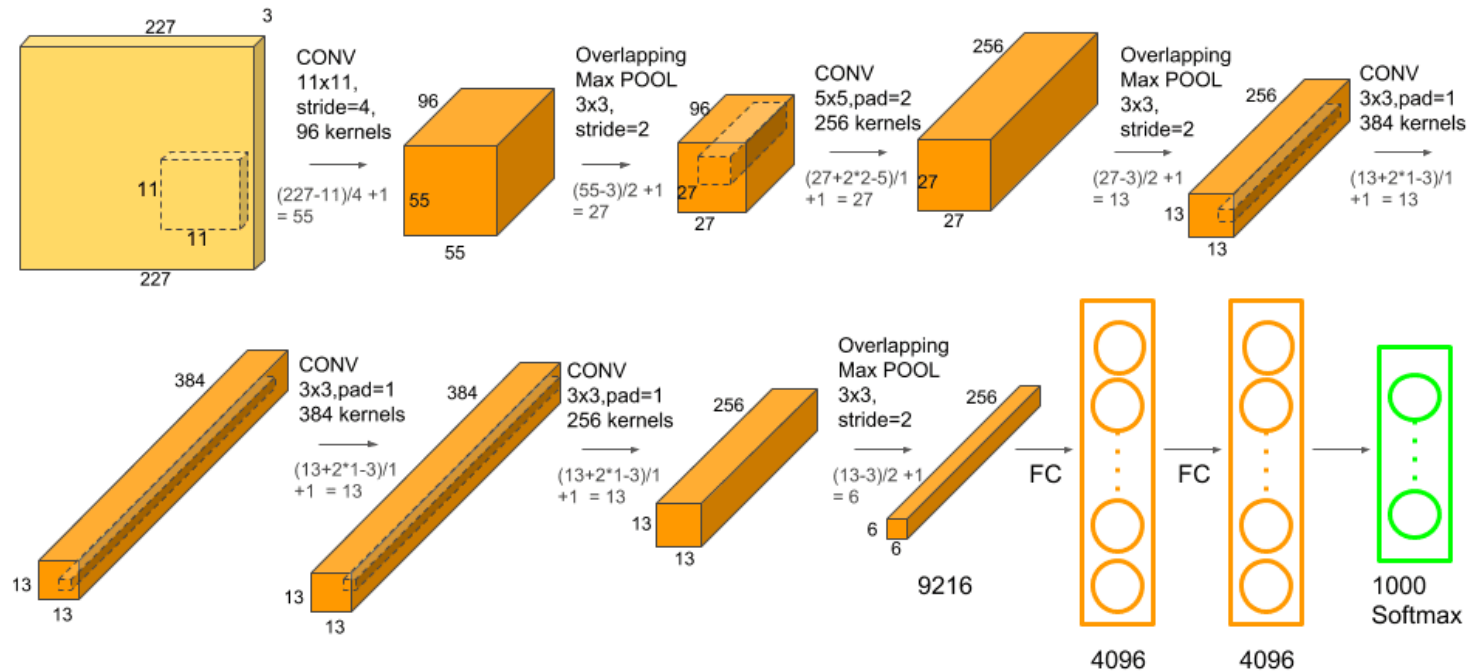
Convolutional Neural Network

□ Achievements and Applications

➤ AlexNet



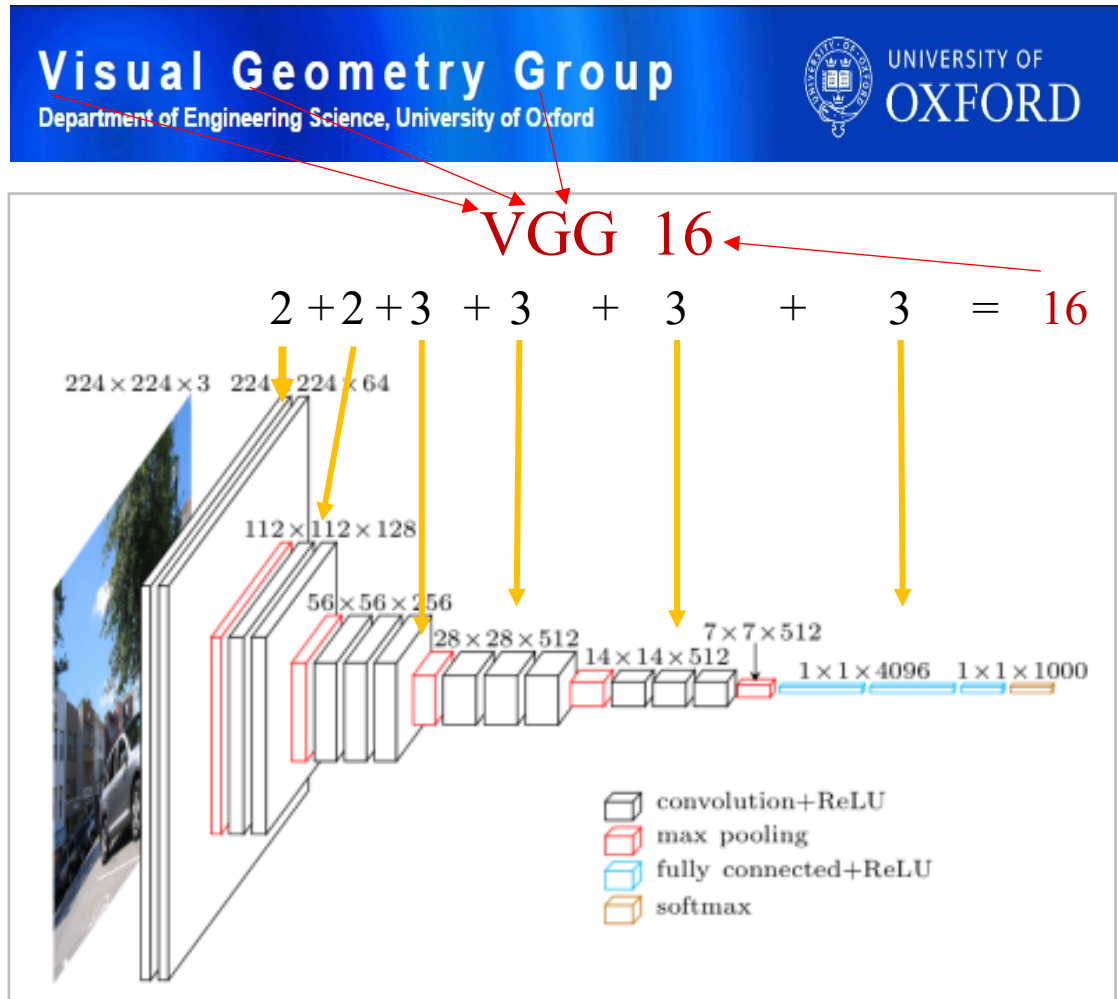
responsibilities
the layer-parts
mensional, and
4,896-43,264-



Convolutional Neural Network

□ Achievements

VGG Networks {
VGG11
VGG13
VGG16
VGG19



Convolutional Neural Network

□ Achievements

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224 × 224 RGB image)					
conv3-64	conv3-64 LRN	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 conv1-256	conv3-256 conv3-256 conv3-256	conv3-256 conv3-256 conv3-256 conv3-256
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

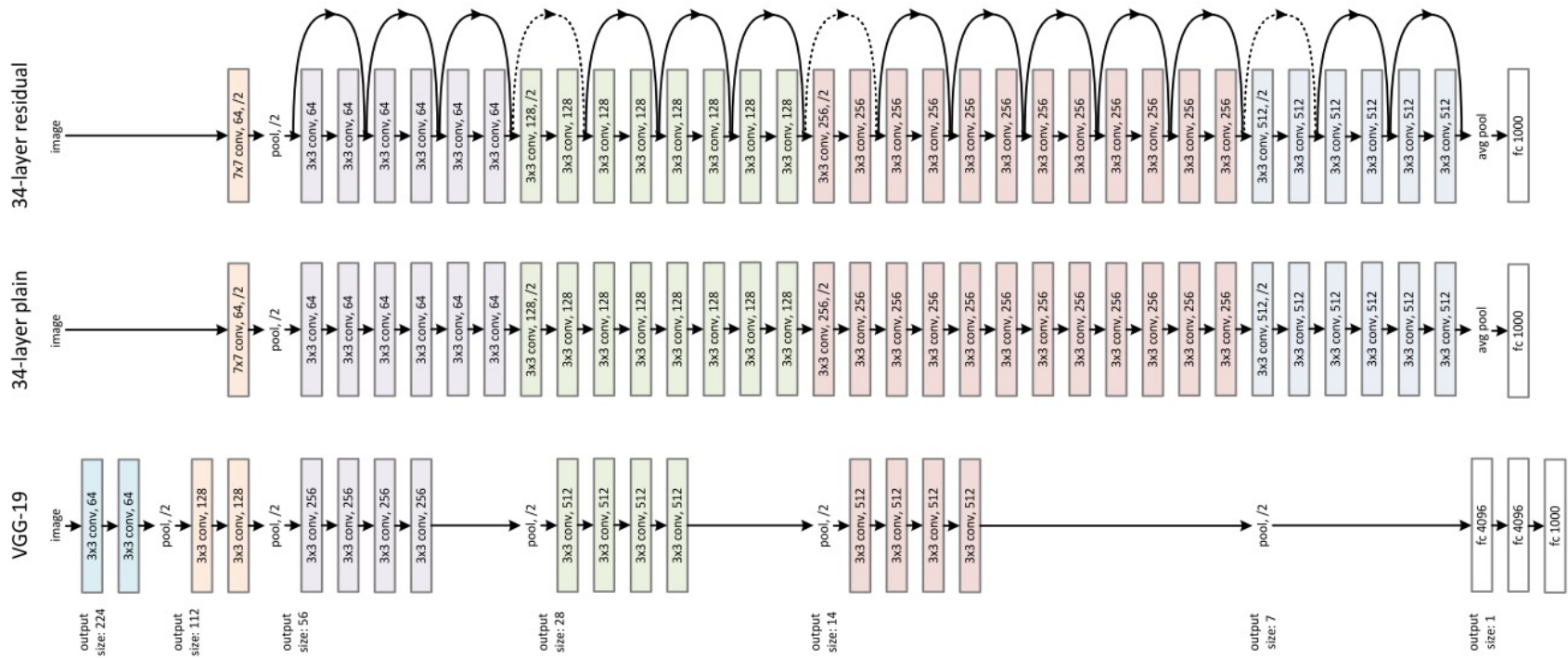
VGG16 - Features:

- 11-19 layers
- Same input, $3 \times 224 \times 224$
- 3 fully-connected layers
- Softmax output
- 5 stages, maxpool in between
- (most) 3×3 kernels
- Increasing kernel number

Convolutional Neural Network

□ Achievements and Applications

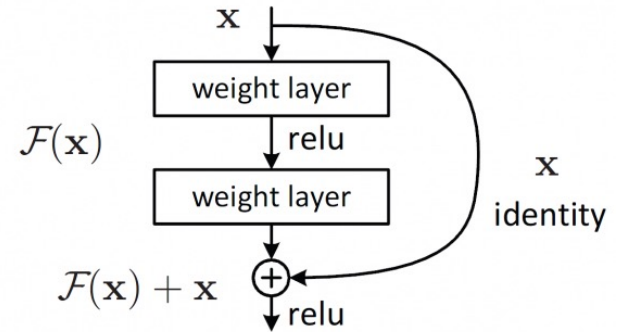
➤ ResNet (2015)



Convolutional Neural Network

□ Achievements and Applications

➤ ResNet

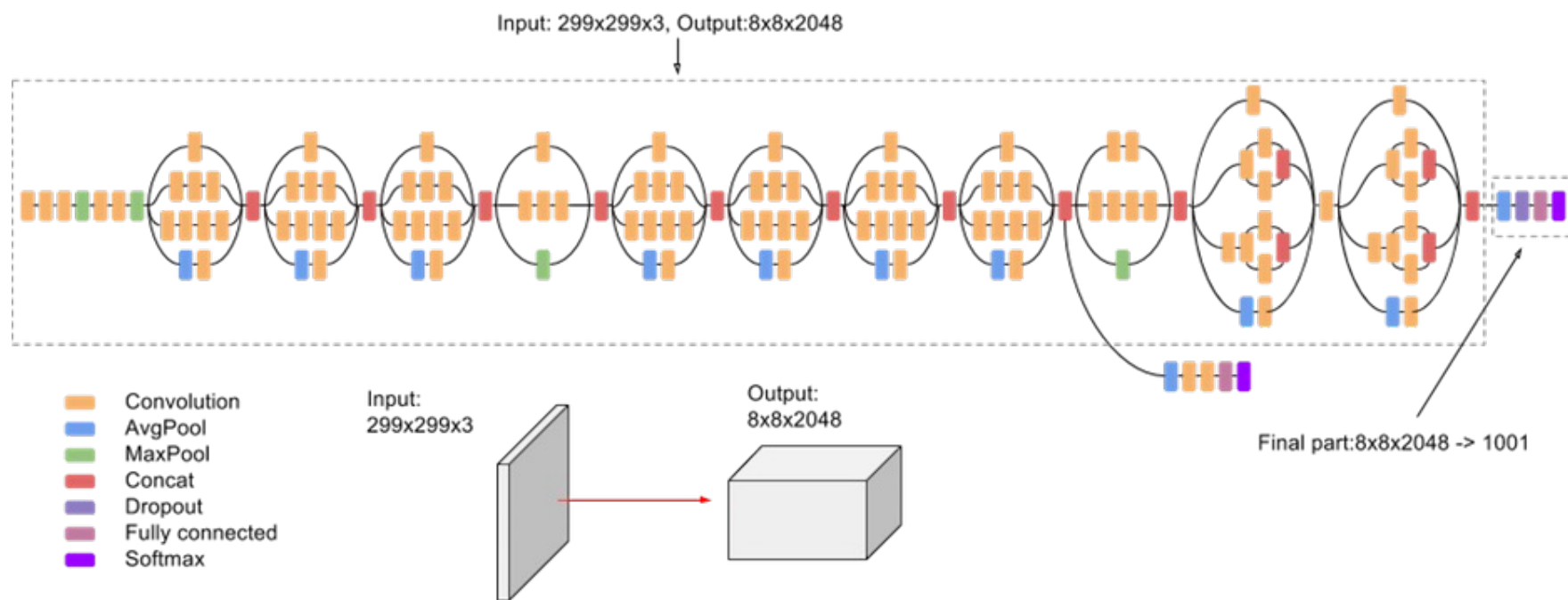


layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112	7×7, 64, stride 2				
conv2_x	56×56	3×3 max pool, stride 2				
		$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3_x	28×28	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
conv4_x	14×14	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$
conv5_x	7×7	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
	1×1	average pool, 1000-d fc, softmax				
FLOPs		1.8×10^9	3.6×10^9	3.8×10^9	7.6×10^9	11.3×10^9

Convolutional Neural Network

□ Achievements and Applications

➤ Inception V3

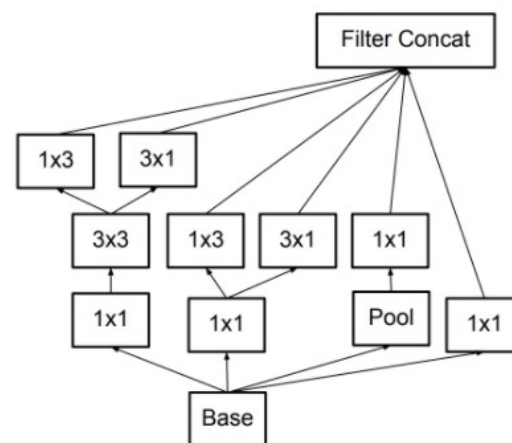
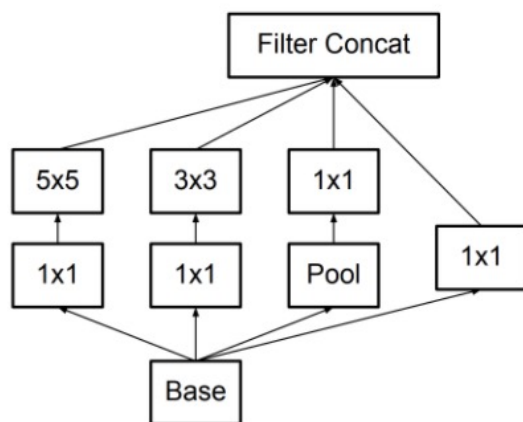


- 42层深，但计算成本只比inception v1高2.5倍左右，而且比VGGNet高效得多。
- 用卷积和池化并行的方法降低 Inception 模块的大小

Convolutional Neural Network

□ Achievements and Applications

➤ Inception V3

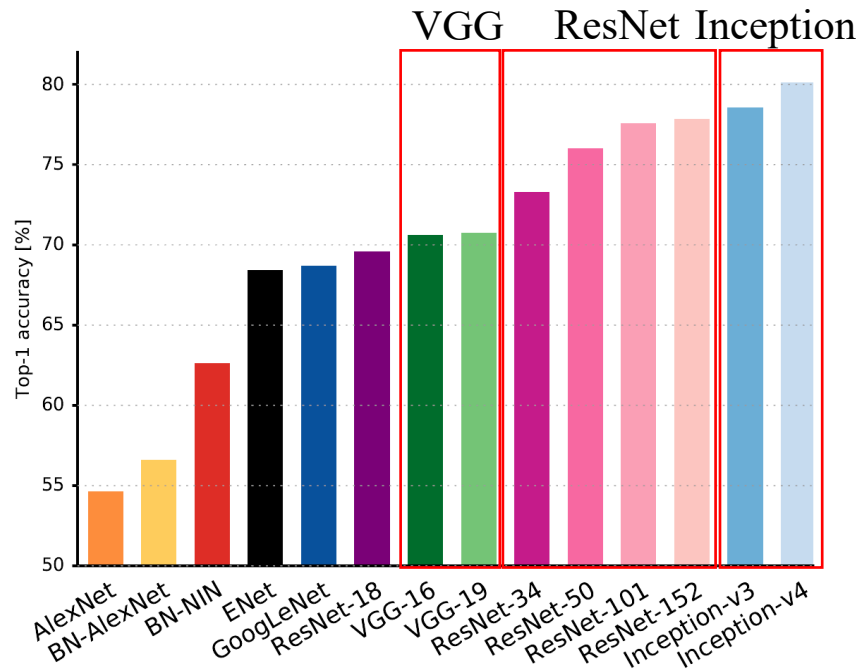


V1: 分支卷积

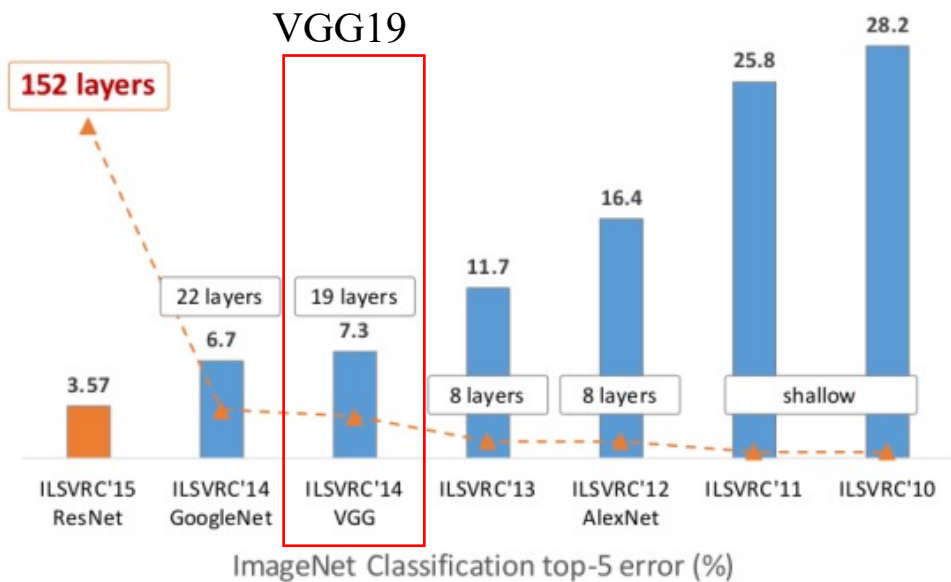
V3: 改为小卷积和非对称卷积

Convolutional Neural Network

□ Achievements -- ImageNet



Single-crop Top-1 validation accuracies on ImageNet



Reported Top-5 error on ImageNet

Convolutional Neural Network

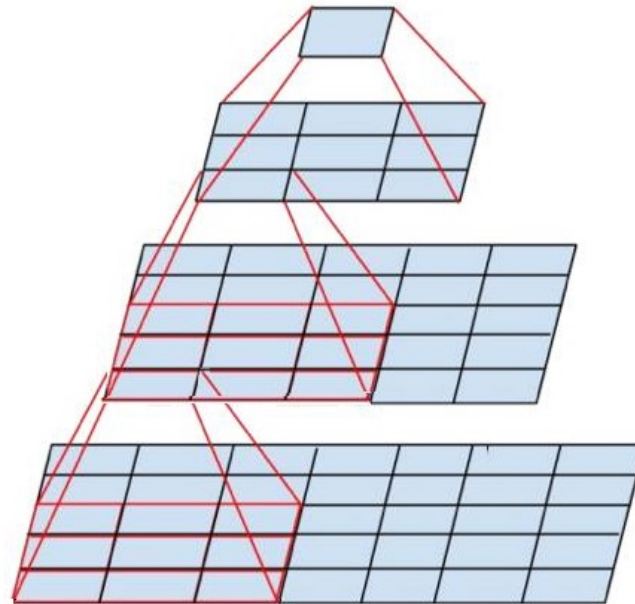
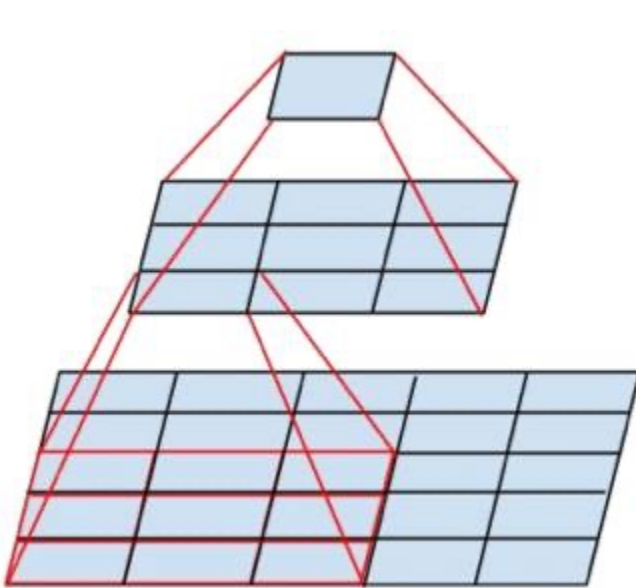
□ Thinking--Why that size of kernels?

Network	Kernels Used	Depth
Lenet	(5,5)	4
Alexnet	(11,11), (5,5), (3,3)	8
VGGs	(3,3)	11-19
ResNet	(3,3), (1,1)	
Inception V3	(1,3),(3,1),(3,3),(1,1)	

Convolutional Neural Network

□ Thinking— why 3×3 kernels?

a.) 3×3 kernels can simulate larger kernels like 5×5 or 7×7



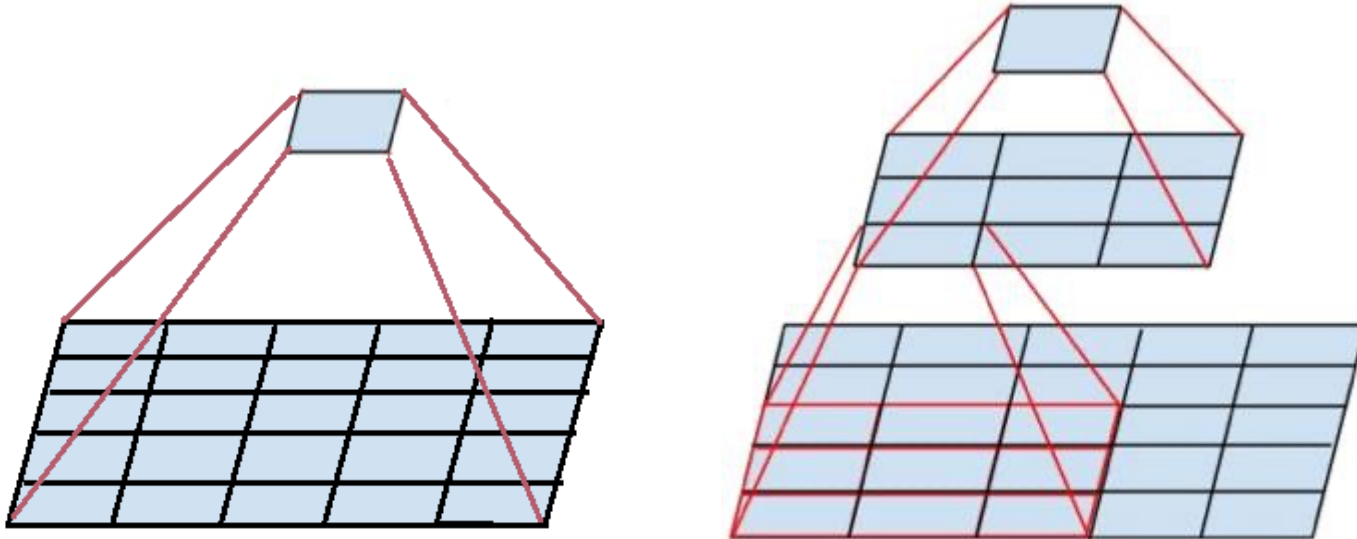
...

Convolutional Neural Network

□ Thinking– why 3×3 kernels?

a.) 3×3 kernels can simulate larger kernels like 5×5 or 7×7

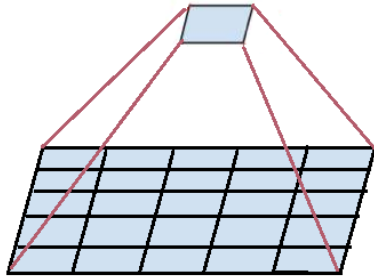
b.) by doing so, more nonlinearity is involved, thus more expressive ability



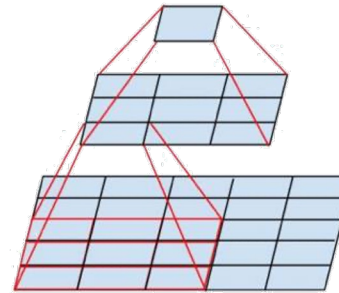
Convolutional Neural Network

□ Thinking— why 3×3 kernels?

- a.) 3×3 kernels can simulate larger kernels like 5×5 or 7×7
- b.) by doing so, more nonlinearity is involved, thus more expressive ability
- c.) 3×3 kernels can save a lot of parameters



of weights: $5 \times 5 = 25$



of weights: $2 \times (3 \times 3) = 18$

$$\frac{18}{25} = 72\%$$

General case:

$5 \times 5 \times \text{input channel} \times \text{output channel}$

$(3 \times 3 \times \text{input channel} \times \text{hidden channel}) + (3 \times 3 \times \text{hidden channel} \times \text{output channel})$